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# Evaporation screening, scattering, and quantum tunnelling near the horizons of Schwarzschild and Reissner–Nordström black holes

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## Abstract

For the radial motion of massive particles with large angular momenta in Schwarzschild geometry and that of massive charged particles with large angular momenta or energy in a particular range in Reissner–Nordström geometry, there exist classically forbidden regions on the outside of the respective event horizons which scatter certain infalling geodesics or screen some of the black holes' evaporation by reflecting the emitted particles back into the black holes. Quantum tunnelling across this forbidden regions is studied.

Keywords: Schwarzschild geometry, Reissner–Nordström geometry, radial motion, classically forbidden region, quantum tunnelling

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## 1. Introduction

Quantum tunnelling in general-relativistic set-up has been an area of interest recently. Parikh and Wilczek [1] introduced the idea to view Hawking radiation [2] as a tunnelling process, based on particles in a dynamical geometry—such across-horizon phenomena arise as result of a dynamical fluctuation of the black hole's mass  $M$ , i.e. a shell of energy  $\omega$  moving on the geodesics and replacing  $M$  by  $M - \omega$ . An outgoing particle starting just inside the initial position of the horizon at  $r = 2M - \delta$  (where  $\delta$  is a small positive number) experiences a classically forbidden region and materializes just outside of the final position of the horizon at  $r = 2(M - \omega) + \delta$ .

Tunnelling of massless and massive particles through a smeared quantum horizon has also been examined in the case of black holes in non-commutative geometry by considering

the effect of smearing of the particle's mass as a Gaussian profile in a flat space-time [3] or by extending the Parikh–Wilczek scheme to higher dimensional non-commutative geometry [4].

Quantum tunnelling in a naked singularity setting in Reissner–Nordström geometry in the context of daemon decay has also been studied [5].

In Schwarzschild geometry and in Reissner–Nordström geometry (and, more generally, in Kerr–Newman geometry), the effective one-dimensional radial motion of massive (charged or not) particles (with non-zero angular momentum) has turning points which bound classically forbidden regions (in which the kinetic energy is negative). Classically, motion can never occur in such regions. On the other hand, there can never be a horizon within a classically forbidden region and thus, a horizon cannot get 'hidden' inside a potential barrier and in result allow a particle to tunnel quantum-mechanically across it. The forbidden regions are either on the inside of the inner horizon or on the outside of the outer one and the loci of the turning points depend on the first integrals of the particle in the corresponding geometry, together with the charge and mass of the probe.

For a marginally bound particle (infalling from state of rest at infinity) or for a higher-energy unbound particle in Schwarzschild geometry, the forbidden region is on the outside of the event horizon and it grows towards the event horizon and extends unboundedly with the increase of the probe's angular momentum. The geodesics of such infalling particles would be scattered and the black hole would be completely 'shielded' behind the forbidden region. In a similar way, the Hawking radiation from a Schwarzschild black hole (and any asymptotically flat black hole) is dominated by low angular momentum modes—the higher the angular momentum of the particle, the closer to the event horizon the screen would be. If the particles are bound (less energetic) then they are either trapped and oscillate radially in a potential well between two turning radii or are forced to fall back into the hole by a smaller turning radius that gets infinitesimally closer to the event horizon with the increase of the angular momentum.

In a series of works [6], Abramowicz *et al* proposed a general-relativistic concept and definition of a centrifugal force which, in Schwarzschild geometry, becomes attractive towards the axis of rotation below the radius  $r = 3M$  of the first circular photon orbit. This is not only a general-relativistic effect, as it has a Newtonian analogue with identical geometrical reason [6]. Extremely compact objects ( $R < 3M$ ) contain null geodesics that are captured by the object. De Felice [7] has shown that the effect, which led to the idea of an attractive centrifugal force, may be explained in a way which preserves the repulsive character of the centrifugal force—it is argued that the phenomenon according to which an increase of the angular velocity of an orbiting particle at  $r < 3M$  causes more attraction than centrifugal repulsion has to be interpreted in terms of being below a threshold of a critical gravitational strength, which has its manifestation in the trapping of the null geodesics, rather than in reversal of the centrifugal force [7]. The situation of trapped timelike geodesics is similar—modes with higher angular momentum lack critical gravitational strength to escape the hole.

For a Reissner–Nordström black hole (the centre of which lies in a forbidden region and is thus not accessible by the particle), with the increase of the angular momentum of an unbound probe, the forbidden regions grow towards the Cauchy horizon and the event horizon respectively in such way that the radial motion of a probe would only be allowed above the outermost turning radius and, similarly to the Schwarzschild case, the black hole would be completely shielded for such particles by scattering their geodesics. Similarly, the Hawking radiation would again be dominated by low angular momentum modes—the higher the angular momentum of the particle, the closer to the horizons the screen would be. Additionally in the Reissner–Nordström case however, if the probe is oppositely charged to the centre, then, depending on the charges of the probe and the centre, particles with any angular momentum

(including zero) and energy within certain range, would be gravitationally trapped by the forbidden region on the outside of the event horizon. Thus the spectrum of the Hawking radiation would be significantly voided in this energy region—that is, but for the quantum tunnelling, there would be no particles (charged oppositely to the centre) coming out of the black hole. The situation of infalling geodesics is analogous—even the low (and zero) angular momentum modes can be scattered if the energies are within a certain range.

In this article the above effects for Schwarzschild and Reissner–Nordström black holes are studied in detail and the turning radii are determined as functions of the probe’s conserved energy and angular momentum. Quantum tunnelling across the classically forbidden regions is also analysed in the framework of the Wentzel–Kramers–Brillouin (WKB) approximation method and the Gamow factor is calculated.

## 2. Schwarzschild black holes

The geodesic motion in Schwarzschild geometry (and the considered in next section charged particle motion in Reissner–Nordström geometry) are very well studied. Particle motion analysis will be summarized here, following Chandrasekhar’s classics [8], and further expanded for finding the forbidden regions.

The space-time interval in Schwarzschild geometry (in units  $c = 1 = G$ ) is given by:

$$ds^2 = - \left(1 - \frac{2M}{r}\right) dt^2 + \frac{1}{1 - \frac{2M}{r}} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2, \quad (1)$$

where  $M$  is the mass of the centre.

As every geodesic can be brought to initially, and hence everywhere, equatorial geodesic by a rotational isometry, attention will be restricted, without loss of generality, to equatorial geodesics only:  $\theta = \pi/2 = \text{const}$ . The geodesic equations for a particle of rest mass  $m$  are [9, 10]:

$$r^2 \frac{dt}{d\lambda} = \frac{r^2}{r^2 - 2Mr} E r^2, \quad (2)$$

$$r^2 \frac{dr}{d\lambda} = \pm \sqrt{E^2 r^4 - (r^2 - 2Mr)(m^2 r^2 + J^2)}, \quad (3)$$

$$r^2 \frac{d\theta}{d\lambda} = 0, \quad (4)$$

$$r^2 \frac{d\phi}{d\lambda} = J, \quad (5)$$

where:  $\lambda = \tau/m$  is the proper time  $\tau$  per unit mass  $m$ ,  $E$  and  $J$  are the conserved energy and the conserved angular momentum of the particle, respectively.

Equation (3) governs the radial motion, which can be considered as one-dimensional effective motion:

$$\frac{1}{2} \dot{r}^2 + V_{\text{eff}}(r) = \frac{\epsilon^2 - 1}{2}, \quad (6)$$

where  $\epsilon = E/m$  is the specific energy of the three-dimensional motion,  $A = (\epsilon^2 - 1)/2$  is the specific energy of the one-dimensional effective motion and the effective potential is:

$$V_{\text{eff}}(r) = -\frac{M}{r} + \frac{j^2}{2r^2} - \frac{Mj^2}{r^3}, \quad (7)$$

where  $j = J/m$  is the specific angular momentum of the particle.

Motion is possible only if the specific kinetic energy  $\frac{1}{2}\dot{r}^2$  is non-negative. Particle trajectories are characterized by  $\epsilon$  (and, also, by  $j$ ). If  $\epsilon < 1$ , the particle cannot escape the black hole and the trajectory is bound. The case of  $\epsilon = 1$  corresponds to a particle falling towards the black hole from state of rest at infinity or to a marginally bound trajectory for an outgoing particle. Finally, the case of  $\epsilon > 1$  corresponds to unbound particles. All three cases will be studied.

### 2.1. Marginally bound trajectories

Starting with a marginally bound particle ( $\epsilon = 1$ ), non-negativity of the kinetic energy in the region  $r > 0$  necessitates that  $V_{\text{eff}}(r) < 0$ , that is:

$$2Mr^2 - j^2r + 2Mj^2 > 0. \quad (8)$$

Thus the kinetic energy is negative between the two turning radii  $r_1 \leq r_2$  given by:

$$r_{1,2} = \frac{j^2}{4M} \left( 1 \pm \sqrt{1 - \frac{16M^2}{j^2}} \right). \quad (9)$$

Clearly, for particles with small angular momenta, that is,  $j^2 < 16M^2$ , the two turning radii  $r_{1,2}$  are not real and therefore the kinetic energy is always positive and the radial motion—unimpeded. For larger angular momenta (particles having greater tangential velocities), a classically forbidden region ( $r_1, r_2$ ) opens up—no particle can exist between the two turning radii. Quantum tunnelling is however possible and it will be studied later.

The forbidden region is always outside the event horizon  $r_H = 2M$ . To see this, assume that  $r_H > r_1$  and seek a contradiction. The roots  $r_{1,2}$  are real, thus  $j^2 \geq 16M^2$ . This can be written as  $j^2 = (1/\alpha)16M^2$ , where  $0 < \alpha \leq 1$ . The assumption  $r_H > r_1$  leads to the contradiction  $\alpha < 0$ .

### 2.2. Unbound trajectories

The specific energy of the three-dimensional motion of unbound particles is  $\epsilon > 1$ , and the specific energy of the one-dimensional effective motion of such particles is  $A = (\epsilon^2 - 1)/2 > 0$ . Non-negativity of the kinetic energy leads to:

$$R(r) \equiv Ar^3 + Mr^2 - \frac{j^2}{2}r + Mj^2 > 0. \quad (10)$$

At the horizon  $r_H = 2M$ , the function  $R(2M)$  is positive:  $R(2M) = 8AM^3 + 4M^3 > 0$ , since  $A > 0$ . Thus the horizon is not in a forbidden region.

The cubic equation  $Ar^3 + Mr^2 - \frac{j^2}{2}r + Mj^2 = 0$  (in which, again,  $A > 0$ ) always has three roots:  $r_1, r_2$ , and  $r_3$ . As there are two sign changes in this equation, according to Descartes' rule of signs, there are either two positive roots and one negative root, or one negative root and two complex roots, or three negative roots. In fact, situation with three negative roots cannot arise as, according to Vieta's formula,  $r_1r_2 + r_2r_3 + r_3r_1 = -j^2/(2A) < 0$ , while each of the products  $r_i r_j$  is positive and they cannot add up to a negative number. As motion occurs for  $r > 0$ , the case of one negative and two complex roots leads to no forbidden region and unimpeded radial motion. If there are three real roots, one of which, called  $r_1$ , is negative and two, called  $r_{2,3}$  respectively (with  $r_2 \leq r_3$ ), are positive, then classically forbidden region exists between the two turning radii  $r_2$  and  $r_3$ . The discriminant of the cubic equation is:

$$D = \frac{j^2}{4} [2A(j^2)^2 + (-108A^2M^2 - 36AM^2 + M^2)j^2 - 16M^4]. \quad (11)$$

If  $D \geq 0$ , there are one negative and two positive roots, if  $D < 0$ , there are one negative and two complex roots.

Forbidden region exists in the first case ( $D \geq 0$ ), that is, when  $j^2$  is not between  $(j_1)^2$  and  $(j_2)^2$ , where:

$$(j_{1,2})^2 = \frac{(108A^2 + 36A - 1)M^2}{4A} \left[ 1 \pm \sqrt{1 + \frac{128A}{(108A^2 + 36A - 1)^2}} \right]. \quad (12)$$

The expression under the root is always positive, thus real  $(j_1)^2 \neq (j_2)^2$  always exist. The roots  $(j_1)^2$  and  $(j_2)^2$  must also be positive (as they are squares of the angular momentum). The smaller root  $(j_1)^2$  is always negative, unless  $108A^2M^2 + 36AM^2 - M^2$  is negative. This is satisfied by  $-\frac{1}{6} - \frac{1}{9}\sqrt{3} < A < -\frac{1}{6} + \frac{1}{9}\sqrt{3}$ . But  $A$  itself is positive for unbound particles. Therefore, for small values of the specific energy  $A$  of the one-dimensional effective motion, i.e. for  $0 < A < -\frac{1}{6} + \frac{1}{9}\sqrt{3}$ , or for small values of the specific energy of the three-dimensional motion, i.e.  $\epsilon < \sqrt{\frac{2}{3} + \frac{2}{9}\sqrt{3}}$ , the smaller root  $(j_1)^2$  is positive.

This analysis can be summarized as follows:

For small values of the specific energy  $A$  of the one-dimensional effective motion, i.e. for  $0 < A < -\frac{1}{6} + \frac{1}{9}\sqrt{3}$  and for values of the square of the angular momentum smaller than or equal to  $(j_1)^2$  or greater than or equal to  $(j_2)^2$ , there is a forbidden region between the two turning radii  $r_{2,3}$  (with  $r_2 \leq r_3$ ). If the square of the angular momentum is between  $(j_1)^2$  and  $(j_2)^2$ , then forbidden region does not exist and such particles will escape unopposed to infinity or will cross the horizon and hit the singularity at  $r = 0$  if coming from infinity.

For values of the specific energy  $A$  of the one-dimensional effective motion such that  $A \geq -\frac{1}{6} + \frac{1}{9}\sqrt{3}$  (in which case  $(j_1)^2$  is negative and unphysical) and for values of the square of the angular momentum greater than or equal to  $(j_2)^2$ , there is a forbidden region between the two turning radii  $r_{2,3}$  (with  $r_2 \leq r_3$ ). Particles with square of the angular momentum between 0 (instead of the negative  $(j_1)^2$ ) and  $(j_2)^2$  will be unopposed.

As seen already, the horizon  $r_H = 2M$  is not in the forbidden region (as  $R(r) \equiv Ar^3 + Mr^2 - \frac{j^2}{2}r + Mj^2 > 0$  there). This means that  $r_H < r_2$  or  $r_H > r_3$ . To see that only the first of these holds, consider the sum of the last two terms in  $R(r)$ , namely  $-\frac{j^2}{2}r + Mj^2$ . To the right of the horizon (i.e. for  $r > 2M$ ) and arbitrarily close to it, this is negative for all  $j^2$ . On the other hand, the sum of the first two terms in  $R(r)$ , namely  $Ar^3 + Mr^2$ , is always positive. Therefore, for any specific energy  $A$  of the one-dimensional effective motion, there exists a value of  $j^2$  such that  $R(r)$  becomes negative just to the right of the horizon  $r_H = 2M$ . This means that the inner turning radius  $r_2$  is just to the right of the horizon  $r_H = 2M$  and  $r_2$  approaches  $r_H$  for any  $A$  as  $j^2$  grows.

For any energy  $A$  and any angular momentum  $j$ , the root  $r_2$  is never greater than  $6M$ . This can be seen as follows. The specific kinetic energy  $\frac{\dot{r}^2}{2} = A - V_{\text{eff}}(r) = A + \frac{M}{r} - \frac{j^2}{2r^2} + \frac{Mj^2}{r^3}$  has a minimum at point  $\frac{j^2}{2M} \left( 1 - \sqrt{1 - \frac{12M^2}{j^2}} \right)$  and  $\dot{r}^2$  is negative there in the case of three real roots. Thus  $j^2$  must be greater than  $12M^2$ , otherwise there will be no minimum. In the case of three real roots, there is definitely a minimum. In the limit  $j^2 \rightarrow 12M^2$ , the local minimum is at a point that tends to  $6M$ ; in the limit of very large  $j^2$ , the local minimum is at a point that tends to  $3M$ . The root  $r_2$  is between the horizon  $2M$  and the minimum. Thus, the root  $r_2$  is never smaller than  $2M$  and never greater than  $6M$ .

To see what values of  $j^2$  will guarantee that the root  $r_2$  will be arbitrarily close to the horizon  $2M$ , expand the kinetic energy in series over the powers of  $(r - 2M)$ . If  $r$  is to be the root  $r_2$  (thus  $\dot{r}$  will vanish at  $r = r_2$ ), that is very close to  $2M$ , truncating the series after

the linear term yields:

$$r_2 = 2M \left( 1 + \frac{\epsilon^2}{1 + \frac{j^2}{4M^2}} \right). \quad (13)$$

For the root  $r_2$  to be arbitrarily close to  $2M$ , it is necessary to have  $\epsilon^2/[1 + j^2/(4M^2)] \ll 1$  or values of  $j$  such that  $j^2 \gg 4M^2(\epsilon^2 - 1)$ .

Using this form of  $r_2$  and Vieta's formulae:  $r_1 + r_2 + r_3 = -M/A$ ,  $r_1r_2 + r_2r_3 + r_3r_1 = -j^2/(2A)$ , and  $r_1r_2r_3 = -Mj^2/A$ , it is easy to find the outer turning radius  $r_3$  for the same range  $j^2 \gg 4M^2(\epsilon^2 - 1)$ :

$$r_3 \simeq \frac{M\epsilon^2}{\epsilon^2 - 1} \left[ -1 + \sqrt{1 + \frac{j^2(\epsilon^2 - 1)}{\epsilon^4 M^2 \left( 1 + \frac{\epsilon^2}{1 + \frac{j^2}{4M^2}} \right)}} \right]. \quad (14)$$

### 2.3. Bound trajectories

Considering next bound particles (with negative specific energy  $A$  of their one-dimensional effective motion,  $A < 0$ ), the turning points are the roots of the cubic equation  $R(r) \equiv Ar^3 + Mr^2 - \frac{j^2}{2}r + Mj^2 = 0$  which exhibits three sign changes. There are, therefore, two situations, depending on  $j$  for any given  $A$ .

The first one is a case of three positive roots. There are four sub-cases here: (i) either one treble root to the right of which  $R(r) < 0$  and motion being impossible, or (ii) one double root  $r_1 = r_2$  and a single root  $r_3$  such that  $r_1 = r_2 < r_3$  with  $R(r)$  negative to the right of  $r_3$  and impossible motion for  $r > r_3$ , or (iii) one single root  $r_1$  and a double root  $r_2 = r_3$  greater than  $r_1$  with  $R(r) \leq 0$  to the right of  $r_1$  and impossible motion for  $r > r_1$ , or (iv) three different roots  $r_1 < r_2 < r_3$  with  $R(r)$  negative for  $r$  between  $r_1$  and  $r_2$  and for  $r > r_3$  and impossible motion in these intervals.

The second situation is a case of two complex roots and a positive root  $r_3$ . In this case,  $R(r)$  is negative for  $r$  greater than  $r_3$  with motion being impossible in this region. Note that Vieta's formulae do not allow a situation with two negative roots and one positive root to be realized.

At the horizon  $r_H = 2M$ , the sign of the function  $R(r)$  depends on  $A$ . That is,  $R(2M) = 4M^3(2A + 1)$  is positive for  $-\frac{1}{2} < A < 0$  and negative for  $A < -\frac{1}{2}$ .

Considering the case  $A < -\frac{1}{2}$  first, it can be noted that  $Ar^3 + Mr^2$  is negative for  $r > 2M$ . On the other hand,  $-\frac{j^2}{2}r + Mj^2$  is negative for all  $j^2$  when  $r > 2M$ . Thus  $R(r)$  never becomes positive for all values of  $r > 2M$ . Therefore, for  $A < -\frac{1}{2}$ , the horizon  $r_H = 2M$  is in the forbidden region that extends from  $r_3$  to  $\infty$ . That is, no particles with energy  $A < -\frac{1}{2}$  can exist on the outside of the event horizon.

When  $-\frac{1}{2} < A < 0$ , the horizon is not in a forbidden region (as  $R(2M)$  is positive in this case). Similarly to the situation of unbound particles, the term  $Ar^3 + Mr^2$  is positive to the right of  $r_H = 2M$ . On the other hand, the other term in  $R(r)$ , namely  $-\frac{j^2}{2}r + Mj^2$ , is negative to the right of the horizon for any  $j$ . Therefore, for large values of  $j^2$ , the horizon is just to the left of a positive root. In case of one positive root  $r_3$  only (and the other two—complex), any particles with energy  $-\frac{1}{2} < A < 0$  will reflect from the forbidden region that extends from  $r_3$  to  $\infty$  and will fall back into the black hole. In case of three positive roots with one of them double ( $r_1 = r_2 < r_3$  or  $r_1 < r_2 = r_3$ ) or in the case of a treble positive root  $r_3$ , the forbidden

region extends from  $r_3$  infinity and, again, all particles with energy  $-\frac{1}{2} < A < 0$  will fall back into the black hole.

The only remaining situation left to be considered is when  $-\frac{1}{2} < A < 0$  and there are three distinct positive roots  $r_1 < r_2 < r_3$ . In fact, such situation does not arise for all values of  $A$  from  $-\frac{1}{2}$  to 0. For three distinct positive roots to exist, the discriminant (11) of the cubic polynomial  $Ar^3 + Mr^2 - \frac{j^2}{2}r + Mj^2$  must be positive. Given that  $A$  is now negative (but greater than  $-\frac{1}{2}$ ), real positive roots (12) for  $j^2$  exist if the discriminant of the discriminant  $D$  (viewed as a polynomial in  $j^2$ ) is positive. That is, if  $(A + \frac{1}{2})(A + \frac{1}{18})^3 > 0$ . Thus, for  $-\frac{1}{2} < A < -\frac{1}{18}$  there is only one positive root to the right of the horizon  $2M$  (and two complex roots) and for  $-\frac{1}{18} < A < 0$  and values of  $j^2$  between those determined in (12), there are three positive roots  $r_1 < r_2 < r_3$  and two forbidden regions: between  $r_1$  and  $r_2$  and to the right of  $r_3$ . When there are three distinct positive roots, the horizon  $2M$  is not in a forbidden region and it is to the left of  $r_1$  for the following reason. The term  $-\frac{j^2}{2}r + Mj^2$  in  $R(r)$  is positive at the horizon  $2M$  or to the left of it for any  $j$ . The other term in  $R(r)$ , namely  $r^2(Ar + M)$ , is also positive for all values of  $r$  between 0 and the horizon  $2M$  and all values of  $A$  between  $-\frac{1}{18}$  and 0. Thus, to the left of the horizon,  $R(r)$  is everywhere positive. This means that the horizon can only be to the left of  $r_1$  and not between  $r_2$  and  $r_3$  as in the latter case there would be a region to the left of the horizon where  $R(r)$  is negative. In view of this, particles emitted by the black hole can tunnel across the forbidden region between  $r_1$  and  $r_2$ . There would be gravitationally trapped particles between  $r_2$  and  $r_3$  (beyond which there is a second forbidden region extending all the way to infinity). Black holes cannot evaporate with particles with energy  $-\frac{1}{18} < A < 0$ .

#### 2.4. Quantum tunnelling near Schwarzschild black holes

Using the WKB approximation method (see [11], for example), the transmission coefficient for tunnelling through the classically forbidden region between two turning radii  $R_{\pm}$ , in effective potential  $V_{\text{eff}}(r)$ , with specific energy  $(\epsilon^2 - 1)/2$  of the one-dimensional effective motion, will be determined.

The one-dimensional Schrödinger equation for a particle moving with energy  $E$  in potential  $U(r)$  can be re-written as:

$$\frac{d^2\psi}{dr^2} = -\frac{p^2}{\hbar^2}\psi(r), \quad (15)$$

where  $p^2(r) = 2m[E - U(r)]$  is the square of the classical momentum of the particle (with  $E > U(r)$ , so that  $p(r)$  is real). For tunnelling through a potential barrier (namely, across a classically forbidden region between two turning radii  $R_{\pm}$ ), the WKB-approximated wave function is given by:

$$\psi(r) \simeq \frac{C}{\sqrt{|p(r)|}} e^{\pm \frac{i}{\hbar} \int_{R_{\pm}}^{R_{\pm}} |p(r)| dr}, \quad (16)$$

where  $C = \text{const}$  and  $|p(r)| = \sqrt{2m[U(r) - E]}$ .

The amplitude of the transmitted wave, relative to the amplitude of the incident wave, is diminished by the factor  $e^{-2\gamma}$ , where

$$\gamma = \frac{1}{\hbar} \int_{R_-}^{R_+} |p(r)| dr. \quad (17)$$

The tunnelling probability  $P$  is proportional to the Gamow factor  $e^{-2\gamma}$  [11].



In the present analysis, the forbidden region (corresponding to negative specific kinetic energy  $\frac{1}{2}j^2$ ) is where  $V_{\text{eff}}(r) - \frac{\epsilon^2-1}{2} = -\frac{M}{r} + \frac{j^2}{2r^2} - \frac{Mj^2}{r^3} - A$  is positive. Thus:

$$\gamma = \frac{\sqrt{2m}}{\hbar} \int_{R_-}^{R_+} \sqrt{-Mj^2 \frac{1}{r^3} + \frac{j^2}{2} \frac{1}{r^2} - M \frac{1}{r} - A} dr. \quad (18)$$

As the case of interest is for tunnelling across the forbidden region only, the cubic function  $V_{\text{eff}}(r) - \frac{\epsilon^2-1}{2}$  under the square root in this equation can be approximated by a parabola  $W(r)$  that: (i) has as roots the roots  $R_{\pm}$  of the cubic function; (ii) whose maximum occurs where the local maximum of the cubic function is (this happens between the roots  $R_{\pm}$ , at coordinate  $\rho$  which will be determined); and (iii) whose maxima is equal to that of the cubic function. That is, near the forbidden region:  $V_{\text{eff}}(r) - \frac{\epsilon^2-1}{2} = -Mj^2 \frac{1}{r^3} + \frac{j^2}{2} \frac{1}{r^2} - M \frac{1}{r} - A \simeq W(r) = K \left( \frac{1}{r} - \frac{1}{R_-} \right) \left( \frac{1}{r} - \frac{1}{R_+} \right) = \frac{K}{r^2 R_+ R_-} (r - R_-)(r - R_+)$ , where  $K$  is some negative number that will also be determined.

As seen earlier, the local maximum of  $V_{\text{eff}}(r) - \frac{\epsilon^2-1}{2}$  occurs at point:

$$\rho = \frac{j^2}{2M} \left( 1 - \sqrt{1 - \frac{12M^2}{j^2}} \right). \quad (19)$$

To make sure that the maximum of the approximating parabola is equal to the local maximum of the cubic function and that this happens at the same point  $\rho$ , the negative constant  $K$  can be easily determined as:

$$K = \rho^2 R_+ R_- \frac{V_{\text{eff}}(\rho) - \frac{\epsilon^2-1}{2}}{(\rho - R_-)(\rho - R_+)}. \quad (20)$$

Thus, using the approximating parabola  $W(r)$ , the factor  $\gamma$  given by equation (18), becomes:

$$\begin{aligned} \gamma &\simeq \frac{\sqrt{2m}}{\hbar} \left[ \rho^2 R_+ R_- \frac{V_{\text{eff}}(\rho) - \frac{\epsilon^2-1}{2}}{(\rho - R_-)(R_+ - \rho)} \right]^{\frac{1}{2}} \int_{R_-}^{R_+} \sqrt{\frac{(r - R_-)(R_+ - r)}{r^2}} dr. \\ &= \left[ \frac{m\pi^2}{2\hbar^2} \rho^2 R_+ R_- \frac{V_{\text{eff}}(\rho) - \frac{\epsilon^2-1}{2}}{(\rho - R_-)(R_+ - \rho)} \right]^{\frac{1}{2}} (\sqrt{R_+} - \sqrt{R_-})^2. \end{aligned} \quad (21)$$

For marginally bound particles (for which  $A = (\epsilon^2 - 1)/2 = 0$ ), the limits of integration  $R_{\pm}$  are the two turning radii determined in (9).

For unbound particles (for which  $A = (\epsilon^2 - 1)/2 > 0$ ), the lower limit of integration is  $R_- = r_2$ , as determined in (13), while the upper limit is  $R_+ = r_3$ , as determined in (14).

Finally, as seen already, bound particles (for which  $A = (\epsilon^2 - 1)/2 < 0$ ) would be able to move on the outside of the event horizon only when  $-\frac{1}{2} < A < -\frac{1}{18}$  (in which case they will bounce back towards the horizon by the forbidden region extending all the way to infinity from the only positive root) or when  $-\frac{1}{18} < A < 0$  and values of  $j^2$  between those determined in (12)—in which case there are three distinct positive roots and the particles will encounter an inner forbidden region before the forbidden region extending to infinity. Quantum tunnelling is possible across the inner forbidden region only and the limits of integration in this case will be  $R_- = 2M[1 + \epsilon^2/(1 + j^2/4M^2)]$  and

$$R_+ = \frac{(2A + 1)M}{2A} \left[ -1 - \sqrt{1 + \frac{2Aj^2}{(2A + 1)^2 M^2 \left( 1 + \frac{\epsilon^2}{1 + \frac{j^2}{4M^2}} \right)}} \right]. \quad (22)$$

This analysis can, of course, be applied to accreting matter falling towards a black hole. In such case, the forbidden region would lead to the scattering of incoming unbound or marginally bound particles with large angular momenta. Particles with small angular momenta will not experience a forbidden region and will cross the horizon. Bound particles with  $-\frac{1}{18} < A < 0$  and suitable values of  $j$  (resulting in three distinct roots) will be trapped between  $r_2$  and  $r_3$ , unless they tunnel across to  $r_1$  and then cross the horizon. The quantum tunnelling is a competing effect that will diminish the scattering of unbound and marginally bound particles and also the number of trapped bound particles (excluding the effects of quantum tunnelling out of the forbidden region of particles emitted by the black hole).

### 3. Unbound particles in Reissner–Nordström geometry

Reissner–Nordström geometry in Boyer–Lindquist coordinates (in units  $c = 1 = G$ ) is given by:

$$ds^2 = -\frac{\Delta}{r^2} dt^2 + \frac{r^2}{\Delta} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2. \quad (23)$$

where  $\Delta = r^2 - 2Mr + Q^2$ . Here  $M$  is again the mass of the centre and  $Q$  is the charge of the centre. For a black hole to exist,  $Q^2 < M^2$ .

The motion of a particle of mass  $m$  and charge  $\tilde{q}$  in gravitational and electromagnetic fields is governed by the following equations [10]:

$$r^2 \frac{dt}{d\lambda} = \frac{r^2}{\Delta} (Er^2 - \tilde{q}Qr), \quad (24)$$

$$r^2 \frac{dr}{d\lambda} = \pm \sqrt{(Er^2 - \tilde{q}Qr)^2 - \Delta(m^2 r^2 + J^2)}, \quad (25)$$

$$r^2 \frac{d\theta}{d\lambda} = 0, \quad (26)$$

$$r^2 \frac{d\phi}{d\lambda} = J, \quad (27)$$

where, again, attention is restricted, without loss of generality, to equatorial motion only:  $\theta = \pi/2 = \text{const}$ .

The radial motion is described by equation (25) and, again, it can be considered as a one-dimensional effective motion:

$$\frac{1}{2} \dot{r}^2 + V_{\text{eff}}(r) = \frac{\epsilon^2 - 1}{2}. \quad (28)$$

This time the effective potential is:

$$V_{\text{eff}}(r) = \frac{qQ\epsilon - M}{r} + \frac{j^2 + Q^2(1 - q^2)}{2r^2} - \frac{Mj^2}{r^3} + \frac{Q^2 j^2}{2r^4}, \quad (29)$$

where  $q = \tilde{q}/m$  is the specific charge of the particle.

Clearly, there are possibilities for the specific kinetic energy

$$\frac{1}{2} \dot{r}^2 = \frac{1}{2} \left( \epsilon - \frac{qQ}{r} \right)^2 - \frac{1}{2} \frac{\Delta}{r^2} \left( 1 + \frac{j^2}{r^2} \right) \quad (30)$$

to become negative, thus classically forbidden regions exist. For their determination, it will be helpful to study the roots of the quartic equation  $\hat{R}(r) \equiv \frac{1}{2} r^4 \dot{r}^2 = 0$ :

$$\frac{\epsilon^2 - 1}{2} r^4 - (qQ\epsilon - M)r^3 - \frac{1}{2} [j^2 + Q^2(1 - q^2)]r^2 + Mj^2 r - \frac{1}{2} Q^2 j^2 = 0. \quad (31)$$

Unbound particles ( $\epsilon > 1$ ) will be considered only. As seen previously in the Schwarzschild case, bound particles do not add new insight.

The forbidden region occurs where  $\hat{R}(r)$  is negative. In the quartic polynomial (31), the cubic and quadratic terms can change signs. When the signs of the terms are  $+, +, +, +, -$ , respectively, there is only one positive root (together with three negative roots or with one negative and two complex roots). When the signs of the terms are  $+, +, -, +, -$ , or  $+, -, +, +, -$ , or  $+, -, -, +, -$ , then either the same situation occurs, or there are three positive roots (together with a negative one). As  $\hat{R}(0) = -\frac{1}{2}Q^2j^2 < 0$ , the singularity in the centre cannot be reached by an incoming particle as it is in a forbidden region (negative kinetic energy). It should be noted, that particles with  $q^2 > 1$  and zero angular momentum can reach the centre [12].

It is obvious from (30), that when  $\Delta$  is negative, i.e. between the Cauchy horizon  $r_-$  and the event horizon  $r_+$ :

$$r_{\pm} = M \left( 1 \pm \sqrt{1 - \frac{Q^2}{M^2}} \right), \quad (32)$$

then  $\hat{R}(r)$  is positive. Thus both horizons are not in a forbidden region. Clearly, in the case of one positive root, motion is impossible between the centre and the root. Both horizons are to the right of the root. In the case of three positive roots  $\hat{r}_1 < \hat{r}_2 < \hat{r}_3$ , there are two forbidden regions—between the centre and  $\hat{r}_1$  and between  $\hat{r}_2$  and  $\hat{r}_3$ . Quantum tunnelling can occur between  $\hat{r}_2$  and  $\hat{r}_3$ . (If one of the three positive roots is double or if there is a treble positive root, the situation is not too different from the one for the case of a single positive root and will not be studied separately.) As the horizons  $r_{\pm}$  are not in a forbidden region, they are either to the right of  $\hat{r}_3$  (thus all roots being below the Cauchy horizon  $r_-$ ) or between  $\hat{r}_1$  and  $\hat{r}_2$ . Only the latter situation can be realized for the following reason. The roots of  $\hat{R}(r)$  depend on the parameters of the centre:  $M$  and  $Q$  and those of the probe:  $\epsilon, j, q$ . The parabola  $\Delta = r^2 - 2Mr + Q^2$  is independent on  $\epsilon, j$ , and  $q$  and it has a minimum at  $r = M$  which is equal to  $-M^2 + Q^2$  and it is negative. Making  $M$  approach 0 (while keeping  $Q$  such that  $Q^2 < M^2$ ) moves the parabola to the left and up. If all three positive roots were to the left of the Cauchy horizon, making  $M \rightarrow 0$  (and  $Q \rightarrow 0$ ) squeezes all three roots to 0. If  $\hat{r}_4$  denotes the negative root, Vieta's formula  $\hat{r}_1 + \hat{r}_2 + \hat{r}_3 + \hat{r}_4 = 2(qQ\epsilon - M)/(\epsilon^2 - 1)$  shows that  $\hat{r}_4$  is finite. Vieta's formula  $\sum_{j < k} \hat{r}_j \hat{r}_k = -[j^2 + Q^2(1 - q^2)]/(\epsilon^2 - 1)$  gives a finite number on the right-hand side in the limit  $Q \rightarrow 0$  and a number approaching zero on the left-hand side. Therefore it is not possible to have all three positive roots approach zero and so the roots of the parabola are not above the biggest root  $\hat{r}_3$ , but are between  $\hat{r}_1$  and  $\hat{r}_2$ . Thus the forbidden region between  $\hat{r}_2$  and  $\hat{r}_3$  is above the event horizon  $r_+$ .

The first term  $(\epsilon - \frac{qQ}{r})^2$  in the expression (30) for the kinetic energy in the case of oppositely charged probe and centre ( $qQ < 0$ ) has a positive lower limit. Then for *any*  $r$  above the event horizon  $r_+$  and for *any* energy  $\epsilon$  of the probe, taking a large angular momentum:

$$j^2 > r^2 \left[ \frac{r^2}{\Delta} \left( \epsilon - \frac{qQ}{r} \right)^2 - 1 \right] \quad (33)$$

will result in  $\hat{R}(r)$  becoming negative at this point. That is,  $\hat{R}(r)$  for particles with such angular momenta (and any energy) will have three positive roots and these particles will be gravitationally trapped below  $\hat{r}_2$  (unless they tunnel across to  $\hat{r}_3$ ). If  $r$  is taken just to the right of the event horizon  $r_+$ , and as  $j^2$  grows large (for any  $\epsilon$ ),  $\hat{R}(r)$  will approach zero just to the right of  $r_+$ . That is, the larger  $j^2$  grows, the closer the root  $\hat{r}_2$  will be to the event horizon, i.e. for large  $j^2$ ,  $\hat{r}_2 \rightarrow r_+$  for any  $\epsilon$ . Using similar arguments, it is easy to see that in this case,

the smallest positive root  $\hat{r}_1$  will approach the Cauchy horizon  $r_-$  for any  $\epsilon$  if  $j^2$  grows large:  $\hat{r}_1 \rightarrow r_-$ .

Considering again (30) in the case of like charged centre and probe ( $qQ > 0$ ), it is obvious that for *any*  $r$  above the event horizon  $r_+$  and for *any* angular momentum  $j$  of the probe, taking  $\epsilon$  close to  $qQ/r$  will result in  $\hat{R}(r)$  becoming negative at this  $r$ . Of course, as  $\epsilon > 1$ , such possibility exists only if  $|q| > \frac{r}{|Q|}$  (if the specific charge of the probe does not satisfy this, then  $(\epsilon - \frac{qQ}{r})^2$  has a positive lower limit and the situation is analogous to the one considered above for  $qQ < 0$ ). This means that for  $\epsilon$  close to  $qQ/r$ , there will be another root above the event horizon and, therefore, it is not possible to have a single positive root when  $qQ > 0$  and  $|q| > \frac{r}{|Q|}$  for particles with energy  $\epsilon$  in the range  $(\epsilon_1, \epsilon_2)$  where:

$$\epsilon_{1,2} = \frac{1}{r} \left( qQ \pm \sqrt{\Delta + \frac{j^2}{r^2}} \right). \tag{34}$$

That is,  $\hat{R}(r)$  for particles with such energy (and any angular momentum) will have three positive roots and these particles will be gravitationally trapped below  $\hat{r}_2$  (unless they tunnel across to  $\hat{r}_3$ ). Similarly, taking  $r$  just under the Cauchy horizon  $r_-$ , in the limit  $\epsilon \rightarrow qQ/r_-$ ,  $\hat{R}(r)$  will approach zero, i.e. the root  $\hat{r}_1$  will approach the Cauchy horizon  $r_-$  for any  $j$  (if the charge of the probe satisfies  $|q| > \frac{r_-}{|Q|}$ , then it will certainly satisfy  $|q| > \frac{r}{|Q|}$ ; otherwise, if  $|q| \leq \frac{r_-}{|Q|}$ , then the situation is as above for  $qQ < 0$ ). As the probe's specific energy  $\epsilon$  cannot have two different limits at the same time (namely,  $\epsilon \rightarrow qQ/r_-$  and  $\epsilon \rightarrow qQ/r_+$ ), then only one of the roots  $\hat{r}_1$  or  $\hat{r}_2$  will tend to the corresponding event horizon at a time. To ensure that both  $\hat{r}_1 \rightarrow r_-$  and  $\hat{r}_2 \rightarrow r_+$ , it is necessary to take large angular momentum. These two limits will then hold for any specific energy  $\epsilon$ .

To summarize, for any given specific energy  $\epsilon$ , only particles with small angular momenta (below the one in (33)) will not be trapped gravitationally and will escape unimpeded to infinity. Alternatively, for any given  $j$ , particles with energies outside the range  $(\epsilon_1, \epsilon_2)$ , as determined in (34), will escape unopposed to infinity. In all other cases, the particles will encounter the forbidden region between  $\hat{r}_2$  and  $\hat{r}_3$ . Attention will be focused on particles for which the two smaller positive roots of  $\hat{R}(r) = 0$  can simultaneously be approximated by the Cauchy horizon and the event horizon:  $\hat{r}_1 \rightarrow r_-$  and  $\hat{r}_2 \rightarrow r_+$ , respectively. As seen, this cannot be achieved by adjusting  $\epsilon$  only. It is sufficient however to have particles with large angular momenta. To determine the lower limit of  $j^2$ , above which this happens, similarly to the Schwarzschild case, the kinetic energy can be expanded in power series near the Cauchy horizon and near the event horizon. For the two smaller positive roots of the quartic to be approximated as  $\hat{r}_{2,1} = r_{\pm} \pm \delta^{\pm}$ , where

$$\delta^{\pm} = \frac{r_{\pm} \left( \epsilon - \frac{qQ}{r_{\pm}} \right)^2}{2 \left( 1 + \frac{j^2}{r_{\pm}^2} \right) \sqrt{M^2 - Q^2} \mp 2qQ \left( \epsilon - \frac{qQ}{r_{\pm}} \right)} \tag{35}$$

are sufficiently small, it is necessary that the angular momentum satisfies:

$$j^2 \gg r_{\pm} \left[ \pm \frac{(\epsilon r_{\pm})^2 - (qQ)^2}{2\sqrt{M^2 - Q^2}} - r_{\pm} \right], \tag{36}$$

thus guaranteeing that, for any energy  $\epsilon$ ,  $\hat{r}_1 \rightarrow r_-$  and  $\hat{r}_2 \rightarrow r_+$  will hold. Note that  $\delta^{\pm}$  are both positive (otherwise an expansion of the kinetic energy near one horizon will be 'reaching across' the other horizon for roots). There is always a root between  $r = 0$  and  $r_-$ . If, however,  $\delta^+$  is only negative, it means that there will be just one positive root (below the Cauchy horizon  $r_-$ ) and no forbidden region beyond  $r_+$ . In the case of oppositely charged probe and centre,  $\delta^+$  is positive and thus there always is a root to the right of  $r_+$ . If the two charges have the

same signs and  $\delta^+$  is only negative, then there will be no root to the right of the event horizon. This gives an inequality involving the parameters of the model. Solving it, for example, for the specific energy  $\epsilon$ , then there is just one positive root if:

$$\epsilon \geq \frac{qQ}{r_+} + \frac{\sqrt{M^2 - Q^2}}{qQ} \left(1 + \frac{j^2}{r_+^2}\right). \tag{37}$$

Similarly to the Schwarzschild case, in the limit  $\hat{r}_1 \rightarrow r_-$  and  $\hat{r}_2 \rightarrow r_+$ , the upper boundary of the forbidden region, i.e. the biggest positive root  $\hat{r}_3$  can easily be found using Vieta's formulae  $\hat{r}_1 + \hat{r}_2 + \hat{r}_3 + \hat{r}_4 = 2(qQ\epsilon - M)/(\epsilon^2 - 1)$  and  $\hat{r}_1\hat{r}_2\hat{r}_3\hat{r}_4 = -Q^2j^2/(\epsilon^2 - 1)$  by substituting the roots  $\hat{r}_1$  and  $\hat{r}_2$ , as determined above, and eliminating the negative root  $\hat{r}_4$ . This gives:

$$\hat{r}_3 \simeq \left[ M + \frac{qQ\epsilon - M}{\epsilon^2 - 1} + \frac{\delta^+ - \delta^-}{2} \right] \left[ -1 + \sqrt{1 + \frac{Q^2j^2}{(\epsilon^2 - 1)(Q^2 - \delta^+\delta^- - r_+\delta^- + r_-\delta^+) \left[ M + \frac{qQ\epsilon - M}{\epsilon^2 - 1} + \frac{\delta^+ - \delta^-}{2} \right]^2}} \right]. \tag{38}$$

As in the Schwarzschild case, it will be useful to approximate the effective potential between the roots  $\hat{r}_2$  and  $\hat{r}_3$  by the parabola  $\hat{W}(r)$ . This parabola will have  $\hat{r}_2$  and  $\hat{r}_3$  as roots. It is however difficult to find the local maximum of  $V_{\text{eff}}(r) - \frac{1}{2}(\epsilon^2 - 1)$  (as it is a root of a cubic equation) and thread the parabola through it. To avoid this difficulty, one can take the midpoint  $\hat{\rho} = (\hat{r}_3 - \hat{r}_2)/2$  between the root  $\hat{r}_2$  and the root  $\hat{r}_3$  (i.e. the midpoint of the forbidden region) and make the approximating parabola go through point of coordinates  $(\hat{\rho}, \hat{R}(\hat{\rho}))$ . The parabola is therefore:

$$\hat{W}(r) = \hat{K} \left( \frac{1}{r} - \frac{1}{\hat{R}_-} \right) \left( \frac{1}{r} - \frac{1}{\hat{R}_+} \right) = \frac{\hat{K}}{r^2 \hat{R}_+ \hat{R}_-} (r - \hat{R}_-)(r - \hat{R}_+), \tag{39}$$

where  $\hat{R}_- = \hat{r}_2$ ,  $\hat{R}_+ = \hat{r}_3$ , and  $\hat{K}$  is the negative constant:

$$\hat{K} = \hat{\rho}^2 \hat{R}_+ \hat{R}_- \frac{V_{\text{eff}}(\hat{\rho}) - \frac{\epsilon^2 - 1}{2}}{(\hat{\rho} - \hat{R}_-)(\hat{\rho} - \hat{R}_+)}. \tag{40}$$

For unbound particles in Reissner–Nordström geometry, the factor  $\gamma$  given by equation (18), is:

$$\begin{aligned} \gamma &\simeq \frac{\sqrt{2m}}{\hbar} \left[ \hat{\rho}^2 \hat{R}_+ \hat{R}_- \frac{V_{\text{eff}}(\hat{\rho}) - \frac{\epsilon^2 - 1}{2}}{(\hat{\rho} - \hat{R}_-)(\hat{R}_+ - \hat{\rho})} \right]^{\frac{1}{2}} \int_{\hat{R}_-}^{\hat{R}_+} \sqrt{\frac{(r - \hat{R}_-)(\hat{R}_+ - r)}{r^2}} dr \\ &= \left[ \frac{m\pi^2}{4\hbar^2} (\hat{r}_3 - \hat{r}_2)^2 \hat{r}_2 \hat{r}_3 \frac{V_{\text{eff}}(\hat{\rho}) - \frac{\epsilon^2 - 1}{2}}{(\hat{r}_3 - 3\hat{r}_2)(\hat{r}_3 + \hat{r}_2)} \right]^{\frac{1}{2}} (\sqrt{\hat{r}_3} - \sqrt{\hat{r}_2})^2. \end{aligned} \tag{41}$$

It is interesting to consider the case of a neutral particle ( $q = 0$ ) in Reissner–Nordström geometry. From equation (30) it is clear that for any value of the particle's energy  $\epsilon$ , at any point  $r$  above the event horizon  $r_+$ , a large angular momentum (above  $r^4\epsilon^2/\Delta$ ) will lead to gravitational trapping and possibility for quantum tunnelling across the forbidden region. Neutral particles cannot reach the singularity.

#### 4. Conclusions

Radial timelike motion near Schwarzschild and Reissner–Nordström black holes exhibits interesting properties. In the former case, higher angular momentum of a probe leads to a forbidden region opening up on the outside of the event horizon and the higher the angular momentum, the closer to the event horizon the forbidden region is. The width of the region grows unboundedly with the increase of the angular momentum and thus a Schwarzschild black hole will be completely ‘sealed’ above the horizon from either side of the potential barrier for high angular momentum particles (by their screening and scattering, respectively)—even the quantum tunnelling across would cease working with the widening of the forbidden region.

The picture in the Reissner–Nordström case is richer: the same effects hold in relation to high angular momentum modes and, additionally, zero or low angular momentum modes, charged oppositely to the centre, exhibit the same behaviour if their energies are within a certain range. In the Reissner–Nordström case too, suitable values of the angular momentum or energy can ‘seal’ the black hole and the widening of the forbidden region can exclude the quantum tunnelling effects. The energy spectrum of the emitted particles (or those that fall into the hole) would have a characteristic depleted range. For both cases, only low angular momentum modes would travel unimpeded.

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