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Multi-drug resistant *Escherichia coli* in diarrhoeagenic foals: pulsed-field typing, phylotyping, serotyping, antibiotic resistance and virulence profiling.

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30
31

Abstract

32 Extraintestinal pathogenic *E. coli* (ExPEC) possess the ability to cause extraintestinal
33 infections such as urinary tract infections, neonatal meningitis and sepsis. While
34 information is readily available describing pathogenic *E. coli* populations in food-
35 producing animals, studies in companion/sports animals such as horses are limited.
36 In addition, many antimicrobial agents used in the treatment of equine infections are
37 also utilised in human medicine, potentially contributing to the spread of antibiotic
38 resistance determinants among pathogenic strains. The aim of this study was to
39 phenotypically and genotypically characterise the multidrug resistance and virulence
40 associated with 83 equine *E. coli* isolates recovered from foals with diarrhoeal
41 disease. Serotyping was performed by both PCR and sequencing. Antibiotic
42 resistance was assessed by disc diffusion. Phylogenetic groups, virulence genes,
43 antibiotic resistance genes and integrons were determined by PCR. Thirty-nine
44 (46%) of the isolates were classified as ExPEC and hence considered to be
45 potentially pathogenic to humans and animals. Identified serogroups O1, O19a, O40,
46 O101 and O153 are among previously reported human clinical ExPEC isolates. Over
47 a quarter of the *E. coli* were assigned to pathogenic phylogroups B2 (6%) and D
48 (23%). Class 1 and class 2 integrons were detected in 85% of *E. coli*, revealing their
49 potential to transfer MDR to other pathogenic and non-pathogenic bacteria. With
50 65% of potentially pathogenic isolates harbouring one or more TEM, SHV and CTX-
51 M-2 group β -lactamases, in addition to the high levels of resistance to
52 fluoroquinolones observed, our findings signal the need for increased attention to
53 companion/sport animal reservoirs as public health threats.

54

55 **Keywords:** *Escherichia coli*, diarrhoeagenic foals, serotyping, antibiotic resistance,
56 adherence and invasion PFGE, ExPEC, ESBL

57

58 **Introduction**

59 Pathogenic *Escherichia coli* infection is a public health challenge and a continuous
60 source of morbidity/mortality (Croxen et al., 2013). Pathogenic *E. coli* can be split
61 into two categories: intestinal and extraintestinal pathogenic *E. coli*. Intestinal
62 pathogenic *E. coli* cause diarrhoea by expressing virulence genes that produce
63 enterotoxins, facilitate attachment and effacement, and/or invasion of the intestinal
64 mucosa. Extraintestinal pathogenic *E. coli* (ExPEC) possess the ability to cause
65 extraintestinal infections such as urinary tract infections (UTIs), neonatal meningitis
66 and sepsis (Russo and Johnson, 2003). Sources of human infection include direct or
67 indirect contact with animals that carry pathogenic *E. coli*, and from exposure to
68 animal faeces. Recent outbreaks in public settings, such as farms, fairs and petting
69 zoos, have highlighted the public health impact of this route of transmission (Byrne et
70 al., 2015; Murray et al., 2017). While information is readily available describing
71 pathogenic *E. coli* populations in food-producing animals (Lenahan et al., 2007;
72 Lenahan et al., 2009; Kennedy et al., 2017), studies in companion/sports animals
73 such as horses are limited.

74 One such study, focusing on *E. coli* distribution, reported a greater diversity among
75 pathogenic *E. coli* populations in horses relative to cattle or humans (Anderson *et al.*
76 2006). In addition, many of the antimicrobial agents used in the treatment of equine
77 infections belong to the same families as used in human medicine (WHO, 2017).

78 These factors, may contribute to the spread of antibiotic resistance determinants to

79 pathogenic strains; as the *in vitro* and *in vivo* transmission of resistance markers
80 between species has been described previously (Kelly et al., 2009).
81 Given the high degree of handling and the large number of people (particularly
82 children) who have contact with horses, there is a need to increase awareness of
83 companion/sports animals as potential reservoirs of multi-drug resistant (MDR) and
84 pathogenic *E. coli* (Sequeria et al., 2009; Murray et al., 2017). The aim of this study
85 was to characterise multidrug resistance determinants and virulence genes and to
86 phenotypically characterise those associated with equine *E. coli* isolates recovered
87 from foals with diarrhoeal disease.

88

89 **Materials and methods**

90 **Bacterial isolate collection and antimicrobial resistance profiling**

91 Faecal samples routinely obtained from foals with enteritis and from *post mortem*
92 examinations presenting at the Irish Equine Centre in Kildare, Ireland were cultured
93 onto Columbia blood Agar, Wilkins Chalgren agar (anaerobically) and MacConkey
94 agar and incubated overnight at 37°C. Presumptive *E. coli* were confirmed using API
95 20E strips (bioMérieux, Marcy l'Etoile, France) and antibiotic resistance profiles of all
96 isolates were determined against a panel of 14 compounds using disc diffusion, and
97 where appropriate interpreted according to Clinical and Laboratory Standards
98 Institute (CLSI) guidelines (CLSI document VET01-A4, 2013; CLSI supplement
99 VET01S, 2015). Resistance to antimicrobial agents not listed in the CLSI guidelines
100 was determined by the absence of a zone of clearance. The following antimicrobial
101 compounds were included, with their abbreviations and concentrations in
102 parenthesis; ampicillin (A, 10 µg); amikacin (A_K, 30 µg); amoxicillin/clavulanic acid (A_M,
103 20/10 µg); gentamicin (C_N, 10 µg); ciprofloxacin (C_P, 5 µg); enrofloxacin (E, 5 µg),

104 kanamycin (K, 30 µg), cephalothin (K_F, 30 µg), nalidixic acid (N_A, 30 µg); norfloxacin
105 (N_O, 10 µg); streptomycin (S, 10 µg); sulfonamide (S₃, 300 µg); tetracycline (T, 30
106 µg) and trimethoprim (W, 5 µg). All antimicrobial-containing discs were supplied by
107 Oxoid (Fannin Healthcare, Dublin, Ireland). Quality control strains, *E. coli*
108 ATCC[®]25922 and *Pseudomonas aeruginosa* ATCC[®]27853, were included. Eighty-
109 three isolates were identified that were resistant to 3 or more different antimicrobial
110 classes. These were defined as MDR and were subsequently characterised in
111 greater detail, as described below.

112

113 **DNA purification**

114 Total DNA was prepared from all isolates using the Promega Wizard Genomic DNA
115 purification kit (Madison, WI) following the manufacturer's instructions. The integrity and
116 concentration of the purified template DNA was assessed by means of conventional agarose
117 gel [1.5%, (w/v)] electrophoresis and by spectrophotometry using a NanoDrop™ ND-1000
118 (Thermoscientific, Wilmington, DE).

119

120 **Serotyping**

121 Molecular serotyping was performed on all *E. coli* isolates using PCR amplification of
122 the serogroup specific genes, *rfb*_{O26}, *rfb*_{O111} and *rfb*_{O157}.

123 A representative group of 25 isolates were then selected at random and sent for
124 conventional serotyping (Public Health England, PHE Colindale, London, UK).

125

126 **Pulsed-field gel electrophoresis (PFGE)**

127 Molecular subtyping using pulsed-field gel electrophoresis (PFGE) of genomic DNA
128 recovered from equine *E. coli* isolates was performed according to methods
129 described previously (Duffy et al., 2005). Similarity clustering analyses were

130 performed using an unweighted pair group-matching algorithm and the Dice
131 correlation coefficient with a tolerance and optimization of 1.5% with BioNumerics
132 (Applied Maths, Belgium).

133

134 **Phylogenetic grouping**

135 Phylogenetic groups were determined for each *E. coli* isolate using an established
136 multiplex PCR targeting *chuA*, *yjaA*, and TSPE4.7 according to the protocol of
137 Clermont et al. (2000). Target amplification was performed using the original primer
138 concentrations (Table 1) and cycling conditions (Supplemental Material Table S1).
139 Amplicons generated were separated by conventional 1.7% (w/v) agarose gel
140 electrophoresis, stained with 0.1 g/ml ethidium bromide (Sigma-Aldrich) in 0.5X Tris-
141 EDTA-boric acid buffer, and subsequently assigned to one of the phylogroups A, B1,
142 B2, or D using the criteria outlined previously by Clermont et al. (2000).

143

144 **Adherence and invasion assay**

145 Six representative isolates (denoted as Eq23, Eq45, Eq59, Eq67, Eq69 and Eq79) with
146 distinctly different virulence profiles (Supplemental Material Table S2) were selected. These
147 were assessed for their ability to adhere and invade Caco-2 cells as a model for the human
148 small intestinal epithelium. A bacterial adhesion assay was performed with a multiplicity of
149 infection (MOI) of 100 bacteria per epithelial cell according to previously described methods
150 (Simpson et al., 2006). For the invasion assay, Caco-2 monolayers were infected with the
151 same MOI and incubated for 30min at 37°C to allow invasion to occur. The number of
152 intracellular bacteria was determined after the extracellular bacteria were eliminated by
153 incubation of the monolayers with the experimental medium containing gentamicin (100
154 µg/mL) for a further 30min at 37°C.

155 All monolayers were subsequently lysed with a 0.5 mL volume of PBS containing 1%
156 (v/v) Triton X-100. Bacteria from each monolayer were collected and plated onto
157 tryptone soy agar (TSA, Sigma) using decimal dilutions. Plate counts from the
158 adhesion assay determined the total number of associated (adhered and invaded)
159 bacteria, and plate counts from the invasion assay determined the number of
160 invaded bacterial cells only. Adherent non-invasive (+-), invasive (++) and non-
161 adherent and non-invasive (--) controls were included in each experiment.

162

163 **Identification of antimicrobial resistance determinants, integrons, gene** 164 **cassettes and virulence genes**

165 Detection of antibiotic resistance markers and integron-associated genes was
166 performed by PCR, using the primers listed in Table 1. The following resistance
167 determinants were investigated: *ampC*, *bla_{CTX-M-2}*, *bla_{OXA}*, *bla_{SHV}*, *bla_{TEM}*, *bla_{PSE}*,
168 encoding β -lactamases and β -lactamase groups; *tet(A)* and *tet(G)* tetracycline efflux
169 pumps; and the sulfonamide resistance gene *sul1*.

170 A PCR amplification of the *gyrA* gene was performed on a subset of 16 ciprofloxacin
171 resistant isolates. Previously published PCR methods were employed to determine
172 the presence of conserved integron-associated genes in all isolates, including *int11*
173 and *int12* (coding for integrases of classes 1 and 2, respectively), *qacE Δ 1*, *sul1*, the
174 right-sided conserved segments of class 1 integrons, together with their variable
175 regions (Table 1). Gene cassettes and specific *gyrA* amplicons of interest were gel
176 extracted using a Qiagen gel extraction kit (West Sussex, UK). DNA was quantified
177 by spectrophotometry and sequenced commercially (Qiagen, Hilden, Germany).
178 Sequence similarity searches were carried out against sequences deposited in the
179 GenBank database using the BLAST search tool

180 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>). Sequence alignments were performed using
181 the online CLUSTALW2 program available at the European Bioinformatics Institute
182 (<https://www.ebi.ac.uk/Tools/msa/clustalw2/>).

183 All isolates were investigated for the presence of the following virulence-associated
184 genes: *stx1* and *stx2* which encode Shiga-toxins; *eaeA*, an adherence factor; the
185 alpha-haemolysin, *hlyA*; *fliCh7*, encoding the flagellar antigen H7; *cnf1*, a cytotoxic
186 necrotizing factor from uropathogenic *E. coli*; *iucD*, which encodes the aerobactin
187 operon; *afa/draBC*, a Dr-binding adhesin (F17 fimbriae); *papC*, a P fimbriae;
188 *sfa/focDE*, the S and F1C fimbriae; and *neuC*, a K1 gene implicated in sialic acid
189 synthesis (Table 1).

190

191 PCR amplification reaction conditions for all of the investigated genes are presented
192 in supplemental material (Supplemental Material Table S1). All reactions contained
193 100 ng of purified DNA, 50 pmol/μL of forward and reverse primers (MWG-Biotech
194 AG, Ebersberg, Germany), 10 X amplification buffer containing 2.5 mM MgCl₂, 200
195 μM dNTPs (Promega, Madison, WI) and 0.5 U *Taq*DNA Polymerase (New England
196 Biolabs, Ipswich, MA) or *Pfu* Polymerase (Chimerx, Madison, WI).

197

198 **Results and Discussion**

199 **Serotyping**

200 Initially, PCR was carried out on all 83 equine *E. coli* isolates in order to assign them
201 to one of three known pathogenic *E. coli* serogroups (*rfb*_{O157}, *rfb*_{O26} and *rfb*_{O111}). One
202 isolate in this study produced a PCR product for serogroup O26, while no amplicons
203 corresponding to the serogroups O157 or O111 were detected (Table 2). However,
204 of the 25 representative isolates sent for conventional serotyping, 10 were typeable

205 (*E. coli* O1, O101, O153, O19a, O33, O40, O91; Table 2). All but the O19a
206 serogroup have previously been reported in animal sources including: foals, cattle,
207 pigs, sheep, goats, poultry, cats (Krause et al., 2005; Mora et al., 2011). Serogroups
208 O1, O26, and O101 have previously been associated with both healthy and
209 diarrhoeagenic foals (Holland et al., 1996) however, this is the first reported
210 collection of *E. coli* isolates from equine sources to contain serogroups O19a, O33,
211 O40, O91 and O153, though they have been previously assessed for biocide
212 tolerance in a separate study (Sheridan et al., 2012). In human infection, all 8
213 serogroups are of clinical significance. All but O19a have previously been associated
214 with human clinical shiga toxin-producing *E. coli* (STEC) infection (Werber et al.,
215 2008; Vally et al., 2012) and serogroups O1, O19a, O40, O101 and O153 have also
216 been identified among human clinical ExPEC isolates (Ciesielczuk et al., 2016).
217 Novel STEC/EPEC hybrid strains have been recently associated with patients with
218 haemolytic uraemic syndrome (HUS), these included isolates of the serogroups
219 O101 and O153 (Nyholm et al., 2015). The German outbreak involving *E. coli*
220 reported in 2011 was linked to a hybrid STEC/EAEC, a feature which highlighted the
221 danger of these combinations and the need to routinely screen for multiple sets of
222 virulence factors (Mora et al., 2011).

223

224

225 **Virulence gene characterisation**

226 Of the 83 equine *E. coli* isolates examined, none were found to harbour the *stx 1* or
227 *stx 2* genes; the absence of a single STEC isolate in this collection is unexpected
228 considering the recent increase in outbreaks associated with

229 domesticated/companion animals in public settings (Byrne et al., 2015, Murray et al.,
230 2017).

231 Of the 11 virulence genes investigated (Table 1), only 4 were detected among these
232 equine *E. coli* isolates (Table 3). Isolates harbouring two or more of these virulence
233 genes are defined as extraintestinal pathogenic *E. coli* (ExPEC) (Xia et al., 2011).

234 Thirty-nine (46%) of the isolates in this study were classified as ExPEC on this basis,
235 and hence are considered to be potentially pathogenic to humans and animals. The
236 most commonly detected virulence gene in the collection was *iucD* (57 %). This gene
237 codes for a siderophore (an iron chelating compound), which enables *E. coli* to
238 survive in iron-poor environments such as those encountered in UTIs and is related
239 to the virulence of septicemic *E. coli* from non-equine sources (Siqueria et al., 2009).

240 The occurrence of the fimbriae *F17* gene *afa/draBC* (31%) is comparable to the
241 results of one of few studies available on *E. coli* in horses (van Duijkeren et al.,
242 2000). Van Duijkeren and colleagues identified the presence of *afa/draBC* in 30% of
243 *E. coli* recovered from horses with diarrhoeal disease, and none in *E. coli* from
244 healthy horses, suggesting that these fimbriae might have a role in the cause of
245 diarrhoeal disease in horses. *F17* has also been associated with mastitis
246 (Ghanbarpour and Oswald, 2010). The *papC* gene, producing an adhesin associated
247 with both extraintestinal pathogenicity and an increased capacity to colonize the
248 human intestine, was identified in 36% of isolates. In animals, the *papC* gene has
249 also been associated with UTIs, respiratory tract infections, soft tissue infections and
250 diarrhoeagenic infection (Siqueria et al., 2009; Ewers et al., 2014). The presence of
251 the *sfa/focDE* gene was determined in only 2% of isolates. It has been associated
252 with biofilm production in *E. coli* (Naves et al., 2008); biofilm forming properties are
253 considered important for bacteraemia in the urinary tract of humans and animals

254 (Wiles et al., 2008). All four virulence determinants are frequently documented in
255 ExPEC *E. coli* populations and are of clinical significance (Wiles et al., 2008). In
256 addition, we extended the genetic analysis of ten ExPEC isolates by means of a *E.*
257 *coli* K12 O157 v2 DNA microarray (Kyle et al. 2010) confirming the presence of
258 these virulence genes and revealing further putative virulence, stress, quorum
259 sensing and antimicrobial resistance (including efflux and porins) genes of interest
260 (Supplemental Material; Word document 1 and Figure S1). These results signal the
261 need for increased attention to be focused on companion/sport animal reservoirs as
262 potential public health risks.

263

264 **PFGE subtyping and phylogenetic classification**

265 The collection of equine *E. coli* had a diverse range of pulsotypes of which 33 could
266 be grouped into 9 clusters (C1-9) of 3 or more isolates based on a genetic
267 relatedness criterion of 80% (Figure 1). Six pulsotypes (A, B, C, D, E and J) were
268 common to 2 isolates; 4 pulsotypes (F, G, H, I) were common to 3 isolates; 60
269 isolates had distinct pulsotypes. Pulsotypes A and B were closely related with
270 percentage similarity at 93 % confidence and all 4 isolates belonged to the same
271 phylogenetic group A.

272

273 According to Clermont et al. (2000), *E. coli* belonging to phylogenetic groups A and
274 B1 are considered non-pathogenic commensal strains, while strains belonging to
275 groups B2 and D are more likely to be pathogenic. The majority of isolates belonged
276 to phylogenetic group A (57 %); while the remainder of isolates belonged to
277 phylogenetic groups B1 (14 %), B2 (6 %) and D (23 %).

278

279 ExPEC belonging to both pathogenic and non-pathogenic phylogenetic groups were
280 isolated from foals presenting with diarrhoea. This result is in contrast with other
281 studies reporting on equine and other *E. coli* populations wherein ExPEC isolates
282 predominantly belong to phylogroup B2 (Xia et al., 2011; Ewers et al., 2014).
283 Although typing of human isolates shows good correlation between the phylogenetic
284 group and pathogenicity (Clermont et al., 2000), animal-associated ExPEC can be
285 phylogenetically distinct. Therefore, caution should be exercised when defining such
286 bacteria as commensals (Ghanbarpour and Oswald, 2010). Furthermore,
287 commensal *E. coli* can cause extra-intestinal disease when predisposing factors for
288 infection are present (Russo and Johnson, 2003). Therefore, although the majority of
289 the isolates investigated in this study may be phylogenetically classified as
290 commensal organisms, they may have the potential to be clinically significant.
291 Further refinement of this phylogenetic classification had recently been documented
292 by Clermont et al. (2013).

293

294 **Adherence and invasion**

295 Caco-2 cells, a homolog for enterocytes in the intestinal epithelium, were employed
296 to further assess the pathogenicity of isolates with different virulence gene profiles.
297 Bacterial adherence ranged from 6.31 to 7.73 log₁₀cfu mL⁻¹ and bacterial invasion
298 ranged from 1.35 to 5.36 log₁₀cfu mL⁻¹ for all 6 isolates (Figure 2). The non-ExPEC
299 *iucD* isolate (Eq23) was more adherent to Caco-2 cells (0.85 log₁₀cfu mL⁻¹, $p \leq$
300 0.001) compared to the adherent control isolate as well as the other isolates
301 examined. However, this did not result in greater invasive ability. The only isolate to
302 be classed as invasive (5.27 log₁₀cfu mL⁻¹, $p \leq 0.05$) was the ExPEC isolate (Eq67)
303 with the *iucD-afa/draBC-papC* virulence profile. Mellor et al. (2009) demonstrated

304 that *E. coli* isolates considered pathogenic to humans do not display a greater ability
305 to attach to the Caco-2 cell line than those that are not. Perhaps cell lines modelling
306 other common ExPEC sites of infection, such as the human J-82 bladder homolog,
307 might reveal more about the potential these equine ExPEC have to cause infection.

308

309 **Antimicrobial resistance profiles**

310 All isolates demonstrated an MDR phenotype to critically important antimicrobial
311 agents for human medicine (WHO, 2017). Resistance was demonstrated to between
312 4 and 13 of the antimicrobial agents tested with some 46 different resistance profiles
313 recognised (Supplemental Material Table S2). The majority of isolates (74 %) were
314 resistant to 10 or more antimicrobial compounds. A summary of the frequency of
315 antimicrobial resistance is presented in Table 3. The most common MDR profile
316 among isolates (16 %) had resistance to 12 different compounds, including
317 ampicillin, trimethoprim, ciprofloxacin, streptomycin and tetracycline. Recently,
318 increasing numbers of MDR *E. coli* have been isolated from animal and human
319 sources (ECDC, 2017; Kennedy et al., 2017) and the acquisition of resistance genes
320 may convey a certain competitive advantage and ultimately lead to increased levels
321 of MDR *E. coli* in microbial populations (Webber et al., 2017).

322

323 **Occurrence of resistance determinants**

324 Antimicrobial agents frequently used in veterinary hospitals include broad-spectrum-
325 activity drugs, such as β -lactams and fluoroquinolones. Thus, hospitalized animals
326 may constitute an important reservoir of antimicrobial resistance (Karczmarczyk et
327 al., 2011a; WHO, 2017). Twenty-one percent of isolates possessed the commonly
328 reported *tet(A)* gene, 61% possessed the *tet(G)* gene and 15% of isolates carried

329 both, accounting for 53 of the 81 tetracycline resistant isolates. Sixty-five percent of
330 the MDR equine *E. coli* isolates harboured one or more TEM, SHV and CTX-M-2
331 group β -lactamases (Table 3), as determined by PCR. The specific variants of these
332 β -lactamases were not identified. AmpC β -lactamases are cephalosporinases that
333 confer resistance to a wide variety of β -lactam drugs and give rise to serious
334 therapeutic challenges in veterinary and human medicine. β -Lactam resistance in *E.*
335 *coli* generally occurs as a result of deregulation of the putative *ampC* gene or the
336 acquisition of a mobile genetic element containing an *ampC* gene (Li et al., 2007).
337 The presence of plasmid-mediated *ampC* genes such as the *bla_{CMY}* variants were
338 not investigated here. In this study, the majority of isolates (79%) were positive for
339 *ampC* and *bla_{TEM}* (55%). Isolates with the endogenous *ampC* gene and the narrow-
340 spectrum *bla_{TEM-1}* gene are common in animals (Li et al., 2007). Consequently, the
341 high rates of ampicillin-resistant *bla_{TEM}*-positive isolates among the equine collection,
342 was to be expected (Li et al., 2007).

343 Both TEM and SHV enzymes belong to the class A family of β -lactamases and are
344 widely disseminated among the Enterobacteriaceae from veterinary sources (Li et
345 al., 2007). In this study *bla_{TEM}* was identified in over half the equine isolates however
346 *bla_{SHV}* was detected in only 4% of isolates. All were negative for *bla_{PSE}* or *bla_{OXA}*.

347

348 TEM enzymes often co-exist with CTX-M enzymes in bacteria of animal origin (Li et
349 al., 2007). CTX-M genes are currently regarded as the predominant extended-
350 spectrum β -lactam (ESBL) type of animal origin, while they have also been
351 associated with human isolates in Europe since the late 1990s (Bevan et al., 2017).
352 In Ireland, *bla_{CTX-M}*-mediated ESBL resistance is widespread (Burke et al., 2016,
353 Morris et al., 2016) however the number of *bla_{CTX-M-2}* group-positive isolates in this

354 study was low (n=5). To our knowledge, only one instance of *bla*_{CTX-M-2} has
355 previously been reported in animals in Ireland, as well as from bacteria of equine
356 origin (Karczmarczyk et al., 2011a). These results are of interest considering the
357 current epidemiology of these genes (Bevan et al., 2017).

358

359 Eighty-six percent of isolates were resistant to nalidixic acid, 79% were resistant to
360 norfloxacin, 77% resistant to enrofloxacin and 73% resistant to ciprofloxacin. The
361 high levels of resistance to quinolones and fluoroquinolones observed in this study
362 are of medical concern, since ciprofloxacin is considered a very valuable
363 antimicrobial agent and is the most effective drug in the treatment of Gram-negative
364 bacterial infections, such as those caused by *E. coli* and *Salmonella* species (WHO,
365 2017). Ciprofloxacin resistance is generally conferred by mutations in target genes
366 coding for DNA topoisomerases (*gyrA*, *gyrB*, *parC*, and *parE*) (Webber et al., 2017).
367 Mutations in the DNA gyrase *gyrA* have been commonly reported in ciprofloxacin-
368 resistant *E. coli* and *Salmonella* isolates (Karczmarczyk et al., 2011b). All isolates in
369 this study were found to have two amino acid substitutions in their GyrA subunit
370 (D87Y and S83F).

371

372 **Distribution of integrons and gene cassettes**

373 Class 1 and class 2 integrons were detected among 85 % of MDR *E. coli* isolated
374 from diarrhoeagenic foals. Seventy-one percent of isolates were determined to
375 possess the class 1 integrase gene (*intI1*), and just over half of these (54%) also
376 carried both the *qacEΔ1* and *sul1* genes. Class 1 integrons may be one of the
377 mechanisms responsible for the rise in MDR *E. coli* in animal production
378 environments (Kelly et al., 2009; Kennedy et al., 2017). Their contribution to

379 resistance appears to be directed against antimicrobial compounds, including
380 streptomycin, trimethoprim and sulfonamides. The presence of class 1 integrons
381 associated with resistance to trimethoprim, streptomycin, and sulfonamide, is in
382 agreement with previous investigations (Kelly et al., 2009; Karczmarczyk et al.,
383 2011a; Kennedy et al., 2017).

384 PCR amplification with consensus primers targeting the regions flanking the gene
385 cassettes yielded 6 different amplicons, ranging from 0.5- to 2.5-kbp in size.

386 Integrase I (*intI1*)-positive isolates possessed none (5%), 1 (31%), 2 (21 %), 3 (5 %),
387 4 (7 %) or 5 (2%) gene cassettes (Supplemental Material Table S2). A
388 representative of each of these 6 gene cassettes was sequenced and annotated. A
389 schematic representation of the gene cassettes is shown in Figure 3. These include
390 the *aadA1* and *aadA2* genes conferring aminoglycoside resistance, *dfrA1* and *dfrA2*
391 conferring trimethoprim resistance and *sat1* conferring streptothricin resistance.

392 Fourteen percent of isolates carried class 2 integrons, as determined by amplification
393 of the integrase gene (*intI2*). These isolates possessed none (2%), 1 (6%), 2 (4%), 3
394 (1%) or 5 (1%) gene cassettes (Supplemental Material Table S2). The most common
395 cassettes carried by the *intI2*-positive isolates were the 0.5-kbp (67 %) and the 1.0-
396 kbp cassettes (42 %). The variable gene cassette regions from within the integron
397 structures identified were typical of cassettes present in class 1 and class 2 integron
398 structures in *E. coli* and are widely disseminated among the Enterobacteriaceae
399 (Kadlec et al. 2008). These results reveal the potential to transfer their MDR to other
400 pathogenic and non-pathogenic bacteria.

401

402 The development of MDR in any zoonotic bacterial species gives rise to the potential
403 for it to be transmitted from animals to humans and this could lead to major health

404 issues such as the transfer of MDR to other human intestinal microflora as well as
405 other human pathogens that are traditionally susceptible to these agents (Kelly et al.,
406 2009). MDR infections are associated with poorer clinical outcomes and higher cost
407 of treatment than other infections, and there are already reports of pan-resistant
408 strains in Gram-negative bacteria leading to treatment failure (Xiong et al., 2017).
409 Colonization of diarrhoeagenic foals with MDR *E. coli* is particularly challenging,
410 given the importance of these drugs. The ongoing usage of antimicrobial compounds
411 in the treatment of animals increases the selective pressure for emergence of MDR
412 organisms and dissemination of resistance (Webber et al., 2017).

413

414 **Conclusion**

415 The detection of potentially pathogenic MDR equine ExPEC in this study suggests a
416 need for heightened attention to be focused on companion/sport animals as possible
417 sources for human acquisition of disease-causing *E. coli*. Close monitoring of the
418 virulence and antimicrobial resistance of these bacterial populations, in order to
419 better understand their potential public health risk, is of great importance.

420

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425

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599

600 [Tables](#)

601 Table 1: PCR primer characteristics

602 Table 2: Typable equine *E. coli* strains as determined by Public Health England

603 Table 3: The percentage of antibiotic resistance, resistance markers and virulence
604 genes among equine *E. coli* isolates.

605

606 [Figure captions](#)

607 Figure 1: Dendrogram showing genotypic similarities between the equine *E. coli*
608 isolates (n=83) based on pulsotypes.

609 Figure 2: Adherence (A) and invasion (B) of Caco-2 cells by equine *E. coli* isolates
610 positive or negative for virulence genes.

611 Figure 3: Schematic representation of the organization of sequenced gene cassettes
612 of class 1 integrons.

613

1 **Figure legends**

2

3 Figure 2 legend: Adhesion (A) and invasion (B) of equine *E. coli* to human Caco-2

4 cells. Values indicate means of three separate experiments \pm S.E. The results of

5 statistical analysis are indicated by the letters a, b and c. Strains with different letters

6 are significantly different from each other ($p < 0.05$).

7 Figure 3 legend: *aad* - aminoglycoside adenylyltransferase; *attI1* - Integron associated

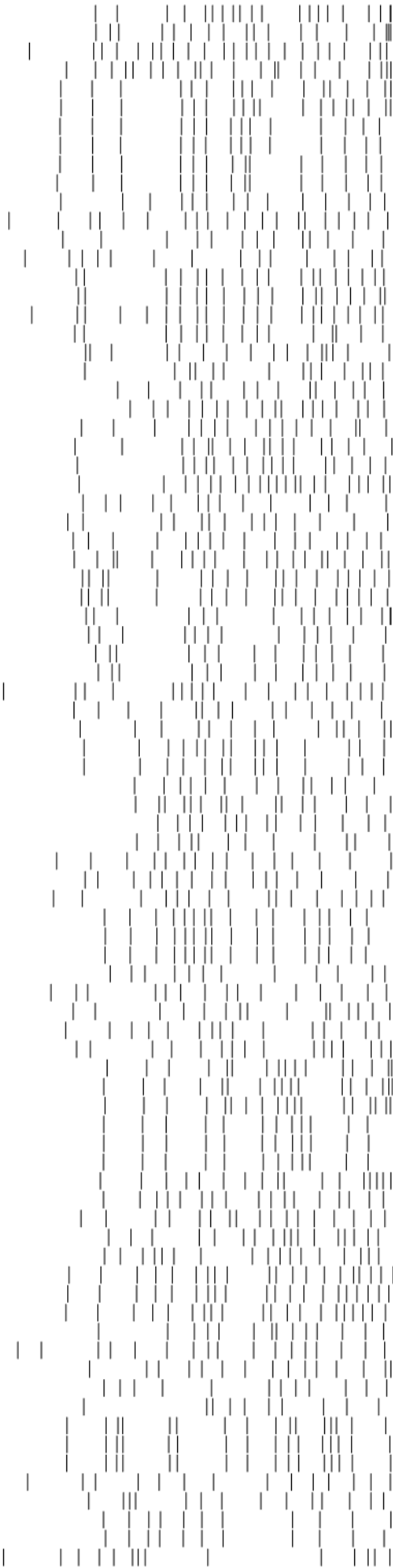
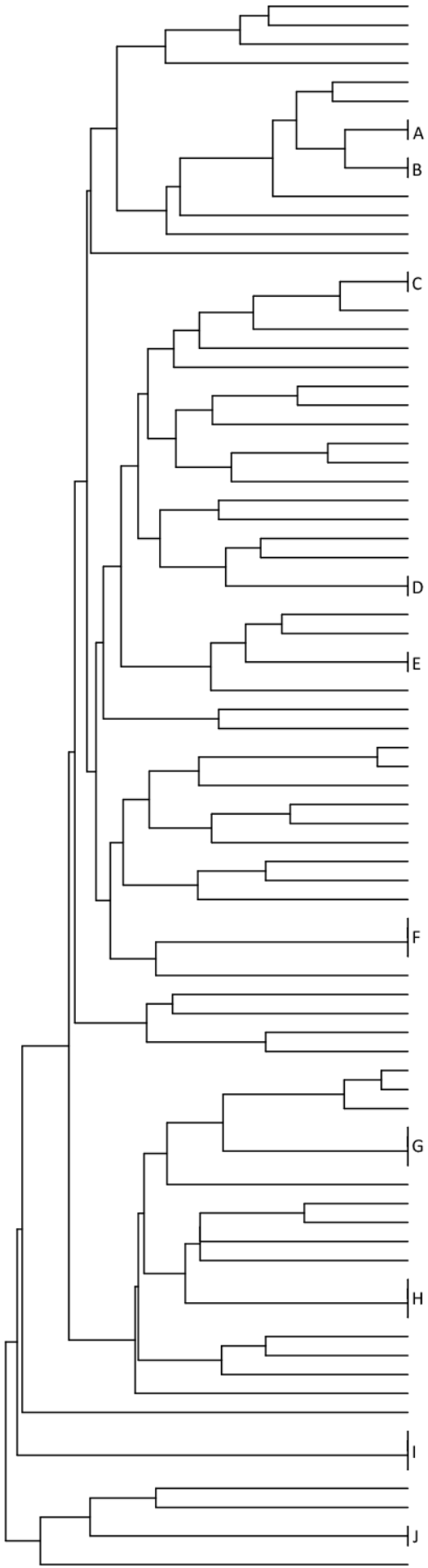
8 recombination site; *dfr* - dihydrofolate reductase; *sat1* - streptothricin acetyl

9 transferase; 59bp - 59 base pair element recombination site; hp - hypothetical

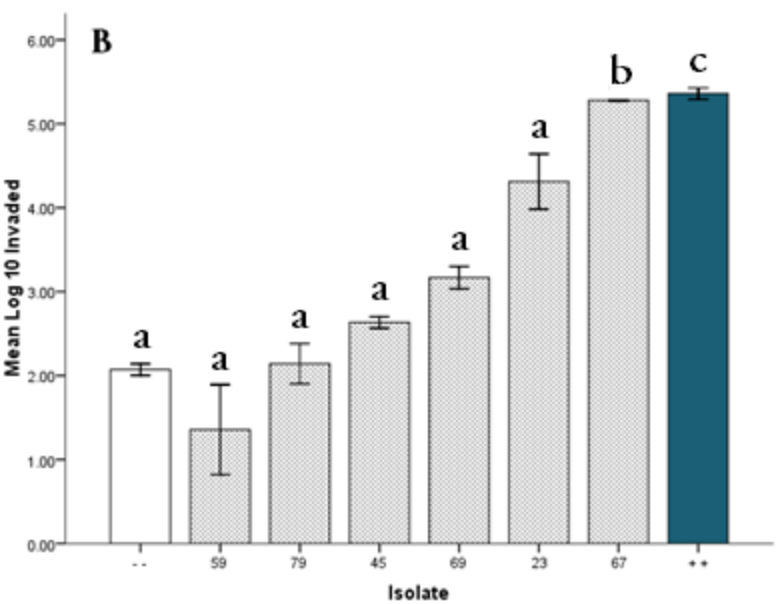
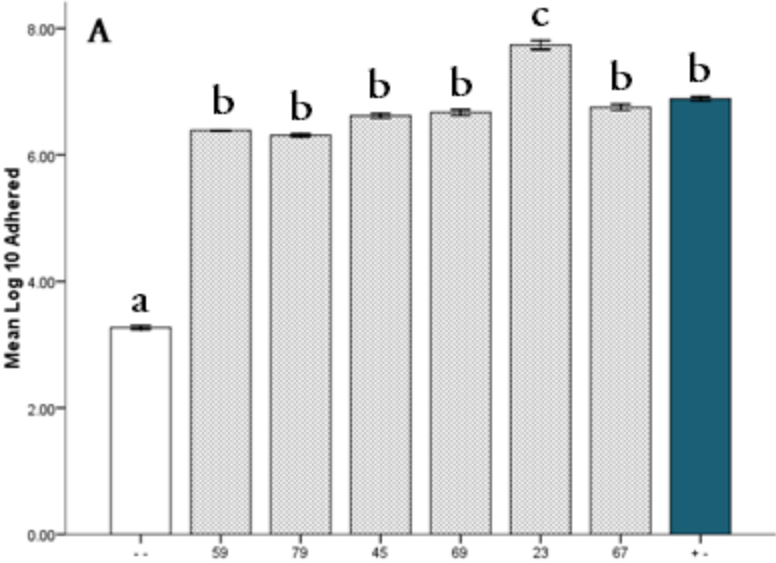
10 protein; CS - conserved segment. Arrowheads represent the direction of the

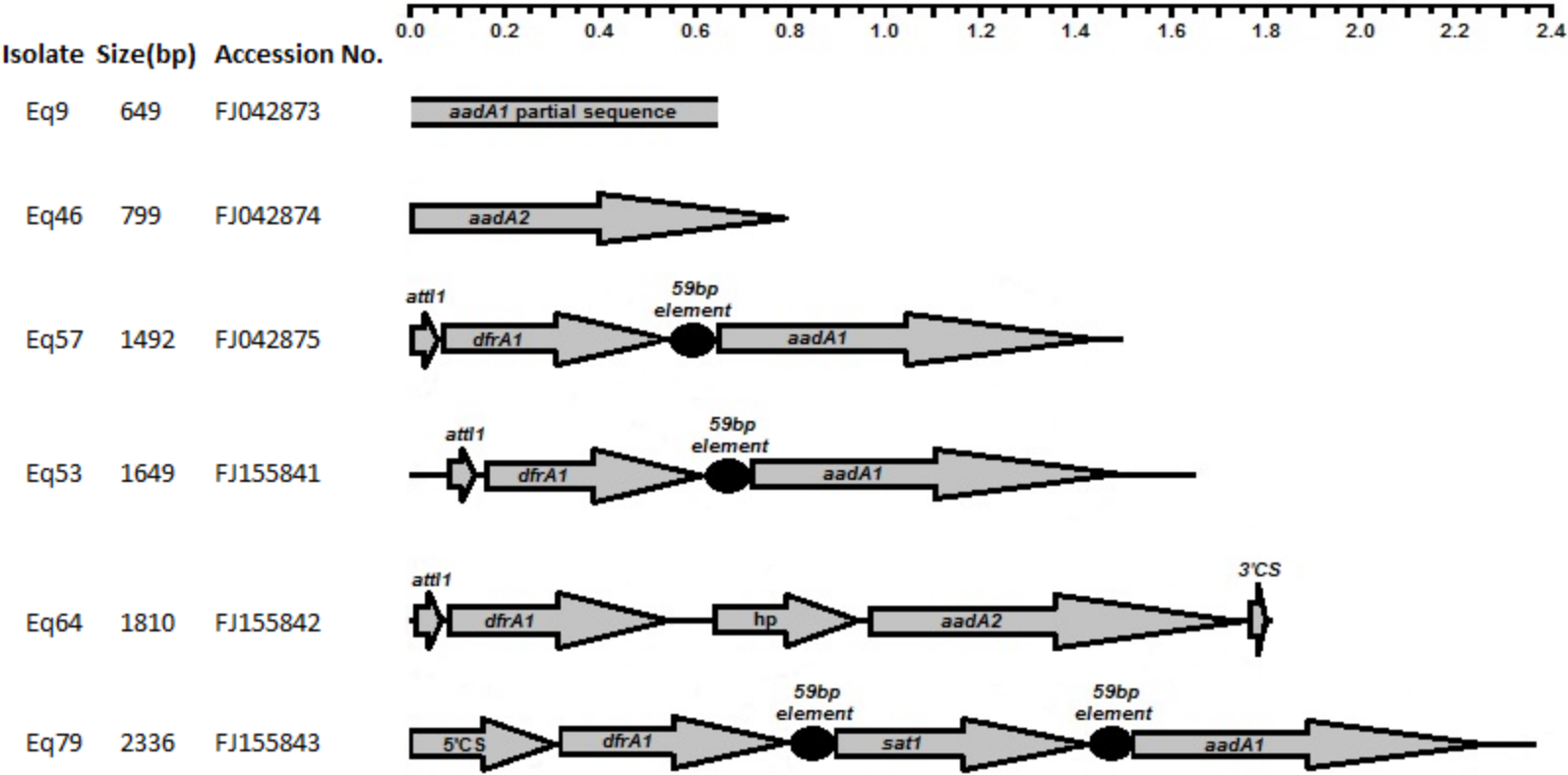
11 transcription.

100 95 90 85 80 75 70 65 60 55 50 45 40 35 30 25 20 15 10 5 0



- Eq 59 } C-1
- Eq 76 } C-1
- Eq 78 } C-1
- Eq 54 } C-2
- Eq 52 } C-2
- Eq 79 } C-2
- Eq 24 } C-2
- Eq 47 } C-2
- Eq 13 } C-2
- Eq 19 } C-2
- Eq 70 } C-3
- Eq 63 } C-3
- Eq 15 } C-3
- Eq 44 } C-3
- Eq 42 } C-3
- Eq 73 } C-3
- Eq 1 } C-3
- Eq 12 } C-3
- Eq 14 } C-3
- Eq 49 } C-3
- Eq 10 } C-3
- Eq 38 } C-3
- Eq 17 } C-3
- Eq 33 } C-3
- Eq 67 } C-3
- Eq 85 } C-3
- Eq 23 } C-3
- Eq 71 } C-3
- Eq 45 } C-3
- Eq 86 } C-3
- Eq 4 } C-3
- Eq 5 } C-3
- Eq 60 } C-4
- Eq 69 } C-4
- Eq 51 } C-4
- Eq 7 } C-4
- Eq 81 } C-4
- Eq 21 } C-4
- Eq 56 } C-4
- Eq 68 } C-4
- Eq 72 } C-4
- Eq 30 } C-4
- Eq 11 } C-4
- Eq 74 } C-4
- Eq 62 } C-4
- Eq 32 } C-4
- Eq 66 } C-4
- Eq 6 } C-4
- Eq 25 } C-5
- Eq 27 } C-5
- Eq 29 } C-5
- Eq 18 } C-5
- Eq 20 } C-5
- Eq 53 } C-5
- Eq 50 } C-5
- Eq 61 } C-5
- Eq 75 } C-6
- Eq 83 } C-6
- Eq 80 } C-6
- Eq 34 } C-7
- Eq 35 } C-7
- Eq 48 } C-7
- Eq 58 } C-7
- Eq 3 } C-8
- Eq 64 } C-8
- Eq 41 } C-8
- Eq 2 } C-8
- Eq 65 } C-8
- Eq 77 } C-8
- Eq 82 } C-8
- Eq 40 } C-8
- Eq 43 } C-8
- Eq 55 } C-8
- Eq 46 } C-8
- Eq 37 } C-8
- Eq 22 } C-9
- Eq 8 } C-9
- Eq 9 } C-9
- Eq 36 } C-9
- Eq 84 } C-9
- Eq 31 } C-9
- Eq 39 } C-9
- Eq 57 } C-9





1 **Table 1:PCR primer characteristics**

		Sense ^a	Primer sequence (5'-to-3')	Reference
Serogroup	<i>rfb</i> _{O157}	F	CGG ACA TCC ATG TGA TAT GG	Paton and Paton, 1998
		R	TTG CCT ATG TAC AGC TAA TCC	
	<i>rfb</i> _{O111}	F	TAG AGA AAT TAT CAA GTT AGT TCC	Paton and Paton, 1998
		R	ATA GTT ATG AAC ATC TTG TTT AGC	
	<i>rfb</i> _{O26}	F	GCG CTG CAA TTG CTT ATG TA	Debroy et al., 2004
		R	TTT CCC CGC AAT TTA TTC AG	
Phylogenetic Group	<i>chuA</i>	F	GACGAACCAACGGTCAGGAT	Clermont et al., 2000
		R	TGCCGCCAGTACCAAAGACA	
	<i>yjaA</i>	F	TGAAGTGTGTCAGGAGACGCTG	Clermont et al., 2000
		R	ATGGAGAATGCGTTTCTCAAC	
	TSPE4C2	F	GAGTAATGTCTGGGGCATTCA	Clermont et al., 2000
		R	CGCGCCAACAAAGTATTGCG	
Virulence Gene	<i>stx1</i>	F	ACA CTG GAT GAT CTC AGT GG	Gannon et al., 1992
		R	CTG AAT CCC CCT CCA TTA TG	
	<i>stx2</i>	F	CCA TGA CAG ACG GAC AGC AGT T	Gannon et al., 1992
		R	CCT GTC AAG CTG AGC ACT TTG	
	<i>eaeA</i>	F	GAC CCG GCA CAA GCA TAA GC	Lenahan et al., 2007
		R	CCA CCT GCA GCA ACA AGA GG	
	<i>hlyA</i>	F	GCA TCA TCA AGC GTA CGT TCC	Lenahan et al., 2007
		R	AAT GAG CCA AGC TGG TTA AGC T	
	<i>fliCh7</i>	F	GCGCTGTCGAGTTCTATCGAGC	Lenahan et al., 2007
		R	CAACGGTGACTTTATCGCCATTCC	
	<i>cnf1</i>	F	GAA CTT ATT AAG GAT AGT	Siqueira et al., 2009
		R	CAT TAT TTA TAA CGC TG	
	<i>iucD (aerobactin operon)</i>	F	TAC CGG ATT GTC ATA TGC AGA CCG T	Siqueira et al., 2009
		R	AAT ATC TTC CTC CAG TCC GGA GAA G	
	<i>papC</i>	F	GAC GGC TGT ACT GCA GGG TGT GGC G	Siqueira et al., 2009
		R	ATA TCC TTT CTG CAG GGA TGC AAT A	
	<i>sfa/focDE</i>	F	CTC CGG AGA ACT GGG TGC ATC TTA C	Siqueira et al., 2009
		R	CGG AGG AGT AAT TAC AAA CCT GGC A	
	<i>afa/draBC</i>	F	GCT GGG CAG CAA ACT GAT AAC TCT C	Siqueira et al., 2009
		R	CAT CAA GCT GTT TGT TCG TCC GCC G	
	<i>neuC(K1)</i>	F	AGG TGA AAA GCC TGG TAG TGT G	Johnson & Stell, 2000
		R	GGT GGT ACA TCC CGG GAT GTC	
A^R Gene	<i>bla</i> _{TEM}	F	GTA TGG ATC CTC AAC ATT TCC GTG TCG	Zhou et al., 1994
		R	ACC AAA GCT TAA TCA GTG AGG CA	
	<i>bla</i> _{PSE}	F	CGC TTC CCG TTA ACA ACT AC	Winokur et al., 2000
		R	CTG GTT CAT TTC AGA TAG CG	
	<i>bla</i> _{SHV}	F	TCA GCG AAA AAC ACC TTG	Kennedy et al., 2017
		R	TCC CGC AGA TAA ATC ACC A	
	<i>bla</i> _{oxA}	F	TAT CTA CAG CAG CGC CAG TG	Kennedy et al., 2017
		R	CGC ATC AAA TGC CAT AAG TG	
	<i>ampC</i>	F	CCC CGC TTA TAG AGC AAC AA	Kennedy et al., 2017
		R	TCA ATG GTC GAC TTC ACA CC	
	<i>tet(A)</i>	F	GCT ACA TCC TGC TTG CCT TC	Kennedy et al., 2017
		R	CAT AGA TCG CCG TGA AGA GG	
	<i>tet(G)</i>	F	GCT CGG TGG TAT CTC TGC TC	Kennedy et al., 2017
		R	AGC AAC AGA ATC GGG AAC AC	
	<i>bla</i>_{CTX-M-2} group	F	TGA TAC CAC CAC GCC GCT C	Xu et al., 2005
		R	TAT TGC ATC AGA AAC CGT GGG	
	<i>gyrA</i>	F	TGT CCG AGA TGG CCT GAA GC	Baucheron et al., 2002
		R	CGT TGA TGA CTT CCG TCA G	
Integron Components	gene cassette	F	GGC ATC CAA GCA GCA AGC	Sandvang et al., 1998
		R	AAG CAG ACT TGA CCT GAT	
	<i>int11</i>	F	GTG GAT GGC GGC CTG AAG CC	Sandvang et al., 1998
		R	ATT GCC CAG TCG GCA GCG	
	<i>int12</i>	F	CAC GGA TAT GCG ACA AAA AGG T	Kennedy et al., 2017
		R	GTA GCA AAC GAG TGA CGA AAT G	
	<i>sul1</i>	F	CTT CGA TGA GAG CCG GCG GC	Sandvang et al., 1998
		R	GCA AGG CCG AAA CCC GCG CC	
	<i>qacEΔ1</i>	F	ATC GCA ATA GTT GGC GAA GT	Sandvang et al., 1998
		R	CAA GCT TTT GCC CAT GAA GC	

2 ^aF, forward; R, reverse; A^R, antibiotic resistance target

1 **Table 2:** Typeable *E. coli* strains as determined by conventional serotyping of 25
 2 representative *E. coli* isolates and in-house PCR recovered from diarrhoeagenic
 3 foals by the Irish Equine Centre

	Isolate Code	Serotype
<u>Conventional Serotyping</u>	Eq4	<i>E. coli</i> O1
	Eq48	<i>E. coli</i> O1
	Eq74	<i>E. coli</i> O101
	Eq50	<i>E. coli</i> O153
	Eq77	<i>E. coli</i> O153
	Eq44	<i>E. coli</i> O19a
	Eq54	<i>E. coli</i> O33
	Eq45	<i>E. coli</i> O40
	Eq75	<i>E. coli</i> O91
<u>In house PCR serotyping</u>	Eq64	<i>E. coli</i> O26

4 Serotyping results for the remaining 16 isolates were not available, as the isolates were
 5 'unidentifiable' using traditional serotyping techniques.

1 **Table 3:** Antibiotic resistance, associated resistance markers, and virulence genes
 2 among equine *E. coli* isolates

Antimicrobial Agents	% Resistance
Ampicillin*	93
Amikacin*	6
Amoxicillin/clavulanic acid*	30
Gentamicin*	68
Ciprofloxacin*	73
Enrofloxacin*	77
Kanamycin*	70
Cefalothin	67
Naladixic Acid*	86
Norfloxacin*	79
Streptomycin*	98
Sulfonamide	98
Tetracycline	96
Trimethoprim	98
Integron Components	% Present
<i>int1</i>	71
<i>int2</i>	14
<i>qacEΔ1</i>	71
<i>sul1</i>	57
Gene Cassettes (kb)	% Present
0.5	64
1.0	40
1.5	35
1.7	10
2.0	4
2.5	6
Antibiotic Resistance Determinants	% Present
<i>ampC</i>	80
<i>bla_{SHV}</i>	4
<i>tet(A)</i>	21
<i>tet(G)</i>	61
<i>bla_{TEM}</i>	55
<i>bla_{CTX-M-2} group</i>	6
Virulence Genes	% Present
<i>iucD</i>	57
<i>afa/draBC</i>	31
<i>papC</i>	36
<i>sfa/focDE</i>	2

*Critically important antimicrobial agents (WHO, 2017)

3

