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Determination of the Effect of Dairy Powders on Adherence of Streptococcus sobrinus and Streptococcus salivarius to Hydroxylapatite and Growth of these Bacteria

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1	Determination of the Effect of Dairy Powders on Adherence of Streptococcus
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3	Bacteria
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26 Abstract

Dental caries is a highly prevalent disease caused by colonisation of tooth surfaces by 27 28 cariogenic bacteria, such as Streptococcus sobrinus and S. salivarius. Reducing initial 29 adherence of such bacteria to teeth may delay onset of caries. Many foods, such as 30 milk, can inhibit microbial adherence. In this investigation, the effect of untreated 31 (UT) and enzyme-treated (ET) dairy powders on adherence of S. sobrinus and S. 32 salivarius to hydroxylapatite (HA), an analogue of tooth enamel, was examined. UT 33 acid whey protein concentrate (WPC) 80 inhibited streptococcal adherence to 34 phosphate-buffered saline-coated HA (PBS-HA) and saliva-coated HA (S-HA) by >80% at $\ge 31.25\mu$ g mL⁻¹. UT sweet WPC80, buttermilk powder and cream powder 35 also significantly reduced adherence (P<0.05). Enzyme-treatment of all dairy powders 36 37 reduced their anti-adhesion activity. However, ET sweet WPC80 significantly inhibited growth of these streptococci (P < 0.05) at ≥ 0.6 mg mL⁻¹. Therefore, dairy 38 39 powders may reduce progression of dental caries by their anti-adhesion and /or 40 antibacterial activity.

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42 Keywords: *Streptococcus sobrinus*, *Streptococcus salivarius*, dairy powders,
43 inhibition of adherence, fluorescence, growth inhibition.

Abbreviations: PBS-HA; Phosphate-buffered saline-coated hydroxylapatite, S-HA;
Saliva-coated hydroxylapatite, SWPC80; Sweet whey protein concentrate 80,
AWPC80; Acid whey protein concentrate 80, SWPC35; Sweet whey protein
concentrate 35, WPI; Whey protein isolate, WP; Whey powder, DW; Demineralised
whey, BMP; Buttermilk powder, CP; Cream powder, EA; Egg albumin, PPL; Porcine
pancreatic lipase.

50 1. Introduction

51 Dental caries is a bacterial disease characterised by a localised progressive, 52 molecular disintegration of the tooth (Marcotte and Lavoie, 1998). Tooth decay and 53 periodontal disease are among the most common bacterial infections in humans 54 (Loesche, 1986), affecting both children and adults (Aas et al., 2005). The main 55 etiological agents of human dental caries are the mutans streptococci, such as 56 Streptococcus sobrinus (Loimaranta et al., 1997), a strongly acidogenic bacterium 57 (Nascimento et al., 2004). Though it is not a member of the mutans streptococci, 58 Streptococcus salivarius is also associated with formation of dental caries (Becker et 59 al., 2002). S. salivarius is one of the earliest colonisers of the oral cavity following 60 birth (Carlsson et al., 1970), and has long been recognised as a 'potent acid producer' 61 (Shiere et al., 1951). In addition to causing dental caries, microorganisms inhabiting 62 the oral cavity can be introduced into the bloodstream, leading to occurrence of 'focal 63 oral infections', including bacteremia, endocarditis and meningitis (Gendron et al., 64 2000, Reif et al., 2009).

65 Adherence to oral mucosa and tooth surfaces is a vital step for bacterial colonisation of the oral cavity, as adherence provides resistance to salivary flow 66 67 (Marcotte and Lavoie, 1998). In the 1970's Liljemark and co-workers proposed that 68 the initial colonisation of the tooth surface was of utmost importance when attempting 69 to prevent or control formation of dental plaque (Liljemark et al., 1978). In recent 70 years, many foods and beverages such as water-soluble protein-fraction (WSPF) of 71 hen egg yolk (Gaines et al., 2003), cranberry constituents (Yamanaka et al., 2004), 72 barley coffee (Papetti et al., 2007) and herbal extracts (Limsong et al., 2004, Chen et al., 2005) have been found to reduce adherence of caries-causing bacteria to tooth 73 74 surfaces. Human milk represents a classic example of how dietary constituents are capable of reducing bacterial adherence (Ofek *et al.*, 2003). It is not unreasonable to
speculate that the equivalent components of bovine milk and milk-derived products,
such as whey, may also possess adherence inhibitory properties.

78 Addition of rennin or acid to milk causes the casein proteins to coagulate, 79 while the remaining liquid phase is referred to as whey (Zadow, 1994). The main 80 constituents of whey include protein, lactose, vitamins, minerals and traces of milkfat 81 (Anonymous, 2003). Whey proteins are recognised as having both nutritional and 82 functional properties (Smithers, 2008), but some biologically active peptides 83 harboured within these proteins are latent until they are liberated by the action of 84 hydrolytic enzymes (Sinha et al., 2007). Peptides exhibiting antimicrobial properties 85 have been isolated from whey proteins such as β -lactoglobulin, α -lactalbumin and 86 lactoferrin following proteolysis (Lopez-Exposito and Recio, 2006).

87 The milkfat component of whey may also possess antimicrobial activity. 88 Bovine milkfat contains a broad range of fatty acids varying in chain length and 89 degree of saturation (Jensen and Newburg, 1995). In the 1970's, researchers reported 90 that the antimicrobial action observed for milkfat was dependent on the release of free 91 fatty acids and monoglycerides by the hydrolytic action of lipases (Sun et al., 2002). 92 Generally, Gram positive microorganisms (such as streptococci) are lipid sensitive 93 whereas Gram negatives are not (Kabara et al., 1972), but some exceptions to this 94 trend exist (Sprong, 2002).

Considering these points, it is evident that both the protein and milkfat constituents of whey may have the potential to inhibit cariogenic bacteria, particularly following enzyme treatment. Further to this, it has been reported that some bioactive peptides derived from dairy proteins can possess multi-functional properties (Haque and Chand, 2008). Thus, in addition to antibacterial peptides, hydrolysis of wheyproteins may lead to production of peptides possessing anti-adhesion activity.

101 Research carried out in this laboratory (Halpin et al., 2008) has shown that a 102 range of untreated dairy powders reduced adherence of the cariogenic bacterium S. 103 mutans to hydroxylapatite, a calcium-phosphate analogue of human tooth enamel 104 (Gibbons et al., 1976, Clark and Gibbons, 1977). Further to this, more recent research 105 carried out by this group has shown that dairy powders pre- and post-hydrolysis can 106 inhibit adhesion of S. mutans to HA, and that enzyme treated SWPC80 inhibits 107 growth of this microorganism (Halpin et al., 2011). The aims of the present study 108 were firstly to assess the effects of various untreated and enzyme-treated dairy 109 products on the adherence of S. sobrinus and S. salivarius to hydroxylapatite. 110 Adherence was examined in the presence and absence of saliva. In addition, the effect 111 of enzyme-treated sweet whey protein concentrate on the growth of these cariogenic 112 streptococci was examined.

113 **2. Materials and Methods**

114 **2.1 Bacterial Isolates and Growth Conditions**

S. sobrinus (DSM 20742) was obtained from the German Collection of
Microorganisms and Cell Cultures (DSMZ, Braunschweig, Germany). S. salivarius
(2184 D41287), a clinical isolate, was kindly donated by Professor Martin Cormican,
Microbiology Department, National University of Ireland, Galway.

Both strains were maintained on Protect[™] Bacterial Preserve beads (Technical
Service Consultants Ltd, Lancashire, UK) at -80°C. A single bead from the frozen
stock culture was used to inoculate a Columbia blood agar plate (CBA: Oxoid,
Hampshire, England) and grown aerobically at 37°C for 48 h. A single colony from

- 123 the blood agar plate was subsequently used to inoculate 20mL of brain heart infusion
- 124 (BHI) broth (BHI Broth: LabM, Lancashire, UK) and grown under aerobic conditions
- 125 without shaking at 37°C for 18 h.

126 **2.2 Source and Characterisation of Dairy Powders**

Sweet whey protein concentrate (SWPC80), acid WPC 80 (AWPC80), sweet WPC 35
(SWPC35), whey protein isolate (WPI), whey powder (WP) and demineralised whey
(DW) powders were supplied by Carbery Milk Products (Ballineen, Cork, Ireland).
Buttermilk powder (BMP) and cream powder (CP) were supplied by Kerry Group plc
(Tralee, Co. Kerry, Ireland). Albumin from chicken egg white (grade V) was supplied
by Sigma (Poole, Dorset, UK).

Compositional analysis was performed on each dairy product using standard methods.
Ash content was analysed according to Malkomesius & Nehring (1951). Fat content
was determined according to the method of Röse-Gottlieb (International Dairy
Federation, IDF, 1987), protein content was determined by the Kjeldahl method (IDF,
1993) and the moisture content was determined by the IDF reference method (IDF,
1993).

139 2.3 Hydrolysate Preparation Conditions

140 Crude porcine pancreatic lipase (PPL, 100-400 units/ mg protein) (Sigma, Poole, 141 Dorset, England) was used throughout the study. Hydrolysates were prepared in a 142 Fermac 200 fermentor (Electrolab Ltd, Tewkesbury, UK) as follows: a c. 2% (w/v) 143 solution of substrate was prepared by dissolving 20g of dairy powder in 900mL of 144 sterile distilled water and heating at 37° C with stirring for 30 min. Lipase solution (1g 145 of PPL in 100mL of sterile H₂0) was added to the substrate solution to give a final 146 incubation volume of 1 L. The substrates were then incubated for 18 h at 37°C with stirring. The resulting hydrolysates were heated at 60°C for 10 min in order to
denature the enzyme(s). Each hydrolysate was then placed on ice and allowed to cool
to less than 10°C (approx. 45 min), before being frozen using liquid nitrogen and
subsequently lyophilised (Moduloyo, Edwards High Vacuum, Manor Royal, Crawley,
Sussex, UK).

152 2.4 Adhesion Assay

153 2.4.1 Preparation of Hydroxylapatite

Hydroxylapatite (HA) beads were supplied by Merck (Darmstadt, Germany). Both buffer-coated and saliva-coated HA were used throughout the study Particle size analysis using a Malvern Mastersizer (Malvern Instruments Ltd., Worcestershire, UK) showed the average diameter (D [4,3]) of the HA beads to be approximately 10µm. Phosphate-buffered saline coated HA (PBS-HA, PBS: Oxoid, Hampshire, England) was prepared by suspension of 7.5mg mL⁻¹ HA in PBS immediately before use in the adherence assays.

161 Saliva-coated-HA (S-HA) was prepared similarly to the protocol set out by Gibbons 162 and Etherden (1982) as follows: parafilm-stilumated whole saliva was collected in an 163 ice-chilled tube from two healthy donors (1 male, 1 female) at least 1 h after eating, 164 drinking or brushing of teeth. The saliva was heated at 60°C for 30 min to inactivate degenerative enzymes, and subsequently centrifuged at $12,000 \times g$ for 15 min. The 165 166 pellet was discarded and the supernatant (i.e. clarified whole saliva) was used to prepare a 7.5mg mL⁻¹ dispersion of HA. Aliquots (150µL) of this dispersion were 167 168 dispensed into the wells of a 96-well V-bottomed plate (Sarstedt, Newton, North Carolina, USA), and incubated at 30°C for 1 h with gentle agitation (4.5 \times g). 169 Following this, the microtitre plate was centrifuged at $805 \times g$ for 2 min, the 170 171 supernatants discarded and the S-HA pellets washed twice with sterile pre-warmed PBS to remove excess saliva. The S-HA pellets were subsequently resuspended insterile PBS for use in the adherence assay.

174 2.4.2 Preparation of Syto® 13 dye

175 Syto® 13 dye (Molecular Probes, Oregon, USA) was supplied as a 5mM solution in 176 dimethylsulphoxide (DMSO). This concentration was adjusted to 5 μ M by appropriate 177 dilution in sterile PBS, and was used only on the day of preparation. Standard curves 178 were constructed to show the relationship between relative fluorescent units (RFU) 179 and colony forming units per millilitre (CFU mL⁻¹) for *S. sobrinus* and *S. salivarius*, 180 which had correlation coefficient values (R²) of 0.993 (Figure 1(a)) and 0.989 (Figure 1(b)), respectively.

182 2.4.3 Assay Protocol

Overnight cultures of *S. sobrinus* and *S. salivarius* were subjected to centrifugation at 3220 \times g (Eppendorf 5810R, Cambridge, UK) for 10 min and each of the pellets were washed once in sterile PBS. Following a second centrifugation step, the bacterial pellets were re-suspended in PBS, and the OD_{630nm} of the suspensions measured using a Multiskan Ascent spectrophotometer, and adjusted to 0.2 by appropriate dilution with sterile PBS.

189 The adherence assays were carried out as previously described (Halpin et al., 2008, 190 Halpin et al., 2011), using sterile 96-well polystyrene microtitre half-area plates 191 (Nunc, Roskilde, Denmark). Dairy powders were prepared to the required 192 concentration by dispersing the dried powder in PBS. Briefly, 50µL of test material 193 solution at various concentrations was added to the wells, followed by 50µL of PBS-194 HA or S-HA (7.5 mg mL⁻¹). Bacterial suspension (50 μ L) was added to the wells, so 195 that the final volume of each well was 150µL. Control wells (no bacteria and/ or no 196 HA) were included in each assay. The plate was incubated at room temperature for 45 197 min, and manually inverted at 5 min intervals to prevent settling of the HA 198 suspension. The plate was subsequently centrifuged at $201 \times g$ to sediment the HA 199 and any adhering bacteria, leaving the non-adhering bacteria in suspension. These 200 non-adhering bacteria were labelled with 10μ L of 5μ mol L⁻¹ Syto® fluorescent dye. 201 For more information regarding the development and validation of the assay described 202 here, the reader should refer to Halpin *et al.*, 2008.

203 2.5 Quantification of Bacterial Adherence

204 Aliquots (100µL) of supernatant from the adherence assay containing the non-205 adhering bacteria were transferred from each well of the half-area plate to the 206 corresponding wells of a black microtitre plate (Costar, Corning Inc., Corning, USA). 207 This plate was allowed to stand at room temperature for 5 min in the dark before 208 reading the fluorescence using a Fluoroskan Ascent plate reader (Thermo Electron 209 Corporation, Finland). The excitation wavelength was 485 nm and the emission 210 intensity was monitored at 538 nm. Three measurements were taken at 5 min 211 intervals, and the average fluorescence calculated. The fluorescence due to the 212 number of bacteria present in the supernatant was determined as a direct readout from 213 the fluorimeter as relative fluorescent units (RFU). The background fluorescence due 214 to non-bacterial components of the assay (i.e. dairy powder and HA) were subtracted.

215 The percentage inhibition of adhesion was calculated as follows:

216
$$\frac{(Fluorescence due to unbound bacteria)}{(Fluorescence due tototal input bacteria)} \times 100$$
 (1)

217 2.6 Growth Assays

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Growth assays were carried out in sterile 96-well plates (Nunc, Roskilde, Denmark).
Overnight cultures of *S. sobrinus* and *S. salivarius* were prepared in BHI broth as
described earlier (section 2.1).

A working culture containing c. 10^8 colony forming units per millilitre (CFU mL⁻¹) 221 222 was prepared by adding 1mL of overnight culture to 9mL of sterile BHI broth. Test 223 materials were prepared by dispersing dried dairy powders or hydrolysates in BHI 224 broth to the desired concentration. Aliquots (100μ L) of test material were added to the 225 wells of the plate, followed by 100µL of the diluted culture; the final concentrations of test material were 0.6mg mL⁻¹, 1.25mg mL⁻¹, 2.5mg mL⁻¹ and 5mg mL⁻¹. Bacterial 226 227 growth in the absence of test material (i.e. control growth) was also determined. The 228 plate was then incubated at 37°C for 18 h in a Multiskan Ascent plate reader (Thermo Electron Corporation, Finland). Immediately prior to incubation the plate was shaken 229 230 for 1 min in order to disperse the suspensions. The optical density (OD) readings at 231 630nm for each well were subsequently recorded at 1 h intervals, with the plate being 232 shaken for 30 s immediately prior to measurement. The initial OD_{630nm} reading, 233 recorded at time 0, of each well was subtracted from all other readings for the 234 corresponding wells over the 18 h incubation time (i.e. to subtract the background 235 OD_{630nm} values). Growth inhibition (%) of S. sobrinus and S. salivarius due to the 236 presence of dairy powder was calculated using OD_{630nm} values at mid-stationary phase 237 according to the following equation:

238
$$\frac{\left[(OD \ Control \ Growth) - (OD \ Growth \ in \ Presence \ of \ Dairy \ Powder)\right]}{(OD \ Control \ Growth)} \times 100 \ (2)$$

239 2.7 Statistical Analysis

All growth / adherence assays were performed at least three times (n=3). Results were expressed as the mean \pm standard deviation (S.D.). Differences between concentrations within treatments were determined using least significant difference (LSD) test, while differences between treatments were determined using Duncan's test. Both analyses were performed using SAS Version 9.1.3. Data were considered significantly different if *P*<0.05.

246 3. Results

Compositional analysis of protein, fat, moisture, ash and lactose content of each dairy
powder was determined, and is summarised in Table 1. These were typical of their
product types.

250 **3.1 Adherence Assays**

251 Standard curves were constructed to show the relationship between relative 252 fluorescent units (RFU) and colony forming units per millilitre (CFU mL⁻¹) for *S*. 253 *sobrinus* and *S. salivarius*, and are shown in Figure 1 (a) and (b), respectively.

3.1.1 *S. sobrinus*

- 255 (i) Adherence to Phosphate-Buffered Saline-Coated Hydroxylapatite (PBS-HA)
- 256 Typically, c. 28% of any given culture of S. sobrinus used throughout this study did

257 not adhere to PBS-HA in the absence of test material ('control' in Table 2).

258 Of the UT dairy powders, AWPC80 was the most effective inhibitor of S. sobrinus

- 259 adherence to PBS-HA at 31.25 μ g mL⁻¹ and 62.5 μ g mL⁻¹ (*P*<0.05). At 62.5 μ g mL⁻¹,
- 260 UT SWPC80, UT BMP and UT CP showed a significant concentration dependent
- 261 increase (P < 0.05), and at the maximum concentration examined (125µg mL⁻¹) UT
- 262 AWPC80, UT SWPC80, UT BMP and UT CP were found to be equally effective

263 (*P*<0.05). Of the untreated dairy powders, WPI, WP and DW were the poorest
264 inhibitors of *S. sobrinus* adherence to PBS-HA at all concentrations.

265 Following enzyme-treatment, the anti-adhesion activity of all powders was reduced. At 31.25µg mL⁻¹, all ET dairy powders were only equally as effective as the protein 266 control, egg albumin (P>0.05). ET BMP was significantly (P<0.05) the most effective 267 inhibitor at 62.5µg mL⁻¹ and 125µg mL⁻¹. ET SWPC35, WPI, WP and DW had no 268 269 inhibitory effect on adherence of S. sobrinus to PBS-HA at any concentration, relative 270 to the control (P>0.05). The loss in anti-adhesion activity due to enzyme-treatment was most noticeable at the highest concentration ($125\mu g m L^{-1}$), with all powders 271 272 (except WP) being significantly (P < 0.05) less effective when compared to its 273 equivalent untreated form.

274 (ii) Adherence to Saliva-Coated Hydroxylapatite (S-HA)

For the adherence assays carried out using *S. sobrinus*, c. 46% of microorganisms in any given culture did not adhere to S-HA under our assay conditions ('control' in Table 3). This value was markedly higher than the control level observed for PBS-HA.

279 The egg albumin protein control inhibited adherence of S. sobrinus to S-HA to a greater extent than UT SWPC35, UT WP and UT DW at $31.25\mu g mL^{-1}$ (P<0.05), with 280 281 UT SWPC35 actually significantly (P<0.05) promoting adherence. This was also evident for UT WP and UT DW at 62.5µg mL⁻¹. At 125µg mL⁻¹, UT SWPC80, UT 282 283 AWPC80, UT WPI and UT CP appeared to be the most effective inhibitors of 284 adherence of S. sobrinus to S-HA and exhibited similar levels of activity, yet these 285 values were not significantly different from those observed for egg albumin (P>0.05). For the enzyme-treated dairy powders, at maximum concentration (125µg mL⁻¹), only 286 287 ET AWPC80 was significantly more effective than egg albumin (P<0.05). Also, at this concentration ET WPI, ET DW and ET CP did not reduce adherence of *S.* sobrinus to S-HA relative to the control (P>0.05). However, at 125µg mL⁻¹ ET AWPC80, ET SWPC80 and ET BMP significantly inhibited adherence of *S. sobrinus* to S-HA, causing the non-binding population of bacteria to increase to \geq 80%.

292 **3.1.2** *S. salivarius*

293 (i) Adherence to PBS-HA

Approximately 41% of any given culture of *S. salivarius* used throughout this study did not adhere to PBS-HA in the absence of test material ('control' in Table 4).

With the exception of DW, at $31.25 \mu \text{g mL}^{-1}$ all of the UT test materials (including egg 296 297 albumin) significantly (P<0.05) reduced adherence of S. salivarius to PBS-HA relative to the control. At 31.25µg mL⁻¹, UT AWPC80, UT WP and UT BMP 298 299 exhibited similar levels of inhibition of S. salivarius adhesion to PBS-HA (resulting in 300 a non-binding population of 85-90%) and were significantly (P < 0.05) more potent 301 than the other untreated test materials. UT AWPC80, UT WPI, UT BMP and UT CP were equally as effective at 62.5 μ g mL⁻¹ and 125 μ g mL⁻¹ (*P*>0.05). However, UT 302 SWPC80 showed an equivalent level of anti-adhesion activity at $125\mu g m L^{-1}$. Also at 303 304 this concentration (125µg mL⁻¹), all UT powders were more effective than the protein 305 control, egg albumin (P < 0.05).

Subjecting the dairy powders to enzyme treatment reduced their ability to inhibit adherence of *S. salivarius* to PBS-HA. No significant difference was found between any ET test materials (P>0.05); furthermore, no ET dairy powder was more effective than the protein control, egg albumin (P>0.05).

310 (ii) Adherence to S-HA

311 Due to the large non-binding population of *S. salivarius* to S-HA (c. 66%) it was 312 difficult to establish the efficacy of test materials in reducing adherence of this 313 microorganism to S-HA (Table 5).

At 31.25 μ g mL⁻¹, only UT SWPC80 and UT AWPC80 were found to be more potent inhibitors of *S. salivarius* adhesion to S-HA than egg albumin (*P*<0.05). However, at 62.5 μ g mL⁻¹ and 125 μ g mL⁻¹, all test materials (including egg albumin) showed equal levels of efficacy (*P*>0.05).

318 Following enzyme-treatment, many of the hydrolysed dairy powders significantly 319 (P < 0.05) inhibited adherence of S. salivarius to S-HA relative to the control, but only 320 ET WPI was found to be more effective than egg albumin (P<0.05). At 31.25µg mL⁻ 321 ¹, ET CP was the least effective inhibitor of S. salivarius adherence to S-HA (P < 0.05). No ET test material was more effective than egg albumin (P>0.05) at 62.5µg mL⁻¹ 322 and 125µg mL⁻¹. At the maximum concentration examined (125µg mL⁻¹), only ET 323 324 SWPC35, ET WPI, ET WP and ET DW significantly (P<0.05) reduced adherence of 325 S. salivarius to S-HA relative to the control (P < 0.05).

326 3.2 Growth Assays

327 ET SWPC80 was found to significantly (P<0.05) inhibit growth of S. sobrinus and S. 328 salivarius at all concentrations examined (Figure 2). Previous work in this laboratory 329 demonstrated that ET SWPC80 significantly inhibited growth of the highly cariogenic 330 microorganism S. mutans (Halpin et al., 2011), with no other enzyme-treated whey 331 product exhibiting an antibacterial effect against this microorganism (O'Connor et al., 332 2006). Therefore, in the present study only ET SWPC80 was assessed for its 333 antibacterial activity against S. sobrinus and S. salivarius. The percentage growth 334 inhibition was calculated using formula (1) described earlier (section 2.6). A time 335 point for each Streptococcus was chosen, depending on the time taken for the 336 particular microorganism to reach mid-stationary phase. For S. sobrinus and S. 337 salivarius 10 hours and 9 hours incubation were chosen, respectively. Growth was on 338 average inhibited by $85.6\% \pm 5.9$ for S. sobrinus at all concentrations. ET SWPC80 339 was less effective at inhibiting growth of S. salivarius when compared to inhibition 340 levels observed for S. sobrinus. However, growth was nevertheless inhibited by an 341 average of 50.6% \pm 4.9 at all concentrations. Growth inhibition was significant at all 342 concentrations for both streptococci relative to control growth (P < 0.05).

343 4. Discussion

344 The present study has shown that dairy powders can inhibit adherence of S. 345 sobrinus and S. salivarius to HA. The dairy powders were used firstly in their 346 untreated forms, and their anti-adhesion activity was again evaluated following 347 incubation with porcine pancreatic lipase (PPL). Both S-HA and PBS-HA models 348 were employed, to reflect the tooth surface in the presence and absence of saliva, 349 respectively. The S-HA model represents 'normal' conditions in the mouth, while the 350 PBS model system reflects conditions where saliva production is impaired ('dry 351 mouth' or xerostomia). In cases of xerostomia, an individual can experience severe 352 instances of dental caries. The occurrence of dry mouth is a well recognised clinical 353 problem in adults and children, and essentially occurs when the resting salivary flow 354 rate is less than that of fluid loss from the mouth (Walsh, 2008). This condition can be 355 due to use of certain medications (such as those prescribed for hypertension), 356 radiation treatment of the head and neck, or can be incurred by patients with aplasia of 357 the salivary glands (Sjogren's syndrome) (Loesche, 1986, Johansson, 2002). In the 358 present study, UT SWPC80, UT AWPC80, UT BMP and UT CP were the most 359 effective inhibitors of adhesion of both S. sobrinus and S. salivarius to HA in the absence of saliva, and thus may be useful ingredients in the formulation of a dairybased saliva substitute. In addition, such dairy powders capable of inhibiting adherence of streptococci to oral surfaces may help reduce the occurrence of focal oral infections, as introduction of viridans streptococci resident in the oral cavity into the bloodstream can lead to infections such as bacteremia (Gendron *et al.*, 2000). This occurrence is particularly problematic for patients experiencing neutropenia (Prabhu *et al.*, 2004).

367 The level of 'control' adhesion for both S. sobrinus and S. salivarius varied 368 greatly between PBS-HA and S-HA model systems. In the presence of saliva, UT 369 SWPC80, UT AWPC80, UT WPI and UT CP were the most effective inhibitors of S. 370 sobrinus adhesion to S-HA. However, all UT dairy powders (with the exception of 371 SWPC35 and WPI) significantly reduced adherence of S. salivarius to S-HA 372 (P < 0.05). The findings of the present study are difficult to explain, as different levels 373 of anti-adhesion activity were observed for each of the of dairy powders against S. 374 sobrinus and S. salivarius, and the level of inhibition also varied depending on 375 whether PBS-HA or S-HA models were used. A possible reason for the varied levels 376 of efficacy exhibited by the dairy powders against S. sobrinus and S. salivarius may 377 be due to the different adherence mechanisms of these strains. S. sobrinus (a member 378 of the mutans streptococci) possesses a surface adhesin (SpaA) (Tokuda et al., 1990) 379 and genes capable of producing glucosyltransferases (Gilmore et al., 1990), whereas 380 strains of S. salivarius (which is not a member of the mutans streptococci) contain 381 proteinaceous components associated with a fibrillar layer outside the cell wall, 382 referred to as the 'fuzzy coat'. This fuzzy coat is believed to mediate attachment of S. 383 salivarius to host surfaces (Weerkamp et al., 1986). Thus, it is not surprising that the dairy powders (and enzyme-treated versions thereof) do not interact with the different
surface proteins of these two streptococci in a similar manner.

386 In general, enzyme-treatment with PPL reduced the anti-adhesion efficacy of 387 the dairy powders in both PBS-HA and S-HA assays, but the degree of reduction was 388 less apparent for the latter. A possible reason for this may be interactions occurring 389 between constituents of the hydrolysates and components of saliva e.g. salivary 390 proteins or peptides. However, this is merely speculative and further research would 391 be required if the exact cause were to be determined. Of the enzyme-treated dairy 392 powders, ET SWPC80, ET AWPC80 and ET BMP were found to be the most 393 effective inhibitors of S. sobrinus adherence to S-HA. The majority of ET powders 394 appeared to reduce adherence of S. salivarius to S-HA, but this may have been due to 395 a non-specific protein effect, as egg albumin was also observed to reduce S. salivarius 396 adherence to S-HA, by about the same amount.

397 While the way in which the dairy powders used in this study are inhibiting 398 adherence of streptococci to HA has not yet been elucidated, protein adsorption 399 experiments performed previously by this research group indicated that proteins 400 present in the dairy powders were associating with the HA beads (Halpin et al., 2011). 401 This is likely to be contributing to the reduction in streptococcal adherence, as the 402 highest level of protein association was observed for UT AWPC80, which was also 403 the most effective inhibitor of streptococcal adherence to PBS-HA. However, it is 404 acknowledged in the context of such complex natural products that this may not be 405 the sole factor involved in inhibiting the adherence of streptococci to HA. In addition, 406 it should be noted that the less effective inhibitors were those which were lowest in 407 fat.

408	Another aspect of the present study was to determine the effect of ET
409	SWPC80 on the growth of S. sobrinus and S. salivarius. This hydrolysate inhibited
410	growth of these cariogenic bacteria by up to 85% at concentrations as low as 0.6mg
411	mL ⁻¹ (P <0.05). The crude PPL used in the present study is known to contain both
412	proteases and lipases (Birner-Grunberger et al., 2003), and it may be that enzyme
413	treatment of the dairy powders used in the present study releases both peptides and
414	free fatty acids that are inactive within the untreated material. Thus, the component(s)
415	of ET SWPC80 contributing to the observed antibacterial activity against S. sobrinus
416	and S. salivarius may on one hand be antibacterial peptides derived from whey
417	proteins such as β -lactoglobulin, α -lactalbumin or lactoferrin, as these proteins are
418	known to harbour antibacterial peptides that can be released by proteolysis (Lopez-
419	Exposito and Recio, 2006). Alternatively, the antibacterial activity could be due to
420	peptides cleaved from the glycomacropeptide (GMP), which is present in sweet whey
421	products due to the action of chymosin on κ -casein. A study by Malkoski <i>et al.</i> (2001)
422	showed that kappacin, a non-glycosylated, phosphorylated form of κ -casein, exhibited
423	significant antibacterial activity against oral pathogens. In addition to the peptide
424	hypothesis, it is possible that free fatty acids present in SWPC80 following enzyme-
425	treatment may have contributed to the antibacterial activity of this hydrolysate.
426	Previous work in this laboratory confirmed the presence of butyric (C_4) and caproic
427	(C ₆) acids in SWPC80 after digestion with PPL (Halpin <i>et al.</i> , 2011), and it is possible
428	that other fatty acids were present after hydrolysis. However, the exact mechanism of
429	action for the antibacterial activity of ET SWPC80 remains to be elucidated.
430	Nonetheless, the action of PPL on SWPC80 produced an effective antibacterial agent
431	possessing potent antimicrobial activity against caries-causing streptococci.

432 **5.** Conclusion

433 This study has demonstrated that UT dairy powders, in particular sweet and 434 acid WPC80 are effective inhibitors of streptococcal adhesion to buffer-coated and 435 saliva-coated HA. Thus, dairy powders, which are readily available and relatively 436 inexpensive materials, may be suitable dental caries-protective agents for both normal 437 mouth conditions and individuals suffering from xerostomia. The anti-adhesion 438 properties of these dairy powders against streptococci may also potentially reduce 439 occurrence of more serious infections such as bacteremia as a consequence. In 440 addition, it is evident from this study that ET SWPC80 is an effective antimicrobial 441 agent active against S. sobrinus and S. salivarius. However, future work is necessary 442 in order to establish which specific components of the different products are 443 responsible for the observed inhibition, and also to examine whether theextend the 444 observations of the present study to the oral cavity; thereby and establishing the 445 efficacy of dairy products as therapeutic products in vivo.

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Figure 2: Effects of enzyme-treated Sweet WPC80 on the growth of (a) *S. sobrinus* and (b) *S. salivarius*, at 5mg mL⁻¹ (\circ), 2.5mg mL⁻¹ (\Box), 1.25mg mL⁻¹ (Δ), 0.6mg mL⁻¹ (•) and control growth in the absence of inhibitor (•). (Data= mean ± standard deviation, n=4).

625 List of Tables:

 Table 1: Compositional analysis of dairy powders used in this study (%).

Dairy					
Powder	Protein	Fat	Moisture	Ash	Lactose
SWPC80	75 5	8	75	3	6
5 11 000	15.5	0	1.5	5	0
AWPC80	78.2	7.7	6.3	5.9	1.9
SWPC35	34.3	3.4	5.4	6.2	50.7
WPI	86.6	0.1	5.8	2.6	4.9
WP	12.5	1	3.1	9.5	73.9
DW	13	1.8	3.5	0.8	80.9
BMP	30.2	10.8	3.9	6.9	48.2
СР	16.4	49.1	2.1	4.5	27.9

628 Abbreviations: SWPC80= Sweet Whey Protein Concentrate 80, AWPC80= Acid

629 WPC80, SWPC35= Sweet Whey Protein Concentrate 35, WPI= Whey Protein Isolate,

630 WP= Whey Powder and DW= Demineralised whey, BMP= Buttermilk Powder, CP=

- 631 Cream Powder.

			Untreated			Enzyme-treated	
μg mL ⁻¹	Control*	31.25	62.5	125	31.25	62.5	125
	$28 \pm 7.1^{(w)}$						
SWPC80		$33.7 \pm 3.6^{a,b,c (w)}$	$63 \pm 3.1^{a(x)}$	$91 \pm 3.2^{a,b (y) {\tt \$}}$	$31 \pm 5.6^{a (w,x)}$	$32.2 \pm 5.9^{a,b \ (w,x)}$	$40.3 \pm 7.3^{a (x)}$
AWPC80		$90.7 \pm \! 6.1^{d \ (x) {\tt \$}}$	$99.6 \pm 0.8^{b \ (x) {\tt Y}}$	$100 \pm 4.7^{a(x)}$	45.4 ^a	44.7 ^{b,c}	39.8 ^{a,b}
SWPC35		$34.6 \pm \! 6.2^{a,b(w)}$	$44.5 \pm 9^{c (x)}$	$66.8 \pm \! 6.8^{c (y) {{}^{_{\scriptstyle \ensuremath{ {}^{\scriptstyle \ensuremath{ (} y)}}}}} } } } } } } } } } } } } } } } $	$35.1 \ \pm 18.9^{a \ (w)}$	$27.7 \pm 4.9^{b (w)}$	$34.5 \pm 9.8^{a,b,c (w)}$
WPI		$23.9 \pm 4.2^{c(w)}$	$31.4 \pm 5.6^{d \ (w)}$	$43 \pm \! 6.7^{d,e(x) {}^{}}$	$34.6 \pm \! 11.9^{a (w)}$	$30.1 \pm 7.2^{b \ (w)}$	$25.2 \pm 2.8^{b,c \ (w)}$
WP		$26.9 \pm \!$	$32 \pm 5^{d \ (w,x)}$	$37.5 \pm 4.7^{d,e(x)}$	24 ^a	23.8 ^b	23.5 ^c
DW		$30.4 \pm 3.2^{a,b,c (w)}$	$32.4 \pm 4.7^{d \ (w)}$	$44.7 \pm 9^{d(x)}$	$29.3 \pm \! 0.9^{a (w)}$	$24.5 \pm 2^{b (w)}$	$26.4 \pm 3.9^{a,b,c (w)}$
BMP		$73.1 \pm 4.4^{e(x)}$	$85.1 \pm 5^{e(y)}$	$98.4 \pm 3.2^{a,b (z) {\tt \$}}$	$45.9 \pm 4.8^{a (x)}$	$56 \pm 3.6^{c \ (x,y)}$	$65.4 \pm 10.3^{d (y,z)}$
СР		$47.3 \pm \! 6.3^{f(x) {}^{\rm F}}$	$67.4 \pm 7^{a (y) \$}$	$90.1 \ \pm 8.6^{b \ (z) \ \xi}$	$31.3 \pm 3.3^{a (w,x)}$	$34 \pm 7.1^{a,b \ (w,x)}$	$39 \pm 4.4^{a,b (x,y)}$
Egg Albumin†		$38.9 \pm \! 11.6^{a,f(x)}$	$37.1 \pm 7.6^{c,d(x)}$	$33.8 \pm 5.8^{e \ (w,x)}$			

Table 2: Proportion of S. sobrinus (%) not adhering to PBS-HA in the presence of dairy powders at various concentrations.

<u>Footnotes:</u> PBS-HA= phosphate-buffered saline-coated hydroxylapatite.

Data presented represent the means (\pm SD) of 3 replicates. Within each column, means bearing different superscripts (a,b,c etc.) are significantly (*P*<0.05) different. Data within each row bearing different superscripts (x,y,z) show significant (*P*<0.05) differences between concentrations within (i) untreated and (ii) enzyme-treated dairy powders, with control adherence bearing the superscript 'w'.

¥ denotes significant difference (P<0.05) between the untreated dairy powder and enzyme-treated form thereof at that particular concentration. *n=52, †= egg albumin is included for the sake of comparison only as a protein control.

			Untreated			Enzyme-Treated	
μg mL ⁻¹	Control*	31.25	62.5	125	31.25	62.5	125
	$45.8 \pm\! 10.8^{(w)}$						
SWPC80		$72.1 \pm 8.7^{a(x)}$	$87 \pm 9.7^{a(x)}$	$87 \pm \! 10.2^{a,b (x)}$	$82.9 \pm 12^{a(x)}$	$89.3 \pm 8.2^{a (x)}$	$96.8 \pm 5.6^{a,b (x)}$
AWPC80		$83.4 \pm 1.2^{b \ (x) {\tt \$}}$	$88.2 \pm 2.3^{a(x)}$	$89.1 \pm 9.7^{a(x)}$	$60.3 \pm 9.1^{a,b,c(x)}$	$81.4 \pm 7.4^{a,b (y)}$	100 ^{a (z)}
SWPC35		$38\pm 6^{c(w)}$	$47.7 \pm 7.1^{b,c (w,x) \Psi}$	$62.3 \pm 8.3^{c,d(x)}$	$57.4 \pm 23.7^{b,c \ (w,x)}$	$68.2 \ \pm 8.3^{b,c,d \ (x)}$	$76 \pm 15^{b,c,d(x)}$
WPI		$64.3 \pm 3.1^{a,d (x) { \Xi } }$	$78.7 \pm \!$	$89.6 \pm \!$	$47.3 \pm 5.8^{b,c (w)}$	$54.6 \pm \! 6.6^{d,e(w)}$	$58.5 \pm 14^{c,d,e(w)}$
WP		$27.4 \pm 4.3^{c (x)}$	$41 \pm 13.3^{c \ (w,x,y)}$	$53.3 \pm \! 16.8^{d,e(w,y)}$	$55.4 \pm \! 10.8^{b,c (w,x)}$	$63.4 \pm 10.6^{b,c,d~(x,y)}$	76.1 $\pm 2.8^{b,c,d(y)}$
DW		$36.7 \pm 4.2^{c (w)}$	$41.8 \pm 9.7^{c \ (w)}$	$44.3 \pm 9.3^{e(w)}$	$37.5 \pm 10.3^{c \ (w)}$	39.6 ± 11^{e} (w)	48.4 ± 11^{e} (w)
BMP		52.1 ± 12.1^{e} (w)	$61.9 \pm \! 14.7^{b,d(x)}$	$69.4 \pm 10.4^{b,c,d(x)}$	$62.1 \pm 18.7^{a,b(x)}$	$78.9 \pm \! 13.1^{a,b,c \ (x)}$	$80.1 \pm 16.7^{a,b,c (x)}$
СР		57.1 ±6.6 ^{d,e (w})	$62.6 \pm 3.7^{b,d(x)}$	$71.1 \pm 9.2^{a,b,c,d(x)}$	$62.2 \pm \! 10.8^{a,b(x)}$	$58.1 \pm 20.5^{c,d,e (w,x)}$	$55.8 \pm 22.2^{d,e (w,x)}$
Egg Albumin†		$51.2 \pm 5.5^{e \ (w,x)}$	$65.5 \ \pm 12.1^{b,d \ (x,y)}$	$76.1 \pm 7.4^{a,b,c (y)}$			

Table 3: Proportion of S. sobrinus (%) not adhering to S-HA in the presence of dairy powders at various concentrations.

<u>Footnotes:</u> S-HA= saliva-coated hydroxylapatite.

Data presented represent the means (\pm SD) of 3 replicates. Within each column, means bearing different superscripts (a,b,c etc.) are significantly (*P*<0.05) different. Data within each row bearing different superscripts (x,y,z) show significant (*P*<0.05) differences between concentrations within (i) untreated and (ii) enzyme-treated dairy powders, with control adherence bearing the superscript 'w'.

¥ denotes significant difference (P<0.05) between the untreated dairy powder and enzyme-treated form thereof at that particular concentration. *n=53, †= egg albumin is included for the sake of comparison only as a protein control.

			Untreated			Enzyme-Treated	
μg mL ⁻¹	Control*	31.25	62.5	125	31.25	62.5	125
	$40.7 \pm 10.6^{(w)}$						
SWPC80		$61.3 \pm 8.2^{a,b(x)}$	$75 \pm 8^{a,b,c (x) }$	$89.5 \pm 2.8^{a,b,c,d (y) {\tt Y}}$	$50.9 \pm 9.8^{a,b(w,x)}$	$53.2 \pm 6.6^{a (x)}$	$56.6 \pm 5.4^{a(x)}$
AWPC80		$90.4 \pm 6.7^{c \ (x) \Psi}$	$98.6 \pm 1.8^{d(x) {\rm \$}}$	$97.8 \pm 4.3^{a (x) \Psi}$	$40.4 \pm 4.4^{a,b (w)}$	$40.9 \pm \! 5.7^{a,b(w)}$	$39.7 \pm 8^{a,b (w)}$
SWPC35		63.2 ±9 ^{a,b (x)}	$69.3 \pm \! 6.4^{a,b,c \ (x) { \Xi } }$	$77.2 \pm 3.6^{d (x) {}^{\underline{Y}}}$	$47.1 \pm 10.7^{a,b \ (w)}$	$42.2 \pm 8^{a,b (w)}$	$39.4 \pm 5.6^{a,b (w)}$
WPI		$65.4 \pm \! 16.5^{a,b(x)}$	$84.8 \pm \! 15.7^{a,b,d~(y)}$	$94.2 \pm 5.3^{a,b \ (y) {\tt \$}}$	26.2 ^b	25.6 ^b	27.2 ^b
WP		$86.5 \pm 12.7^{c,d(x) {\tt \$}}$	$74.3 \pm 16.8^{a,b,c (x)}$	$84 \pm \! 15.7^{b,c,d(x)}$	$39.3 \pm 9.8^{a,b (w)}$	$51 \pm 24.5^{a,b(w)}$	$50.1 \pm 21.4^{a,b (w)}$
DW		$51.2 \pm \! 14.3^{b \ (w)}$	$67.3 \pm 17.7^{b,c (x)}$	$78.7 \pm \! 12.8^{c,d(x) {\rm \$}}$	38.4 ^{a,b}	44.1 ^{a,b}	30.5 ^b
BMP		$85.6 \pm 9.3^{c,d(x) {\tt \$}}$	$89.7 \pm 7.7^{a,d (x) \Psi}$	$95.6 \pm 3.1^{a,b (x)}$	$44.8 \pm 11.6^{a,b \ (w)}$	$41.6 \pm 8.2^{a,b (w)}$	$39.7 \pm 11^{a,b (w)}$
СР		$71.1 \pm 9.3^{a,d(x)}$	$83.3 \pm \! 11.6^{a,b,d(x,y) {\tt \$}}$	$90.8 \pm 7^{a,b,c \ (y,z) \Psi}$	$64.2 \pm 19.1^{a (x)}$	$49.7 \pm 11.8^{a,b \ (w,x,y)}$	$47 \pm 15.3^{a,b}$ ^(y)
Egg Albumin†		$60.6 \pm 10.1^{a,b(x)}$	$56.7 \pm 16.2^{c \ (x,y)}$	$41.6 \pm \! 1.8^{e(w,y)}$			

Table 4: Proportion of S. salivarius (%) not adhering to PBS-HA in the presence of dairy powders at various concentrations.

<u>Footnotes:</u> PBS-HA= phosphate-buffered saline-coated hydroxylapatite.

Data presented represent the means (\pm SD) of 3 replicates. Within each column, means bearing different superscripts (a,b,c etc.) are significantly (*P*<0.05) different. Data within each row bearing different superscripts (x,y,z) show significant (*P*<0.05) differences between concentrations within (i) untreated and (ii) enzyme-treated dairy powders, with control adherence bearing the superscript 'w'.

¥ denotes significant difference (P<0.05) between the untreated dairy powder and enzyme-treated form thereof at that particular concentration. *n=59, †= egg albumin is included for the sake of comparison only as a protein control.

			Untreated			Enzyme-Treated	
μg mL ⁻¹	Control*	31.25	62.5	125	31.25	62.5	125
	$66.2 \pm 15.7^{(w)}$						
SWPC80		$95.7 \pm 3.4^{a (x)}$	87.1 ±5.7 ^{a (x)}	$90.9 \pm 6.1^{a (x) \Psi}$	$91.1 \pm 3.9^{a,b(x)}$	$83.8 \pm \! 6.5^{a,b \ (w,x)}$	$68.3 \pm 8.3^{a,b \ (w,x)}$
AWPC80		$95.7 \pm 7.4^{a (x)}$	$93.3 \pm \! 5.8^{a(x)}$	$98.7 \pm 2.2^{a (x) \Psi}$	$89.7 \pm \! 13.6^{a,b(x)}$	$89.2 \pm 12.7^{a,b \ (x)}$	$60.8 \pm 7.7^{a,b \ (w,y)}$
SWPC35		$69.2 \pm 18.1^{b~(w)}$	$77.8 \pm 22.7^{a (w)}$	$79.7 \pm \! 18.3^{a(w)}$	$83.8 \pm 3.9^{a,b,c (x)}$	$91.4 \pm 9.9^{a (x)}$	$89.5 \pm 9.6^{a(x)}$
WPI		$65\pm25^{b~(w)}$	$70.5 \pm 22.8^{a (w)}$	$77 \pm \! 19.5^{a(w)}$	$93.6 \pm 6.5^{a (x)}$	$94.7 \pm \! 12.5^{a(x)}$	$96.4 \pm 5.5^{a (x)}$
WP		$80.7 \pm \! 10.5^{a,b(w,x)}$	$86.2 \pm 1.3^{a(x)}$	$87.7 \pm 13.8^{a(x)}$	$80.8 \pm 5.7^{a,b,c \ (w,x)}$	$83.4 \pm 8.9^{a,b \ (w,x)}$	$91.1 \pm 12.4^{a (x,y)}$
DW		$81.2 \pm \! 15.2^{a,b(w,x)}$	$83.8 \pm \! 14.2^{a(w,x)}$	$85 \pm 18.6^{a (x)}$	$84 \pm 9.9^{a,b,c \; (w,x)}$	$86.7 \pm 7^{a,b(x)}$	$95.2 \pm 6.7^{a (x)}$
BMP		$87.9 \pm 7.9^{a,b(x)}$	$84.1 \pm 15.1^{a(x)}$	$91.3 \pm 10.2^{a(x)}$	$90.1 \pm 2.7^{a,b(x)}$	$70.3 \pm \! 13.2^{a,b (w,x)}$	$52.2 \pm 33.6^{b (w,y)}$
СР		$67 \pm 13.6^{b \ (w,x)}$	$72.1 \pm 8.4^{a (w,x)}$	$88.2 \pm 13.1^{a (x)}$	$62.6 \pm 38.6^{c \ (w)}$	$63 \pm 33.2^{b \ (w)}$	$69.8 \pm 49.7^{a,b (w)}$
Egg Albumin†		$66.2 \pm 12.5^{b (w)}$	$75.8 \pm 9.2^{a (w)}$	$76.9 \pm 8.2^{a(w)}$			

Table 5: Proportion of S. salivarius (%) not adhering to S-HA in the presence of dairy powders at various concentrations.

Footnotes: S-HA= saliva-coated hydroxylapatite.

Data presented represent the means (\pm SD) of 3 replicates. Within each column, means bearing different superscripts (a,b,c etc.) are significantly (*P*<0.05) different. Data within each row bearing different superscripts (x,y,z) show significant (*P*<0.05) differences between concentrations within (i) untreated and (ii) enzyme-treated dairy powders, with control adherence bearing the superscript 'w'.

¥ denotes significant difference (P<0.05) between the untreated dairy powder and enzyme-treated form thereof at that particular concentration. *n=57, †= egg albumin is included for the sake of comparison only as a protein control.