

2023

## Isolated Effects of Footwear Structure and Cushioning on Running Mechanics in Habitual Mid/Forefoot Runners

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### Recommended Citation

Gruber, A.H; Warne, Joe; and Hamill, J., "Isolated Effects of Footwear Structure and Cushioning on Running Mechanics in Habitual Mid/Forefoot Runners" (2023). *Articles*. 163.

<https://arrow.tudublin.ie/ittsciart/163>

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Funder: This research received no external funding

# **Isolated effects of footwear structure and cushioning on running mechanics in habitual mid/forefoot runners**

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**Keywords:** barefoot running, footfall pattern, foot strike, running gait mechanics, impact forces

**Word Count:** 4898

1 **ABSTRACT**

2 The true differences between barefoot and shod running are difficult to directly compare  
3 because of the concomitant change to a mid/forefoot footfall pattern that typically occurs  
4 during barefoot running. The purpose of this study was to compare isolated effects of footwear  
5 structure and cushioning on running mechanics in habitual mid/forefoot runners running shod  
6 (SHOD), barefoot (BF), and barefoot on a foam surface (BF+FOAM). Ten habitually shod  
7 mid/forefoot runners were recruited (male=8, female=2). Repeated measures ANOVA  
8 ( $\alpha=0.05$ ) revealed differences between conditions for only vertical peak active force, contact  
9 time, negative and total ankle joint work, and peak dorsiflexion angle. Post hoc tests revealed  
10 that BF+FOAM resulted in smaller vertical active peak magnitude and instantaneous vertical  
11 loading rate than SHOD. SHOD resulted in lower total ankle joint work than BF and  
12 BF+FOAM. BF+FOAM resulted in lower negative ankle joint work than either BF or SHOD.  
13 Contact time was shorter with BF than BF+FOAM or SHOD. Peak dorsiflexion angle was  
14 smaller in SHOD than BF. No other differences in sagittal joint kinematics, kinetics, or ground  
15 reaction forces were observed. These overall similarities in running mechanics between SHOD  
16 and BF+FOAM question the effects of footwear structure on habituated mid/forefoot running  
17 described previously.

18

## 19 **Introduction**

20 Barefoot running has been proposed to potentially lower the risk of running related  
21 injury because it results in a shorter stride length, reduced collision force, greater  
22 somatosensory information, and greater foot muscle strength compared with shod running  
23 (refer to (Hall et al., 2013; Jenkins & Cauthon, 2011; Tam et al., 2014) for comprehensive  
24 reviews). However, it is unclear how barefoot running directly compares with shod running  
25 because of the concomitant change to a mid/forefoot footfall pattern (Divert, Mornieux, et al.,  
26 2005; Gruber, Silvernail, et al., 2013).

27 A change from a rearfoot to a mid/forefoot pattern is suggested to be a kinematically  
28 mediated effect of running barefoot on a hard surface without cushioning to protect the heel  
29 (Frederick, 1986; Gruber, Silvernail, et al., 2013) and may be the primary mechanism for the  
30 mechanical differences between shod and barefoot running (De Wit et al., 2000; Lieberman et  
31 al., 2010). Further, removing the cushioning from the foot-ground interface will result in altered  
32 joint stiffness (e.g. (Ferris et al., 1998; Hardin et al., 2004; Nigg et al., 1987; Sinclair et al.,  
33 2016)), which is also affected by footfall pattern (Hamill, Gruber, et al., 2014; Lussiana et al.,  
34 2017). Changing the total stiffness of the system (individual-footwear-surface) will thus  
35 introduce changes in running mechanics that cannot be separated from the effects of simply  
36 removing the shoe structure because the cushioning is also removed.

37 Features of traditional running shoes, such as heel flare, heel-toe drop, and heel lift,  
38 may not be important when initial contact is anterior on the foot, or may have different effects  
39 on mid/forefoot running mechanics than were intended for rearfoot running. Given the high  
40 interaction of footfall pattern on the mechanical differences between barefoot versus shod  
41 running, it is unclear if the potential benefits, or detriments, of barefoot running are a result of  
42 being unshod alone, the subsequent change in footfall pattern, or the cushioning properties of  
43 the foot-ground interface.

44 A previous study comparing barefoot versus shod running while controlling for footfall  
45 pattern used habitually shod rearfoot runners (Shih et al., 2013). Having participants perform  
46 rearfoot and mid/forefoot patterns under both barefoot and shod conditions using a within-  
47 participants design is an excellent method to isolate the effects of footwear while removing the  
48 influence of footfall pattern. Although runners in both habitual footfall pattern groups seem to  
49 replicate the alternate pattern successfully in studies comparing footfall patterns while shod  
50 (Boyer et al., 2014; Williams et al., 2000), introducing two sources of task novelty –alternate  
51 footfall pattern and running barefoot– may cause altered running mechanics than those who are  
52 habituated. This altered movement may result in running mechanics that are either too variable  
53 to uncover meaningful relationships between outcomes or do not represent the running  
54 mechanics of a habitual barefoot runner. For example, habitual minimalist and barefoot runners  
55 tend to land with a mid/forefoot pattern (Goss & Gross, 2012; Hollander et al., 2017;  
56 Lieberman et al., 2010), but this footfall pattern is not universally adopted by habitually shod  
57 rearfoot runners during acute exposure to barefoot or minimalist shoe running (Gruber,  
58 Silvernail, et al., 2013; Hollander et al., 2015; Paquette et al., 2013; Williams et al., 2012).  
59 Therefore, error in running mechanics or increased variability may lead to inappropriate  
60 conclusions regarding the characterization of a barefoot gait pattern and the potential benefits  
61 of barefoot running. Examining the differences in running mechanics between shod and  
62 barefoot running in habitual mid/forefoot runners would identify the direct influence of the  
63 shoe without introducing error from task novelty.

64 The complex interaction of footfall pattern with shod versus barefoot running make it  
65 difficult to understand if the shoe properties, shoe cushioning, or the change in footfall pattern  
66 cause the well-documented changes in gait mechanics with barefoot running (e.g., (Hall et al.,  
67 2013; Jenkins & Cauthon, 2011; Tam et al., 2014)). Only by controlling for both cushioning  
68 and task-specific movement control (i.e., habitual footfall pattern), can the effects of running

69 barefoot/unshod be understood. The effects of being unshod can be isolated from the effect of  
70 cushioning by comparing the change in gait mechanics within habitual mid/forefoot runners  
71 while running shod verses barefoot on a foam surface constructed out of a common footwear  
72 material, ethyl-vinyl acetate (EVA). The effects of cushioning the foot-ground interface can be  
73 isolated from the effects of being unshod by comparing barefoot running on a bare, laboratory  
74 floor with barefoot running on EVA foam. Therefore, the aim of the present study was to  
75 examine the differences in strike index, impact forces, and joint kinetics as well as relevant  
76 frontal and sagittal joint angles between running shod, barefoot on concrete, and barefoot on  
77 EVA foam in habitual mid/forefoot runners using a within-subjects design. We consider this  
78 study descriptive and preliminary and so we present the null hypothesis: there will be no  
79 significant differences in gait mechanics between conditions in habitually shod, mid/forefoot  
80 runners.

81

## 82 **Materials and Methods**

### 83 *Participants*

84 Runners were recruited as part of a larger study involving rearfoot and non-rearfoot  
85 runners via flyers posted on the university campus and surrounding community. All  
86 participants were healthy and free of musculoskeletal pain or injury within at least the previous  
87 12 months. Ten habitually shod mid/forefoot runners (8 midfoot strikers, 2 forefoot strikers)  
88 were enrolled for the present study following footfall pattern screening (8 male, 2 female; Mean  
89  $\pm$  SD; Age (years):  $25 \pm 7$ ; Stature (cm)  $177 \pm 0.1$ ; Mass (kg)  $71.32 \pm 6.54$ , current running  
90 volume ( $\text{km} \cdot \text{week}^{-1}$ )  $46.5 \pm 25.9$ ). Habitual footfall pattern was assessed while participants ran  
91 overground at their preferred pace while wearing the allocated footwear for the shod running  
92 condition (RC 550, New Balance, Brighton, MA, USA). Participant footfall pattern was  
93 confirmed using the strike index (Cavanagh & Lafortune, 1980), sagittal plane ankle angle

94 measurements, and a blunted or absent vertical impact peak (Gruber, Boyer, et al., 2013).  
95 Participants gave written informed consent before participating. Ethical approval was granted  
96 by the University of Massachusetts institutional review board.

97

## 98 *Equipment*

99 Participants were brought to an indoor biomechanics lab and were asked to run over  
100 ground at  $3.5 \text{ m}\cdot\text{s}^{-1}$  ( $\pm 5\%$ ) under three conditions: barefoot (“BF”); barefoot with foam mats  
101 (“BF+FOAM”, EVA 2.0cm depth, 55 Shore C durometer foam); and traditional, neutral  
102 running shoes (“SHOD”, RC 550, New Balance, Brighton, MA, USA, 215.7 g). Testing took  
103 place over a 25 m runway embedded with a force platform (OR6-5, AMTI, Watertown, MA,  
104 USA) measuring 120 x 60 cm. Ground reaction force (GRF) and centre of pressure data were  
105 collected at 1200 Hz. During the BF+FOAM condition, the foam mats were laid over the length  
106 of the runway continuously. The mat in the centre of the collection volume included a cut-out  
107 that matched the dimensions of the force platform. The height of the contact surface above the  
108 force platform was addressed in the data processing (see below). Running speed was monitored  
109 by photoelectric sensors (Lafayette Instruments, Lafayette, IN, USA) positioned 3m before and  
110 after the centre of the force platform. Kinematic data were captured at 240 Hz using an eight-  
111 camera optical motion capture system (Oqus 300, Qualisys AB, Göthenburg, SWE). Retro-  
112 reflective spherical markers were placed on the pelvis and right lower extremity using an  
113 established marker set (Hamill, Selbie, et al., 2014) and secured with tape and textile foam  
114 wraps. Markers placed on the foot were secured with tape on the shoe over the heel, 1<sup>st</sup> and 5<sup>th</sup>  
115 metatarsal heads, and distal end of the great toe for the shod condition. Markers were secured  
116 on the skin with tape over the same anatomical landmarks for both barefoot conditions (BF,  
117 BF+FOAM).

118

119 ***Testing Procedure***

120 A standing calibration was collected before the shod and first barefoot condition in  
121 order to calculate segment lengths and joint centre positions (Hamill, Selbie, et al., 2014).  
122 Participants were instructed to “run normally” and practiced running under each condition until  
123 they felt comfortable and found a starting point that allowed them to contact the force platform  
124 with the whole foot ( $\geq 8$  m). Ten acceptable trials were completed for each participant in each  
125 of the three conditions (BF, BF+FOAM, SHOD). Trials were considered acceptable if the  
126 participant ran within the speed threshold ( $3.5 \text{ m}\cdot\text{s}^{-1} \pm 5\%$ ), did not change running speed within  
127 the collection volume, landed on the force plate with the whole foot of the right limb, and did  
128 not target or alter stride to land on the force platform completely. The order of the conditions  
129 was semi-randomised determined using a random number generator; barefoot conditions were  
130 presented together (but in a random order) to avoid differences in marker placement between  
131 BF and BF+FOAM. Therefore, SHOD was presented randomly but constrained to be either  
132 first or last.

133

134 ***Data Processing***

135 Marker position data were tracked using Qualisys Track Manager software (Qualisys  
136 AB, Göthenburg, SWE) and exported to Visual3D software for signal processing (C-Motion,  
137 Rockville, MD, USA). Marker positions and force platform data were filtered using a 4<sup>th</sup> order  
138 low-pass Butterworth filter using cut-off frequencies of 15 Hz and 50 Hz, respectively. Raw  
139 GRF data were filtered at 15 Hz before the joint moments were calculated (Kristianslund et al.,  
140 2012). The minimum force threshold was 20 N. Prior to any filtering or data processing, the  
141 “FORCE\_STRUCTURES” command in Visual3D was used for the BF+FOAM condition to  
142 account for the contact surface of the foam being offset from the surface of the force platform  
143 (refer to C-Motion, Inc. online documentation).



144 Contact time was the time between initial contact with the force platform and toe-off.  
145 Strike index (SI) was calculated to assess footfall pattern as a continuous variable (Cavanagh  
146 & Lafortune, 1980). Vertical active peak (VActP) was the magnitude of the global maximum  
147 of the vertical ground reaction force. Maximum instantaneous vertical loading rate (VLR) was  
148 calculated by first identifying the time of peak vertical impact force using the method of  
149 Blackmore et al. (Blackmore et al., 2016) for all trials. Next, the first derivative of the filtered  
150 (50 Hz cut-off) vertical force platform data was calculated using the central difference method  
151 to find the largest instantaneous slope magnitude between 20%-80% of the time to peak vertical  
152 impact force. The method by Blackmore et al. (Blackmore et al., 2016) was used to ensure a  
153 data-driven method for identifying the time to peak vertical impact force. The instantaneous  
154 VLR calculation method was selected because it follows the method used in previous research  
155 examining the association of VLR to running injuries (Zadpoor & Nikooyan, 2011) and many  
156 papers comparing footfall patterns and barefoot running (e.g. (Au et al., 2018; Boyer et al.,  
157 2014; Rice & Patel, 2017; Samaan et al., 2014; Shih et al., 2013)). Anterior-posterior loading  
158 rate (APLR) was calculated as the largest instantaneous slope magnitude between 20%-80% of  
159 the time to the first visible local maximum in the breaking phase.

160 Three-dimensional joint angles and joint kinetics were calculated using established  
161 procedures (Hamill, Selbie, et al., 2014; Selbie et al., 2014). Specifically, joint angles were  
162 calculated using an X-Y-Z (mediolateral-anteroposterior-vertical axes) using Cardan rotation  
163 sequence with the proximal segment as reference. Ankle joint angles were calculated using a  
164 virtual foot segment coordinate system that was aligned with the lab coordinate system. Internal  
165 joint moments were calculated using a Newton-Euler inverse dynamics procedure. Positive  
166 angles indicated hip and knee flexion, ankle dorsiflexion, knee and hip adduction, and ankle  
167 inversion. Positive joint moments followed the same convention except for knee flexion, which  
168 was negative.

169 Ankle, knee, and hip joint work in the sagittal plane was determined by first calculating  
170 joint power as the product of the joint moments and joint angular velocity then integrating the  
171 area under the joint power-time curve. Total joint work was the cumulative area under positive  
172 and negative portions of the power-time curve. Positive and negative work was the sum of the  
173 positive and negative areas under the power-time curve, respectively.

174 Peak values for specific sagittal and frontal plane joint angles and moments were used  
175 in the examination of differences between conditions. Angles at initial ground contact were  
176 also examined to aid in the assessment of dynamics at the foot-ground interface. For this  
177 descriptive study, variables were selected in consideration of previous studies comparing  
178 barefoot, minimalist, and shod running and comparing rearfoot and mid/forefoot patterns (e.g.,  
179 (Anderson et al., 2020; Hall et al., 2013; Jenkins & Cauthon, 2011; Tam et al., 2014; Xu et al.,  
180 2021)). Select frontal plane joint angle variables were also included given their potential  
181 relevance to running injury development (e.g., (Ceysens et al., 2019; Dudley et al., 2017;  
182 Kuhman et al., 2016)). Peak ankle inversion moment was included because it is a suggested  
183 key variable for recommending habitual mid/forefoot runners avoid traditional footwear (Davis  
184 et al., 2017; Rice et al., 2016). Refer to Tables 1 and 2 for a complete list of variables.

185

### 186 ***Statistical Analysis***

187 Differences between conditions (BF, BF+FOAM, SHOD) were examined with a  
188 repeated measures ANOVA. Statistical significance was accepted at  $\alpha \leq 0.05$ . Specific mean  
189 differences ( $Mean_{diff}$ ) between conditions were examined using pairwise comparisons under an  
190 adjusted Bonferroni correction given the three comparisons (SPSS V20.0, SPSS Inc., Chicago,  
191 Illinois, USA). Additionally, 95% confidence intervals ( $CI_{diff}$ ) are reported and considered in  
192 the interpretation of the likelihood of the difference. Data were verified for normality using a  
193 Shapiro-Wilk test. A Huynh-Feldt correction was used where data failed Mauchly's test of

194 Sphericity. Effect sizes are reported as partial eta squared ( $\eta^2$ ) for main effects. Given the  
195 small sample size and multiple comparisons, we will only consider a main effect as meaningful  
196 if the main effect is both significant and  $\eta^2$  is a large effect ( $>0.14$ ) (Cohen, 1988).

197

## 198 **Results**

### 199 *Contact Time, Strike Index, & Ground Reaction Force Variables*

200 Descriptive statistics for all ground reaction force variables are listed in Table 1.  
201 Vertical and anteroposterior force curves are presented in Figure 1. There was a significant  
202 main effect of condition for VActP ( $F_{(2,18)} = 6.169$ ;  $P = 0.009$ ;  $\eta^2 = 0.41$ ). Pairwise  
203 comparisons identified that VActP was significantly greater in SHOD versus BF+FOAM  
204 ( $\text{Mean}_{\text{diff}} 118.8\text{N}$ ; 95%  $\text{CI}_{\text{diff}} [5.8 \text{ to } 231.8\text{N}]$ ;  $P = 0.039$ ), but VActP was similar between BF  
205 and BF+FOAM conditions ( $\text{Mean}_{\text{diff}} 33.26$ ; 95%  $\text{CI}_{\text{diff}} [-28.9 \text{ to } 95.42\text{N}]$ ;  $P = 0.453$ ) and  
206 between SHOD and BF conditions ( $\text{Mean}_{\text{diff}} 85.56\text{N}$ ; 95%  $\text{CI}_{\text{diff}} [-36.13 \text{ to } 207.25]$ ;  $P = 0.208$ ).

207 A significant main effect of condition was also observed for VLR ( $F_{(2,18)} = 7.134$ ;  $P =$   
208  $0.005$ ;  $\eta^2 = 0.44$ ). Pairwise comparisons identified that VLR was significantly greater in the  
209 BF versus SHOD condition ( $\text{Mean}_{\text{diff}} 42970 \text{ N/s}$ ; 95%  $\text{CI}_{\text{diff}} [2023 \text{ to } 83981 \text{ N/s}]$ ;  $P = 0.040$ ),  
210 but no difference in VLR was observed between BF and BF+FOAM ( $\text{Mean}_{\text{diff}} 20879 \text{ N/s}$ ; 95%  
211  $\text{CI}_{\text{diff}} [-10307 \text{ to } 52065 \text{ N/s}]$ ;  $P = 0.243$ ) or between SHOD and BF+FOAM ( $\text{Mean}_{\text{diff}} 22091$   
212  $\text{N/s}$ ; 95%  $\text{CI}_{\text{diff}} [-4215 \text{ to } 48398 \text{ N/s}]$ ;  $P = 0.108$ ).

213 There were no significant differences in APLR between conditions ( $F_{(2,18)} = 0.556$ ;  $P =$   
214  $0.583$ ;  $\eta^2 = 0.058$ ).

215 Descriptive statistics for strike index and contact time are presented in Table 1. No main  
216 effect of condition was observed for strike index ( $F_{(2,18)} = 0.368$ ;  $P = 0.697$ ;  $\eta^2 = 0.04$ ). A  
217 significant main effect of condition was observed for contact time ( $F_{(2,18)} = 13.579$ ;  $P \leq 0.001$ ;  
218  $\eta^2 = 0.60$ ). Pairwise comparisons identified a longer contact time during SHOD versus BF

219 (Mean<sub>diff</sub> 0.009 s; 95% CI<sub>diff</sub> [0.003 to 0.016 s]; P = 0.009) and a longer contact time during  
220 BF+FOAM versus BF (Mean<sub>diff</sub> 0.009 s; 95% CI<sub>diff</sub> [0.004 to 0.013 s]; P = 0.001). No difference  
221 in contact time between BF+FOAM vs SHOD was observed (Mean<sub>diff</sub> 0.001 s; 95% CI<sub>diff</sub> [-  
222 0.005 to 0.007 s]; P = 1.00).

223

### 224 ***Joint moments***

225 Descriptive statistics for all joint moments can be observed in Table 1. Joint moment  
226 curves over stance are presented in Figure 2. No main effects of condition were observed for  
227 peak hip flexion moment ( $F_{(2,18)} = 0.218$ ; P = 0.806;  $\eta p^2 = 0.02$ ), peak knee extensor moment  
228 ( $F_{(2,18)} = 0.497$ ; P = 0.617;  $\eta p^2 = 0.05$ ), or peak ankle inversion moment ( $F_{(2,18)} = 1.368$ ; P =  
229 0.280;  $\eta p^2 = 0.13$ ).

230 A main effect of condition was observed for peak plantarflexion moment ( $F_{(2,18)} =$   
231 4.289; P = 0.030;  $\eta p^2 = 0.32$ ). However, pairwise comparisons identified no significant specific  
232 differences between SHOD versus BF (Mean<sub>diff</sub> 13.67 N\*m; 95% CI<sub>diff</sub> [-7.93 to 35.27 N\*m];  
233 P = 0.289), and SHOD versus BF+ FOAM (Mean<sub>diff</sub> 18.77 N\*m; 95% CI<sub>diff</sub> [-3.35 to 40.89  
234 N\*m]; P = 0.103).

235

### 236 ***Joint work***

237 Descriptive statistics for all joint work variables are listed in Table 1. Joint power curves  
238 are presented in Figure 3. No significant main effect of condition was observed at the hip joint  
239 for positive work ( $F_{(2,18)} = 0.555$ ; P = 0.584;  $\eta p^2 = 0.06$ ), negative work ( $F_{(2,18)} = 2.886$ ; P =  
240 0.082;  $\eta p^2 = 0.24$ ), or total work ( $F_{(2,18)} = 2.521$ ; P = 0.108;  $\eta p^2 = 0.22$ ). For the knee joint, no  
241 significant main effect of condition was observed for positive work ( $F_{(2,18)} = 0.245$ ; P = 0.785;  
242  $\eta p^2 = 0.03$ ), negative work ( $F_{(2,18)} = 0.259$ ; P = 0.774;  $\eta p^2 = 0.03$ ), or total work ( $F_{(2,18)} = 0.546$ ;  
243 P = 0.589;  $\eta p^2 = 0.06$ ).

244 There was no significant main effect of condition for ankle positive work ( $F_{(2,18)} =$   
245  $0.647$ ;  $P = 0.458$ ;  $\eta^2 = 0.07$ ). Significant main effects of condition were observed for both  
246 negative work ( $F_{(2,18)} = 11.956$ ;  $P = 0.003$ ;  $\eta^2 = 0.57$ ) and total work ( $F_{(2,18)} = 26.217$ ;  $P \leq$   
247  $0.001$ ;  $\eta^2 = 0.74$ ). With respect to negative ankle joint work, pairwise comparisons identified  
248 significantly more negative work during BF versus BF+FOAM (Mean<sub>diff</sub> 6.23 J; 95% CI<sub>diff</sub> [-  
249 0.35 to 12.12 J];  $P = 0.038$ ), as well as more negative ankle work during SHOD versus  
250 BF+FOAM (Mean<sub>diff</sub> 20.56 J; 95% CI<sub>diff</sub> [6.76 to 34.38 J];  $P = 0.005$ ). However, there was no  
251 difference in negative ankle work between BF and SHOD (Mean<sub>diff</sub> 14.33 J; 95% CI<sub>diff</sub> [-1.63  
252 to 30.3 J];  $P = 0.082$ ). Total ankle joint work was significantly less during SHOD than both BF  
253 (Mean<sub>diff</sub> 12.49 J; 95% CI<sub>diff</sub> [3.82 to 21.15 J];  $P = 0.007$ ) and BF+FOAM (Mean<sub>diff</sub> 16.97 J;  
254 95% CI<sub>diff</sub> [10.15 to 23.79 J];  $P \leq 0.001$ ). There was no difference in total ankle work comparing  
255 BF versus BF+FOAM (Mean<sub>diff</sub> 4.48 J; 95% CI<sub>diff</sub> [-1.06 to 10.03 J];  $P = 0.125$ ).

256

### 257 *Joint Angles*

258 Descriptive statistics and differences in sagittal and frontal plane joint angles for the  
259 hip, knee, and ankle are summarized in Table 2 and presented in Figure 4. No significant main  
260 effects were observed for any hip or knee angle variable and three ankle angle variables ( $P >$   
261  $0.121$ ).

262 At the ankle, a significant main effect of condition was observed for the peak  
263 dorsiflexion angle ( $F_{(2,18)} = 9.480$ ;  $P = 0.006$ ;  $\eta^2 = 0.51$ ). Pairwise comparisons identified  
264 SHOD resulted in a greater peak dorsiflexion angle than BF (Mean<sub>diff</sub> 3.15 deg; 95% CI<sub>diff</sub> [1.13  
265 to 5.03 deg];  $P = 0.003$ ). However, no significant difference in peak dorsiflexion angle was  
266 observed between SHOD versus BF+FOAM (Mean<sub>diff</sub> 2.86 deg; 95% CI<sub>diff</sub> [-0.23 to 5.94 deg];  
267  $P = 0.072$ ) or between BF+FOAM versus BF (Mean<sub>diff</sub> 0.27 deg; 95% CI<sub>diff</sub> [-1.52 to 2.06 deg];  
268  $P = 1.00$ ).

269           There was a significant main effect of conditions for frontal plane ankle angle at touch  
270 down ( $F_{(2,18)} = 4.650$ ;  $P = 0.024$ ;  $\eta p^2 = 0.34$ ). However, pairwise comparisons did not identify  
271 significant differences between SHOD versus BF (Mean<sub>diff</sub> 3.12 deg; 95% CI<sub>diff</sub> [-0.35 to 6.59  
272 deg];  $P = 0.081$ ), SHOD versus BF+FOAM (Mean<sub>diff</sub> 2.93 deg; 95% CI<sub>diff</sub> [-0.82 to 6.67 deg];  
273  $P = 0.143$ ), or between BF+FOAM versus BF (Mean<sub>diff</sub> 0.20 deg; 95% CI<sub>diff</sub> [-2.61 to 3.01 deg];  
274  $P = 1.00$ ).

275

## 276 **Discussion and Implications**

277           The purpose of this study was to characterize the specific effects of being barefoot on  
278 running mechanics by eliminating any potential effects of habitual footfall pattern and isolating  
279 effects of cushioning by including a condition of running barefoot on an EVA foam surface.  
280 Comparing the SHOD and BF+FOAM conditions isolated the effects of being unshod while  
281 cushioning between the foot-ground interface was maintained whereas comparing BF and  
282 BF+FOAM conditions isolated the effects of cushioning alone. Our null hypothesis was not  
283 supported. When cushioning of the foot-ground interface was maintained (SHOD vs.  
284 BF+FOAM), being unshod resulted in reduced peak vertical active force and negative ankle  
285 joint work but increased total ankle joint work. Remaining unshod but removing cushioning  
286 from the foot-ground interface (BF vs. BF+FOAM) resulted in a shorter contact time and  
287 increased negative ankle joint work. Compared with shod running, running barefoot with no  
288 external cushioning (SHOD vs. BF) resulted in a shorter contact time, greater vertical loading  
289 rate, and greater total ankle joint work. No differences in peak joint angles, peak joint moments,  
290 or joint work were observed at the hip and knee and no variable was significantly different  
291 between all three conditions. The differences in joint mechanics were isolated at the ankle  
292 which supports previous conclusions that shod forefoot running was controlled at the ankle  
293 whereas shod rearfoot running was controlled at the knee (Davis et al., 2017; Hamill, Gruber,

294 et al., 2014). Few studies have directly examined the differences in barefoot and shod running  
295 in habitual mid/forefoot runners, making direct comparison of our findings to current literature  
296 limited. Given that this was a descriptive study, the mechanisms for the observed differences  
297 between conditions need further investigation.

298 Barefoot running is often advocated to prevent running injuries, in part, by reducing the  
299 VLR (Divert, Baur, et al., 2005; Divert, Mornieux, et al., 2005; Samaan et al.; Utz-Meagher et  
300 al., 2011), but this effect had limited evidence in a recent systematic review and was dependent  
301 on footfall pattern (Hall et al., 2013). Our results suggest that the effects of barefoot running  
302 on VLR may be dependent on habituation to a mid/forefoot pattern and surface conditions  
303 given that strike index did not change between SHOD, BF, and BF+FOAM. Some previous  
304 studies comparing barefoot and shod running found no differences in VLR when footfall  
305 pattern was maintained by either including habitual mid/forefoot runners (Paquette et al., 2013)  
306 or by asking habitual rearfoot runners to run with a mid/forefoot pattern (Shih et al., 2013).  
307 Those findings contrast with Rice et al. (Rice & Patel, 2017) who concluded that both,  
308 minimalist footwear and a forefoot pattern, were required together to reduce VLR compared  
309 with either rearfoot or forefoot running in traditional footwear. However, minimalist and  
310 barefoot running are not equivalent (Bonacci et al., 2013; Sinclair et al., 2013; Squadrone et  
311 al., 2015). Our findings suggest that habitual mid/forefoot runners reduce VLR when shod  
312 compared with barefoot running on a hard surface, but this difference disappears when running  
313 barefoot whilst cushioning is maintained.

314 Caution is needed when comparing our VLR results with previous studies. Researchers  
315 have used different methods when identifying peak impact force for VLR calculations during  
316 mid/forefoot running including: identifying peak impact force at the same, specific point within  
317 stance for all trials (Boyer et al., 2014; Rice & Patel, 2017; Samaan et al.; Willy et al., 2008),  
318 using the time to peak impact force from other trials in which a peak impact force was visible

319 (Lieberman et al., 2010), or did not report the complete details of the calculation (Paquette et  
320 al., 2013). Like others (e.g. (Au et al., 2018; Warne et al., 2016; Yang et al., 2020)), we used  
321 the method of Blackmore et al. (Blackmore et al., 2016) to determine the time of peak vertical  
322 impact force from which our VLR was calculated from the original, filtered force platform  
323 signal. This method isolates the impact force from the summated vertical GRF waveform,  
324 which has been recommended to remove effects of upper body motion on VLR (Gruber et al.,  
325 2017) and is argued to be a robust, data driven method to isolate the impact force when a  
326 distinctive peak is not visible. Recent studies found that VLR was not a significant factor  
327 associated with running injury in collegiate runners regardless of calculation method (Schmida  
328 et al., 2021) and three instantaneous VLR calculation methods were statistically similar in  
329 rearfoot runners (Ueda et al., 2016). However, the sensitivity of various calculation methods  
330 for comparing impact force variables between footfall patterns should be considered in future  
331 footfall pattern and barefoot running research.

332 Barefoot running has the greatest effect on ankle joint mechanics, findings which are  
333 largely consistent across studies (Hall et al., 2013; Jenkins & Cauthon, 2011; Tam et al., 2014)  
334 and generally attributed to changing to a mid/forefoot pattern when barefoot (De Wit et al.,  
335 2000; Kurz & Stergiou, 2004; Lieberman et al., 2010; Squadrone et al., 2015). In the present  
336 study, pairwise differences were only observed for peak dorsiflexion angle, total ankle joint  
337 work, and negative ankle joint work. Previous researchers found similar changes with ankle  
338 work but, unlike the present study, they found knee and hip work differences between shod and  
339 barefoot possibly related to differences in ankle angle at contact (Bonacci et al., 2013; Williams  
340 et al., 2012). Greater peak dorsiflexion angle in SHOD versus BF conditions could be related  
341 to the shoe elevation and structure (Kerr et al., 2009). Similarly, the smaller lateral heel flare  
342 is suggested to minimize frontal plane ankle joint moments, which is a key factor  
343 recommending that mid/forefoot runners run in minimalist footwear or barefoot (Davis et al.,



2017; Rice et al., 2016). However, non-significant differences in joint angles and joint moments between SHOD and BF+FOAM condition questions the effects of stack height, heel-toe drop, heel flare, and other footwear features on habituated mid/forefoot running mechanics described elsewhere (Davis et al., 2017; Lieberman et al., 2010; Rice et al., 2016).

Similarly, footwear structure and cushioning had no effect on frontal plane joint angles. Hall et al. (Hall et al., 2013) in a systematic review identified limited evidence that ankle eversion was lower during barefoot running when compared with shod, as did De Witt et al. (De Wit et al., 2000). The role of ankle eversion in injury is poorly understood as this mechanism may increase some injuries (Chuter & Janse de Jonge, 2012; Ness et al., 2008), aid in impact absorption and hence reduce the risk of bony injuries (Chuter & Janse de Jonge, 2012; Hall et al., 2013; Hreljac et al., 2000), or have no influence (Kuhman et al., 2016; Nielsen et al., 2014). This variable is important because of the proliferation of gait measurement in the prescription of pronation control running shoes. Given that no differences in frontal plane ankle joint angles were observed in the present study, it appears that the difference in cushioning and footwear structure (and subsequent neuromuscular adjustments) may not influence the eversion angle in habituated mid/forefoot runners.

The reduced contact time when BF compared with both BF+FOAM and SHOD in this study was consistent with many observations of shorter ground contact times when comparing barefoot and shod running regardless of whether the footfall pattern was controlled (Divert, Baur, et al., 2005; Divert, Mornieux, et al., 2005; Lussiana et al., 2015; McCallion et al., 2014; Paquette et al., 2013; Shih et al., 2013; Squadrone & Gallozzi, 2009). Inconsistent with our findings, two previous studies found contact time to be similar between shod and barefoot running in in habitual mid/forefoot runners (Paquette et al., 2013) and habitual rearfoot runners performing a mid/forefoot pattern (Shih et al., 2013). Our findings suggest that removal of both

368 cushioning and footwear structure are required to elicit changes in contact time given that  
369 contact time was similar between SHOD and BF+FOAM conditions.

370 A strength of the present study is that we included participants who were already  
371 accustomed to a mid/forefoot footfall pattern. Previous studies have examined the effect of  
372 acute changes to footwear both with and without instructing footfall pattern, but this method  
373 does not account for the complex neuromuscular adaptations that may occur with footfall  
374 pattern habituation. For example, making a deliberate, acute switch from a rearfoot to a  
375 mid/forefoot pattern or from shod to barefoot may not allow for gradual, more sensitive  
376 changes to leg stiffness and joint geometry that may be present after long-term habituation. It  
377 is also important to note that since the present study controls for footfall pattern, we eliminate  
378 the complex interaction of footfall pattern on barefoot versus shod running, and therefore  
379 comparisons of the shod and barefoot conditions in the present study must only be considered  
380 in those who mid/forefoot strike (who represent a minority of the population (Bertelsen et al.,  
381 2013; Hanley et al., 2019; Hasegawa et al., 2007; Hébert-Losier et al., 2021; Larson et al.,  
382 2011)).

383 Another strength of the present study is that a foam EVA running surface was included  
384 to minimize any kinematic or kinetic mediated effects of cushioning to isolate the mechanical  
385 differences resulting from shoe structure. More studies are needed in this area.

386

### 387 ***Limitations***

388 A potential limitation of the present study is that participants only had a short time to  
389 practice and become accustomed to each condition. However, experienced runners have been  
390 observed to adjust leg stiffness in the first step onto different surface conditions (Ferris et al.,  
391 1999); thus, the potential influence of the short practice time likely did not have a strong effect  
392 on these within-subjects comparisons.

393           An additional limitation was that the participants were not experienced barefoot runners  
394 and only one participant anecdotally reported previous experience with barefoot running.  
395 Experienced barefoot runners may have an alternate neuromuscular strategy, although the  
396 differences in neuromuscular strategies between experienced and inexperienced barefoot  
397 habitual mid/forefoot runners has yet to be catalogued to our knowledge. Indeed, some of our  
398 results contrast with previous studies comparing barefoot and shod running in experienced  
399 barefoot runners (Squadrone & Gallozzi, 2009; Willwacher et al., 2015). However, those  
400 previous studies observed changes in ankle angles that could reflect a change in footfall pattern  
401 or a significant change in strike index that were not observed in the present study. Although all  
402 participants were habitual mid/forefoot runners when shod, and the mid/forefoot pattern is  
403 frequently observed with barefoot and minimalist footwear running (De Wit et al., 2000;  
404 Hollander et al., 2017; Kurz & Stergiou, 2004; Lieberman et al., 2010; Squadrone et al., 2015),  
405 experience with barefoot or minimalist running does not necessarily equate to also being a  
406 mid/forefoot runner when shod (e.g. (Au et al., 2018; Lieberman et al., 2010)).

407           Care should be taken in the inference of this data, given that only mid/forefoot runners  
408 were included, and the vast majority of runners are rearfoot across recreational and elite  
409 endurance runners (Bertelsen et al., 2013; Hanley et al., 2019; Hasegawa et al., 2007; Hébert-  
410 Losier et al., 2021; Larson et al., 2011). Although participants were asked if they have always  
411 run with a mid/forefoot pattern versus switching from rearfoot, this information was anecdotal  
412 as it was not collected in a formal survey. Those who reported switching from a rearfoot to  
413 mid/forefoot had done so at least 12-months prior to data collection.

414           Due to the more descriptive rather than mechanistic nature of the present study, leg and  
415 joint stiffness were not calculated thus the complex interaction of surface/footwear and footfall  
416 pattern on stiffness is an area for future research.

417           The lack of significant post hoc differences for frontal plane ankle angle at touch down  
418 and peak plantarflexion moment were likely a result of either type 1 error of the main effect or  
419 otherwise the conservative Bonferroni correction applied to the analysis. It is important to note  
420 that the p-value from an omnibus versus a post-hoc test reflect different questions. Regardless  
421 of the reason, given the sample size of N=10 in the present experimental study, we suggest this  
422 effect should be explored further in replicated studies for further clarification.

423           Finally, the present study included a sample size of N=10 because it was exploratory,  
424 and no comparable publications were available at the time of study planning for which to base  
425 the sample size calculation. The present study provides data that can be used to calculate  
426 appropriate sample sizes for future studies.

427

## 428 **Conclusion**

429           The present study is one of the first attempts at elucidating the specific effects of shoe  
430 structure and shoe cushioning on barefoot running. Our findings suggest that removal of both  
431 cushioning and footwear structure were required to elicit changes in running mechanics when  
432 habitually mid/forefoot runners ran barefoot. Conflicting findings with previous research may  
433 be related to changes in ankle angle at contact or strike index between barefoot and shod  
434 conditions. The few significant differences in ankle mechanics between SHOD and BF+FOAM  
435 running conditions and the lack of significant findings for the hip, knee, and other ankle  
436 variables in habituated mid/forefoot runners questions the effects of footwear structure on  
437 running mechanics suggested previously.

438

## 439 **Acknowledgments:**

440 EVA foam mats provided by Brooks Sports, Inc., Seattle, WA (USA). A preliminary analysis  
441 of this study was presented at the 2014 World Congress on Biomechanics. The authors thank  
442 Mr. Perry Battles for his assistance with data processing for the manuscript.

443

444 **Funding:** None.

445

446 **Disclosure Statement:** The authors report there are no competing interests to declare.

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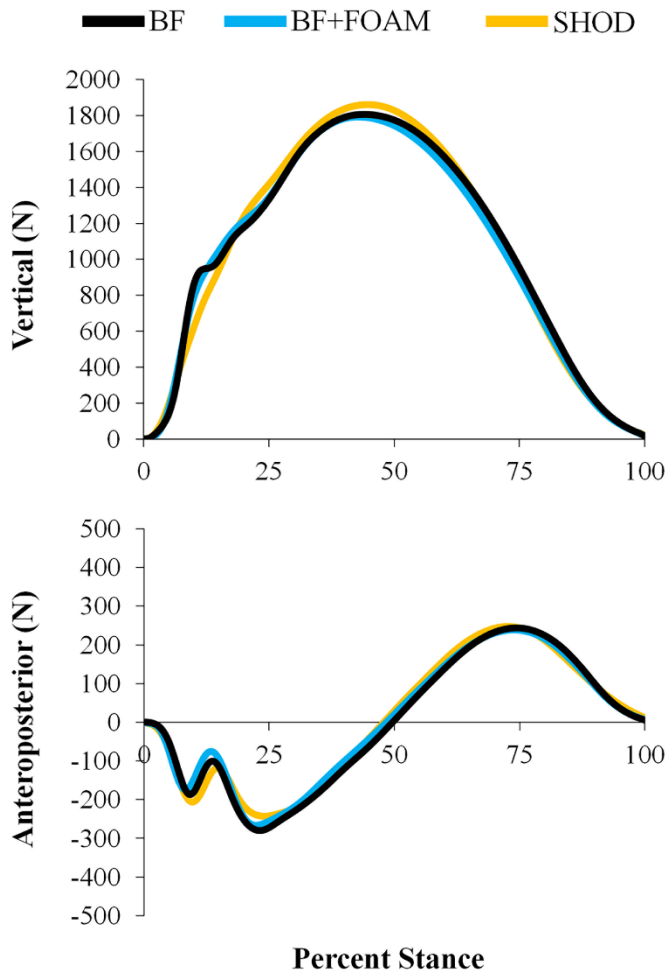
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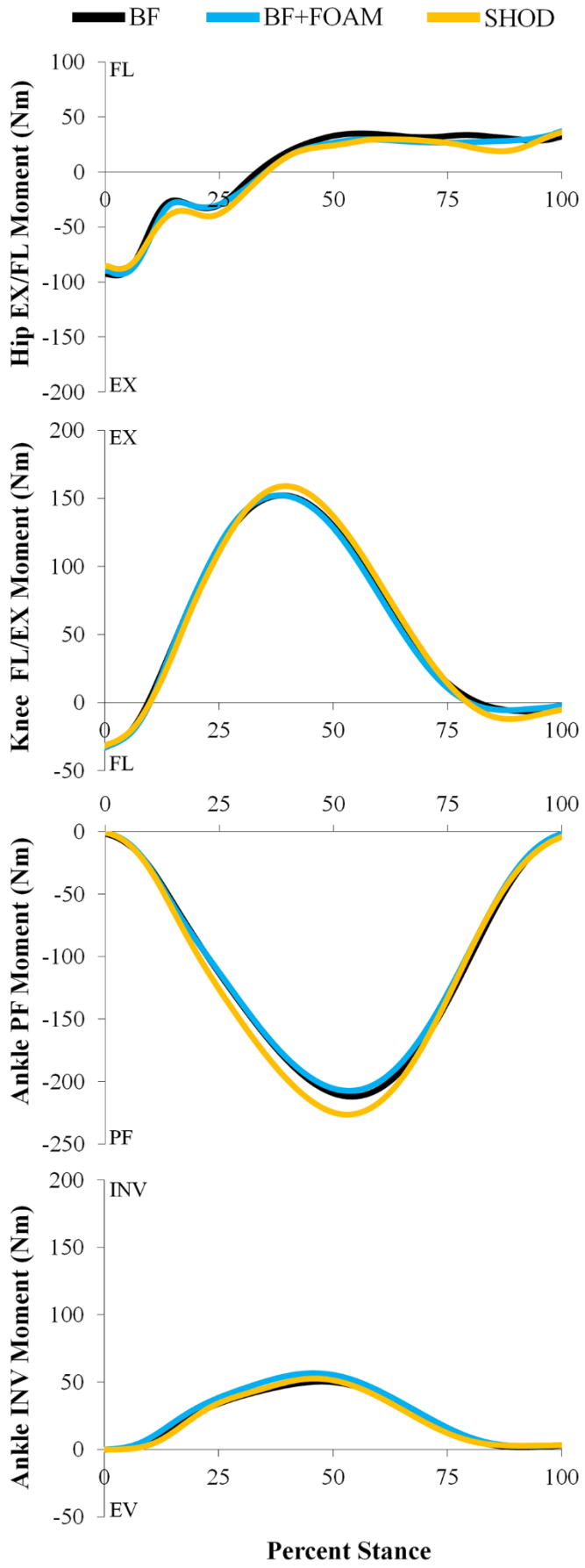
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656 **Figures**

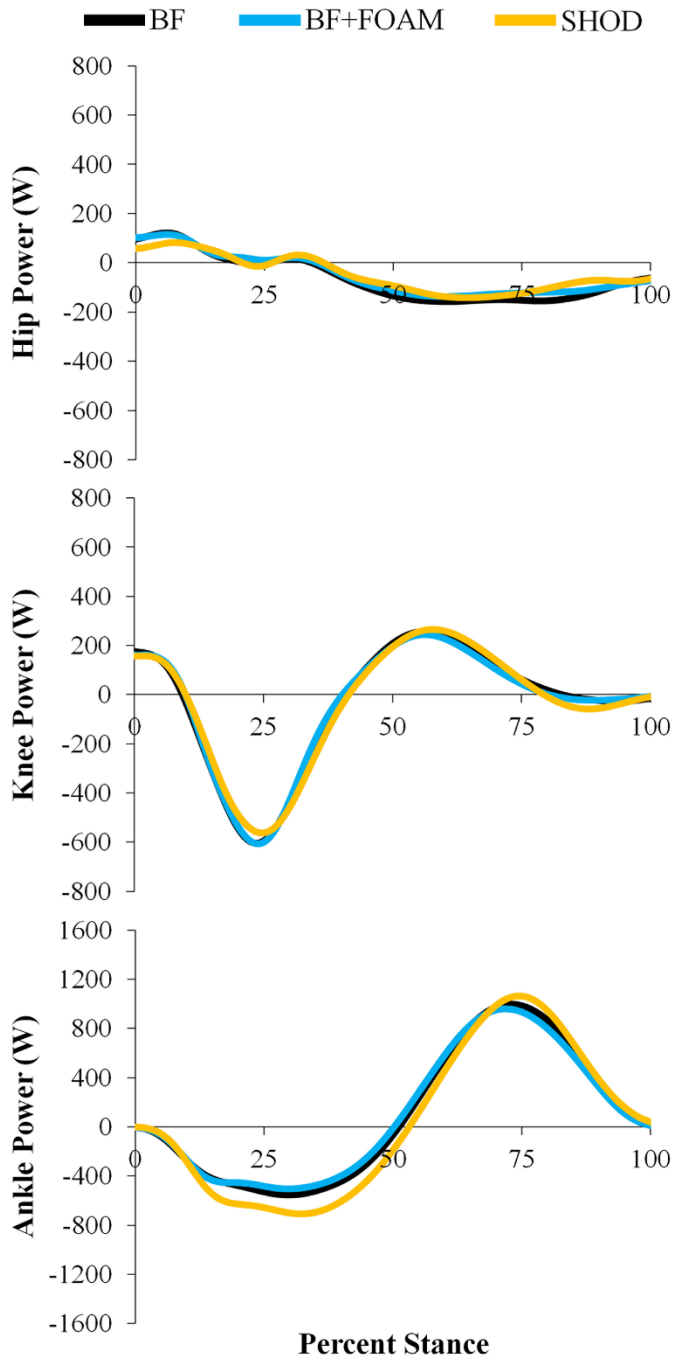


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658 **Figure 1.** Vertical (top) and anteroposterior (bottom) ground reaction forces during  
659 running barefoot on the concrete lab floor (BF, black), barefoot on a foam surface  
660 (BF+FOAM, blue), and shod on the concrete lab floor (SHOD, yellow).

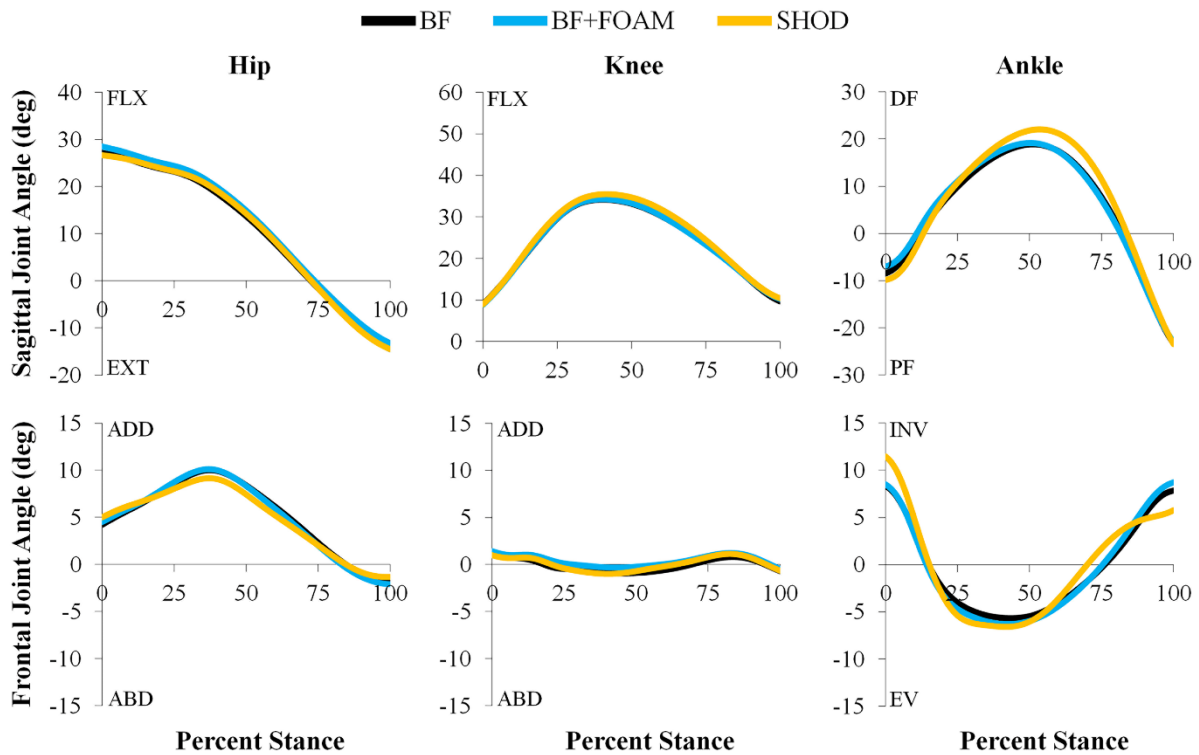


662 **Figure 2.** Sagittal and frontal plane joint moments during running barefoot on the  
663 concrete lab floor (BF, black), barefoot on a foam surface (BF+FOAM, blue), and  
664 shod on the concrete lab floor (SHOD, yellow). Data includes sagittal hip (1<sup>st</sup> row),  
665 sagittal knee (2<sup>nd</sup> row), sagittal ankle (3<sup>rd</sup> row), and frontal ankle (4<sup>th</sup> row) internal  
666 joint moments. Positive moments indicate hip flexion (FL), knee extension (EX),  
667 ankle dorsiflexion (not observed), and ankle inversion (INV). Negative moments  
668 indicate hip extension (EXT), knee flexion (FLX), ankle plantarflexion (PF), and  
669 ankle eversion (peak eversion magnitudes across subjects were less than  $< 8$  Nm).



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671 **Figure 3.** Sagittal plane joint power for the hip (top), knee (middle), and ankle  
 672 (bottom) during running barefoot on the concrete lab floor (BF, black), barefoot on  
 673 a foam surface (BF+FOAM, blue), and shod on the concrete lab floor (SHOD,  
 674 yellow). Positive power indicates energy generation and negative power indicates  
 675 energy absorption.



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677 **Figure 4.** Sagittal plane (top row) and frontal plane (bottom row) joint angles for  
 678 the hip (left), knee (middle), and ankle (right) during running barefoot on the  
 679 concrete lab floor (BF, black), barefoot on a foam surface (BF+FOAM, blue), and  
 680 shod on the concrete lab floor (SHOD, yellow). Positive angles indicate hip and  
 681 knee flexion (FLX), ankle dorsiflexion (DF), knee and hip adduction (ADD), and  
 682 ankle inversion (INV). Negative angles indicate hip extension (EXT), ankle  
 683 plantarflexion (PF), hip and knee abduction (ABD), and ankle eversion.

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691 **Table 1.** Descriptive statistics (Mean  $\pm$  SD) for contact time, strike index, force platform  
692 variables, and joint kinetics for the barefoot (BF), barefoot with foam mats (BF+FOAM), and  
693 shod (SHOD) running conditions (N = 10).

		BF	BF+FOAM	SHOD
Ground Reaction Force, Strike Index, Contact Time	Peak active force (N)*,c	1819.45 $\pm$ 186.86	1786.19 $\pm$ 194.72	1905.01 $\pm$ 218.31
	Max. instantaneous loading rate (N·s <sup>-1</sup> )*,a	91489.20 $\pm$ 50538.31	70610.10 $\pm$ 30806.01	48518.50 $\pm$ 15473.24
	A-P Max. instantaneous loading rate (N·s <sup>-1</sup> )	19946.70 $\pm$ 5532.78	20291.50 $\pm$ 4496.96	21290.10 $\pm$ 3237.45
	Strike Index (%)	58.95 $\pm$ 14.57	54.92 $\pm$ 15.57	59.52 $\pm$ 11.14
	Contact time (s)*,a,b	0.215 $\pm$ 0.011	0.224 $\pm$ 0.014	0.225 $\pm$ 0.013
Joint Moments	Hip: peak flexion moment (Nm)	48.14 $\pm$ 16.71	45.40 $\pm$ 20.32	46.10 $\pm$ 23.24
	Knee: peak extensor moment (Nm)	154.26 $\pm$ 47.36	154.79 $\pm$ 42.35	160.12 $\pm$ 41.58
	Ankle: peak plantar flexor moment (Nm)*,ns	-213.54 $\pm$ 42.45	-208.45 $\pm$ 40.43	-227.22 $\pm$ 51.24
	Ankle: peak inversion moment (Nm)	51.74 $\pm$ 18.99	57.44 $\pm$ 21.14	53.42 $\pm$ 18.67
Joint Work	Hip: positive work (J)	5.24 $\pm$ 2.40	5.96 $\pm$ 3.18	5.85 $\pm$ 2.46
	Hip: negative work (J)	-19.40 $\pm$ 6.90	-18.26 $\pm$ 7.94	-15.23 $\pm$ 7.81
	Hip: total work (J)	-14.15 $\pm$ 7.92	-12.30 $\pm$ 9.75	-9.38 $\pm$ 9.09
	Knee: positive work (J)	18.75 $\pm$ 4.96	18.21 $\pm$ 3.78	18.73 $\pm$ 6.07
	Knee: negative work (J)	-27.64 $\pm$ 10.99	-28.92 $\pm$ 9.67	-27.91 $\pm$ 8.89
	Knee: total work (J)	-8.89 $\pm$ 7.62	-10.71 $\pm$ 7.47	-9.18 $\pm$ 4.10
	Ankle: positive work (J)	65.87 $\pm$ 14.47	64.12 $\pm$ 14.33	67.72 $\pm$ 21.0
	Ankle: negative work (J)*,b,c	-44.64 $\pm$ 15.21	-38.41 $\pm$ 10.18	-58.98 $\pm$ 16.92
	Ankle: total work (J)*,a,c	21.23 $\pm$ 10.35	25.71 $\pm$ 10.41	8.74 $\pm$ 9.34

694 \*: significant main effect of condition ( $P \leq 0.05$ )  
695 a: significant pairwise comparison, SHOD vs. BF ( $P \leq 0.05$ )  
696 b: significant pairwise comparison, BF vs. BF+FOAM ( $P \leq 0.05$ )  
697 c: significant pairwise comparison, SHOD vs. BF+FOAM ( $P \leq 0.05$ )  
698 ns: no significant pairwise comparisons between conditions

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721 **Table 2.** The reported main effect of the repeated measures ANOVA and descriptive  
 722 statistics for the differences in sagittal and frontal plane kinematics of the ankle, knee, and  
 723 hip between barefoot (BF), barefoot with foam mats (BF+FOAM), and shod (SHOD) running  
 724 conditions (N = 10). Descriptive statistics are presented in degrees as mean  $\pm$  1 standard  
 725 deviation. IC = initial contact.

726 Joint	Variable	Main Effect for Condition	BF	BF+FOAM	SHOD
Hip	peak flexion angle	$F_{(2,18)} = 0.607$ ; $P = 0.487$ ; $\eta p^2 = 0.06$	27.22 $\pm$ 9.33	28.32 $\pm$ 7.82	26.87 $\pm$ 11.49
	peak adduction angle	$F_{(2,18)} = 1.384$ ; $P = 0.276$ ; $\eta p^2 = 0.13$	10.23 $\pm$ 2.72	10.38 $\pm$ 3.30	9.39 $\pm$ 3.36
Knee	peak flexion angle	$F_{(2,18)} = 2.385$ ; $P = 0.121$ ; $\eta p^2 = 0.21$	34.38 $\pm$ 5.50	34.58 $\pm$ 5.68	35.71 $\pm$ 5.95
	peak adduction angle	$F_{(2,18)} = 0.600$ ; $P = 0.508$ ; $\eta p^2 = 0.06$	1.92 $\pm$ 2.62	2.57 $\pm$ 2.95	2.31 $\pm$ 3.53
	peak abduction angle	$F_{(2,18)} = 0.870$ ; $P = 0.393$ ; $\eta p^2 = 0.09$	2.18 $\pm$ 3.46	1.70 $\pm$ 3.64	2.52 $\pm$ 4.37
	flexion angle at IC	$F_{(2,18)} = 0.003$ ; $P = 0.997$ ; $\eta p^2 = 0.00$	8.98 $\pm$ 4.41	8.89 $\pm$ 3.90	8.94 $\pm$ 5.42
Ankle	peak dorsiflexion angle <sup>*,a</sup>	$F_{(2,18)} = 9.480$ ; $P = 0.006$ ; $\eta p^2 = 0.51$	19.07 $\pm$ 4.98	19.34 $\pm$ 4.93	22.2 $\pm$ 4.08
	peak plantarflexion angle	$F_{(2,18)} = 0.160$ ; $P = 0.853$ ; $\eta p^2 = 0.02$	23.24 $\pm$ 5.31	22.92 $\pm$ 4.48	23.43 $\pm$ 4.83
	peak eversion	$F_{(2,18)} = 0.534$ ; $P = 0.537$ ; $\eta p^2 = 0.06$	5.87 $\pm$ 2.95	6.59 $\pm$ 3.12	6.87 $\pm$ 2.10
	sagittal plane plantarflexion angle at IC	$F_{(2,18)} = 0.714$ ; $P = 0.450$ ; $\eta p^2 = 0.07$	8.48 $\pm$ 6.39	6.97 $\pm$ 7.39	9.80 $\pm$ 9.21
	frontal plane inversion angle at IC <sup>*,ns</sup>	$F_{(2,18)} = 4.650$ ; $P = 0.024$ ; $\eta p^2 = 0.34$	8.32 $\pm$ 3.42	8.52 $\pm$ 4.28	11.44 $\pm$ 2.14

727 \*: significant main effect of condition ( $P \leq 0.05$ )  
 728 a: significant pairwise comparison, SHOD vs. BF ( $P \leq 0.05$ )  
 729 ns: no significant pairwise comparisons between conditions  
 730