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## Pattern Reconfigurable Back-to-Back Microstrip Patch Antenna

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# A Dual-Stub Ka-Band Vivaldi Antenna with Integrated Bandpass Filter

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**Abstract:** A dual-stub coplanar Vivaldi antenna with a parasitic element is presented. The dual-stub is coupled between the parasitic element and two tapered slots. The parasitic element shape and size is optimised. The use of slits on the outer edge of the ground plane is shown to provide control of beamwidth and maximum gain. A bandpass filter is used for performance control and sub-harmonic suppression.

## 1. Introduction

The Vivaldi antenna was first proposed by Gibson in 1979 [1]. The travelling wave antenna couples energy along the inner edge of the flared slot and produces endfire radiation. This is widely used for beam scanning in radio astronomy [2] and phased arrays [3].

Recent Vivaldi antenna research has focused on performance improvement in both gain and directivity [4]–[8]. An elliptical parasitic element was added to an Antipodal Vivaldi Antenna (AVA), which was reported to improve the directivity and gain in Ka-band [9]. A co-planer Vivaldi antenna using a double-slot structure fed by dual-stubs was also reported [10]. The inner flares are shorter for both slots thus two outer flares can enhance the E-field plane wave. This can improve the directivity in Ku-band. Similarly, a double-antipodal structure is reported in [11], where higher gain is achieved using two inner tapered edges which are shorter in length compared to the outer tapered edges. A notable addition of slits on the outer edge is used to improve the radiation pattern and edge diffraction [11]–[15], the widths and gaps of the edge slits are optimised.

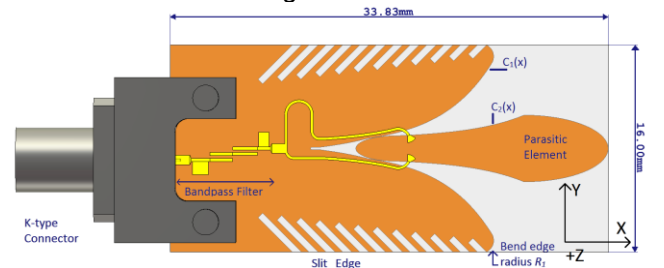
The control of gain over frequency for an ultra-wideband (UWB) Vivaldi antenna is challenging. For example, the gain of one UWB Vivaldi antenna design [16] is reported to vary from 3 dBi to 12 dBi over the frequency band. A bandpass filter section can be used to reduce the matched bandwidth providing radiation performance control as well as improving the sub-harmonic suppression.

An early study of filter integration within a coplanar Vivaldi antenna is carried out in [17]. A narrow band Vivaldi antenna is designed with a bandpass filter for mm-wave applications. It shows that introducing a parasitic element in antenna design can improve the gain.

In this paper, detailed approaches to design a dual-stub coplanar Vivaldi antenna with a parasitic element at 28 GHz is presented. The parasitic element is optimised in shape and size for higher gain. The slits on the edge of the ground plane are studied to improve the radiation performance. Differential dual-stub excitation is critical to the design and the mechanism is explained. Key parameters are studied to adjust the frequency response and radiation characteristics. The presented structure with filter is low-profile and simple to fabricate.

## 2. Antenna Design

### 2.1. Antenna Configuration

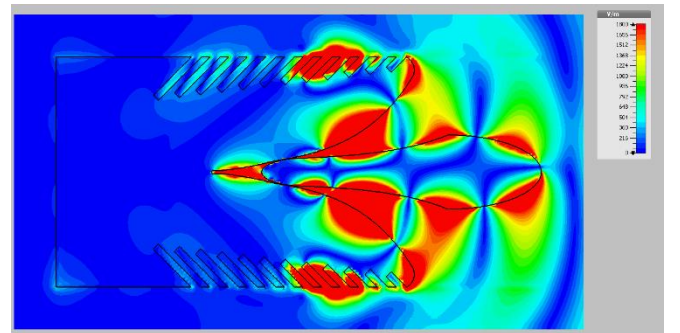


**Fig. 1.** Antenna geometry with outer dimensions

The coplanar Vivaldi antenna is prototyped on Rogers RT/duroid 5880 substrate ( $\epsilon_r = 2.2$  and  $\tan \delta = 0.0009$ ) with dimensions  $33.8 \times 16 \times 0.25$  mm<sup>3</sup> ( $3.15 \times 1.49 \times 0.02$   $\lambda^3$ ). The antenna geometry is shown in Fig. 1. A bandpass filter is implemented in the feed and terminated with a K-type (2.92 mm) connector. The bottom side of the board is symmetrical in the x-axis and the exponential profile curve  $C_i(x)$  used to design this is:

$$C_i(x) = \pm a_i \times e^{p_i x} \quad (1)$$

where  $a_i = 0.15$  and  $p_i = 0.25$ . The minimum width of the inner tapered slot is 0.2 mm. An edge bend radius of  $R_1 = 1$  mm was applied to the point where the taper meets the ground plane edge (see Fig. 1) to increase the gain by 0.44 dB. The parasitic element is introduced into the throat of the exponential flared aperture and consists of two semi-elliptical



**Fig. 2.** Antenna E-field simulation

shapes with an exponential curved shape in the middle. The dual-stub structure couples to the parasitic element which is optimized in shape to improve the gain and end-fire radiation characteristics (further discussed in Section. 3). On the top side of the board, the differential feeds follow the exponential curve connected to the two fan-shaped stubs of radius 0.7 mm and angle of  $60^\circ$ , which excite the tapered slot with the parasitic element. The E-field simulation, shown in Fig. 2, demonstrates this arrangement. It provides improved coupling to the tapered slots with similar amplitude and  $180^\circ$  phase difference. This way, the E-field directions on both sides of the parasitic element are the same, therefore further enhancing the radiation performance. Notably, the calculated E-field distribution is slightly asymmetric, this is due to the feed configuration.

## 2.2. The Bandpass Filter

The filter section design is shown in Fig. 3. The two-stage coupled filter is connected to two open shunt stubs for matching purposes. The dimensions shown in the figure are

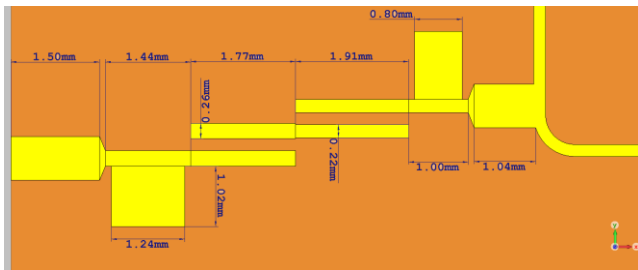


Fig. 3. Detailed bandpass filter layout

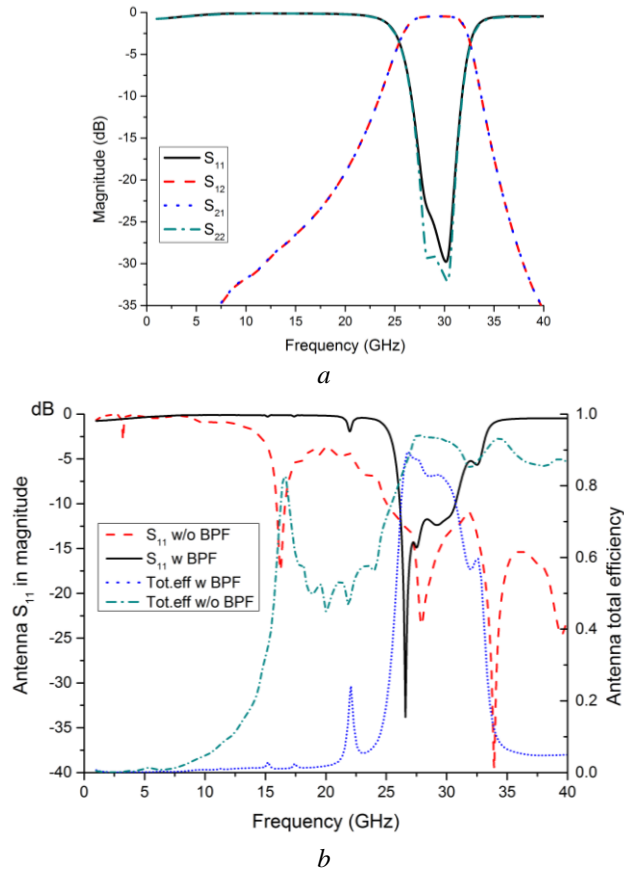


Fig. 4. Filter performance simulation (a) Two port filter section simulation, (b) Antenna  $S_{11}$  and total efficiency simulation with and without filter

optimised for low insertion loss, good impedance matching and sufficient bandwidth. The simulated response of this two-port filter is shown in Fig. 4a. This low-loss bandpass filter is designed at the centre frequency of 28 GHz with a 0.5 dB insertion loss and a 3-dB bandwidth from 25.48 GHz to 32.54 GHz. The simulated  $S_{11}$  of the antenna with and without the filter is shown in Fig. 4b. It is noted that even the differential feeds are designed at 28 GHz, the Vivaldi antenna without filter provides a wide bandwidth starting from 25.8 GHz to 43.9 GHz. However, the radiation pattern is tilted outside the frequency of interest due to the phase difference in the two stubs.

The filter improves the matching in the desired band and suppresses the sub-harmonics significantly. The total efficiency experiences a drop of 8.9 percentage points (93.8% to 84.9%) at 28 GHz due to the filter.

## 3. Antenna Performance Study

### 3.1. The Parasitic Element Geometry

Similar to [9], the position of the parasitic element should be located close to the throat of the flare to improve the coupling. In the research, an elliptically shaped radiator was first designed as the parasitic element, it was then

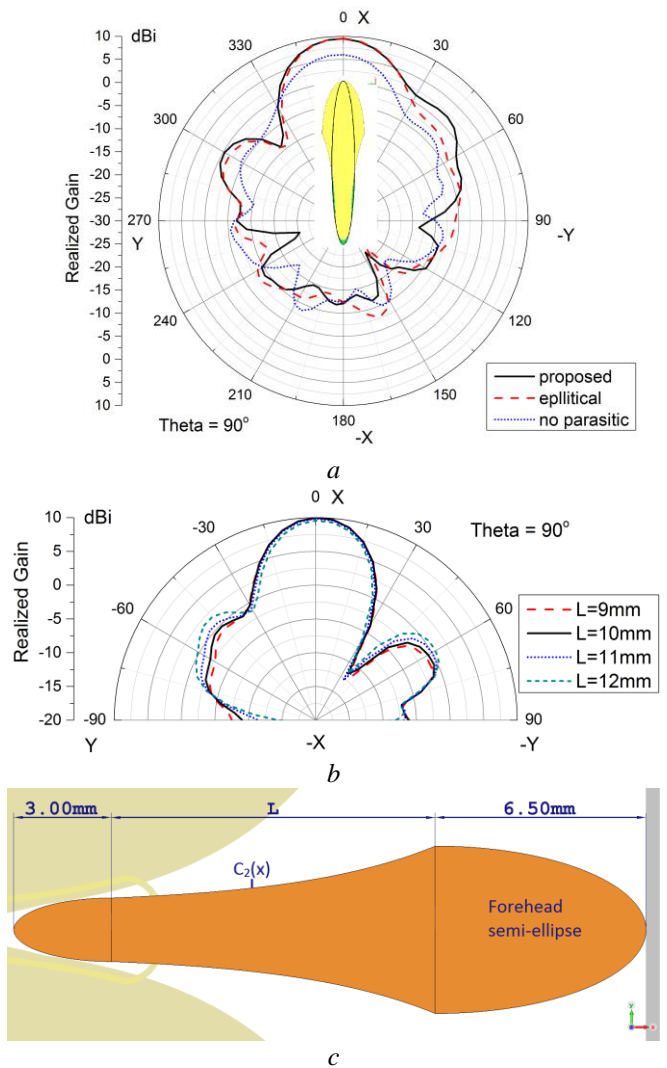


Fig. 5. Parasitic element study (a) Parasitic shape comparison, (b) Parameter study on  $L$ , (c) The geometry of the parasitic element

replaced by the proposed shape with the same length shown in Fig. 5a. It can be observed, that the proposed shape has a higher gain compared to that of the elliptical shape. Therefore, the shape of the parasitic element can improve the radiation gain of the antenna. A further parametric study on the curve length  $L$  is implemented while the total length of the parasitic element remains the same, the results are shown in Fig 5b. The exponential curve  $C_2(x)$  uses the same function from Eq. (1) where  $a_2 = 0.2$  and  $p_2 = 0.23$ , which is already optimised for the highest gain. As shown in Fig. 5c, the forehead semi-ellipse becomes wider as  $L$  increases, resulting in higher side lobes and lower maximum gain. The highest realised gain and lowest side lobes can be observed in Fig. 5b when  $L = 10$  mm.

### 3.2. Parametric Study on the Vivaldi Edge

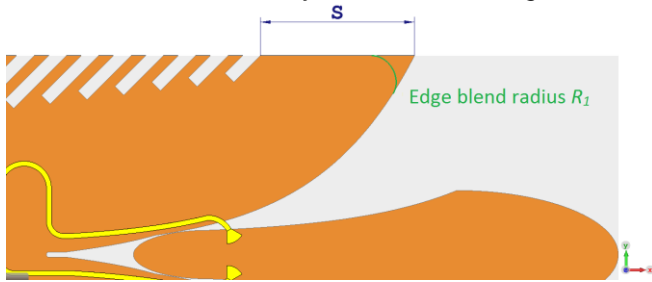


Fig. 6 Geometry zoom in on the slit edge

The slits are parallel slots cut into the outer ground plane edge of the Vivaldi, as shown in Fig. 6. In this design, they are placed at the tip of the Vivaldi shape,  $45^\circ$  to the  $y$ -axis with the slit width of 0.5 mm, the slit separation of 0.8 mm and a linear increment 0.25 mm in length starting from 1.5 mm (1st slit length).

From Fig. 7, it can be seen that the front-to-back ratio improved significantly by 11.9 dB with the introduction of slits to the structure. Adding 4 more slits (from 8 to 12 slits), the squinted radiation direction  $5^\circ$  is modified back on boresight ( $0^\circ$ ) with the same radiation gain and half-power bandwidth. The side lobe is also reduced by 1 dB. The comparison of the slits parallel to the  $y$ -axis and  $45^\circ$  is presented in Fig. 7. The proposed method offers 1.4 dB greater maximum gain and lower side lobes.

The slit position from the tip  $S$  is studied in Fig 8 and Table 1. As a balance between gain and beamwidth in the  $\theta = 90^\circ$  plane, the presented design can be adjusted for

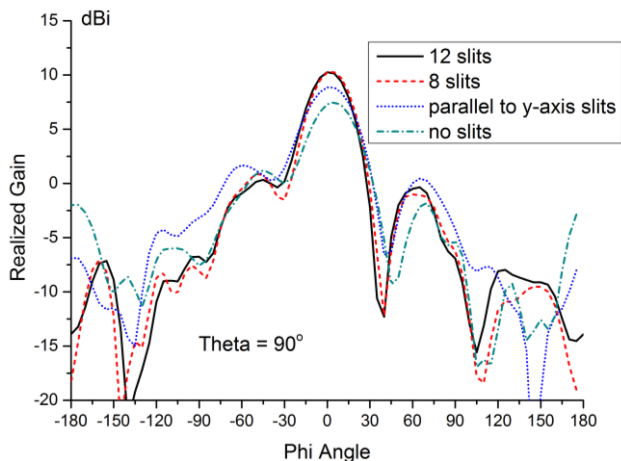


Fig. 7 Parametric study on ground plane slits

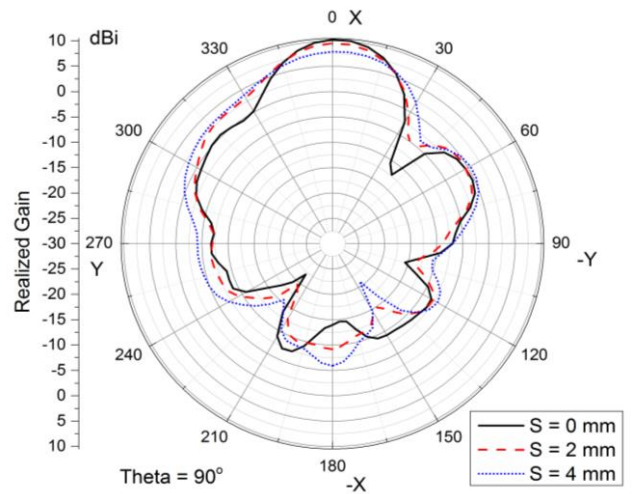


Fig. 8 Parametric study on slit position  $S$

Table I Summary of gain and HPBW in Fig. 8

$S$ (mm)	Maximum Gain (dBi) in $\theta = 90^\circ$	Half-power beamwidth (HPBW)
0	10.24	$30.3^\circ$
2	9.52	$34.2^\circ$
4	7.85	$47.08^\circ$

pursuing more than 10 dBi realised gain for  $S = 0$  mm or wide HPBW for  $S = 4$  mm.

Combing the results from Fig. 2 and 7, the addition of ground plane slits provides additional filtering on the ground plane edge, which acts as a reflector to the edge current. Hence, the front-to-back ratio is increased, with improved end-fire radiation.

### 3.3. Final Results Presentation

Fig. 9 shows the simulated and measured  $S_{11}$ . The antenna radiates at 28 GHz with a measured 10 dB impedance bandwidth of 25.99-31.49 GHz ( $\Delta = 4.96$  GHz) and simulated 26.11-30.71 GHz ( $\Delta = 4.6$  GHz). The simulated total efficiency is 84.9%. The measured and simulated radiation pattern is shown in Fig. 10. The maximum measured realised gain at 28 GHz is 8.48 dBi. The measured HPBW is  $35^\circ$  in the  $\theta = 90^\circ$  plane and the

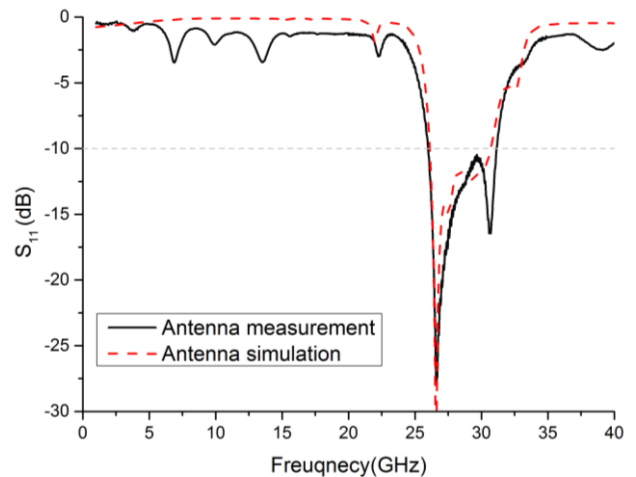
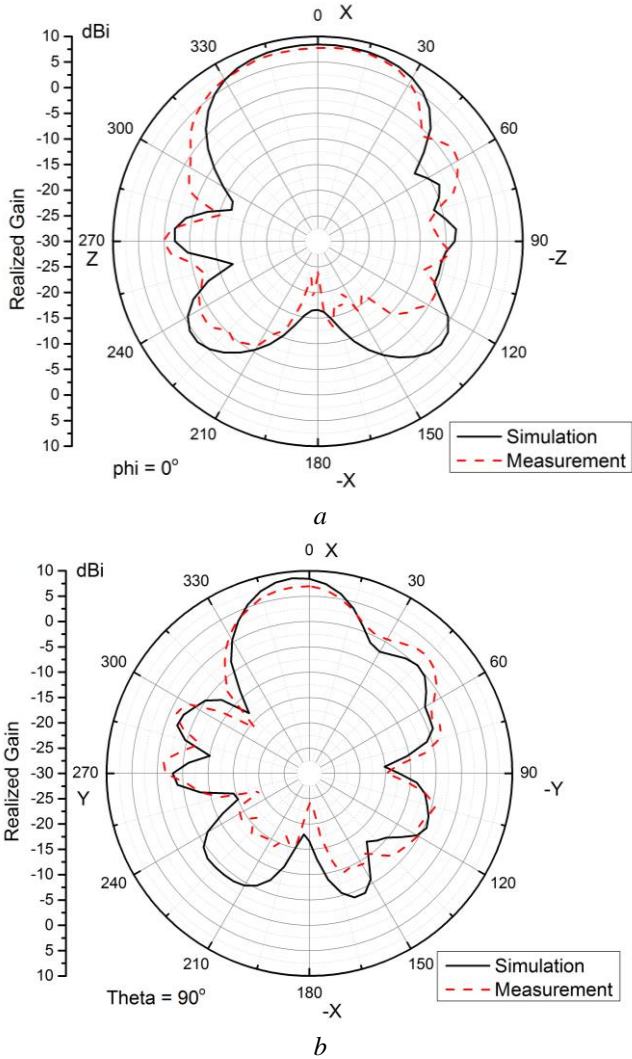


Fig. 9 Simulated and measured  $S_{11}$

beamwidth for which the gain is greater than 0 dBi is  $82^\circ$ . For the  $\phi = 0^\circ$  plane, the measured HPBW is  $75^\circ$  with a  $110^\circ$  beamwidth for 0 dBi gain. Good agreement between simulation and measurement was achieved. The simulated and measured realised gain over frequency at boresight is presented in Table 2. Fig. 11 shows the prototyped antenna.



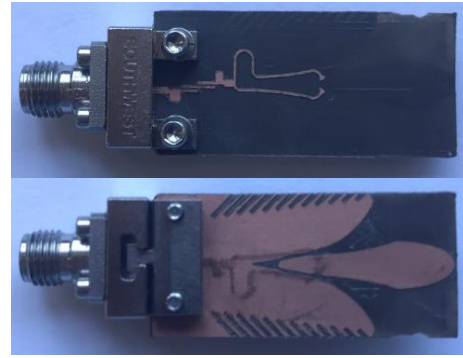
**Fig. 10** Simulated and measured realised gain at 28 GHz (a)  $\phi = 0^\circ$  cut, (b)  $\theta = 90^\circ$  cut

**Table 2** Measured and simulated gain over frequency

Frequency (GHz)	Simulated Gain (dBi)	Measured Gain (dBi)
27	7.56	7.23
28	9.4	8.51
29	8.5	7.57
30	7.59	7.23
31	6.4	6.35

#### 4. Conclusion

A dual-stub fed Vivaldi antenna with a parasitic element in the throat flare is proposed. The reported antenna provides greater coupling to the tapered slots achieving a higher gain of 8.48 dBi. The parasitic element is optimised in shape for better radiation performance. The position of the edge slits is shown to provide control of end-fire radiation



**Fig. 11** Photo of prototype antenna

characteristics. The bandpass filter section is added at the source end for harmonic suppression. The suggested application for this coplanar Vivaldi antenna is emerging 5G base stations and fixed terminals at 28 GHz for directional communication as well as imaging or sensing.

#### 5. Acknowledgments

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