

2013-6

## Novel Dielectric Loaded Plasmonic Waveguide for Tight-Confined Hybrid Plasmon Mode

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### Recommended Citation

Ma, Y., Farrell, G., Semenova, Y., Chan, H., Zhang, H. and Wu, Q. Novel dielectric loaded plasmonic waveguide for tight-confined hybrid plasmon mode. *Plasmonics*, vol. 8, pp. 1259-1263, 2013. DOI: 10.1007/s11468-013-9543-9.

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2	Article Sub- Title			
3	Article Copyright - Year	<b>Springer Science+Business Media New York 2013 (This will be the copyright line in the final PDF)</b>		
4	Journal Name	Plasmonics		
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56		Received	22 December 2012
57	Schedule	Revised	
58		Accepted	4 March 2013
<hr/>			
59	Abstract	In this letter, a novel metal-dielectric waveguide structure is proposed to support hybrid long range surface plasmon polaritons with a highly confined mode field. The simulation results showed that our proposed structure has better mode confinement and propagation length compared to that of conventional dielectric-loaded surface plasmon polaritons waveguides. This structure offers greater flexibility for the design of SPPs waveguides by altering the trade-off between mode confinement and propagation length. The proposed structure has significant potential for application in highly	

integrated photonic circuits.

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60	Keywords separated by ' - '	Surface plasmon resonant - Optical waveguide - Dielectric-loaded plasmonic waveguide
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61	Foot note information	
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# Novel Dielectric-Loaded Plasmonic Waveguide for Tight-Confined Hybrid Plasmon Mode

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Received: 22 December 2012 / Accepted: 4 March 2013  
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**Abstract** In this letter, a novel metal-dielectric waveguide structure is proposed to support hybrid long range surface plasmon polaritons with a highly confined mode field. The simulation results showed that our proposed structure has better mode confinement and propagation length compared to that of conventional dielectric-loaded surface plasmon polaritons waveguides. This structure offers greater flexibility for the design of SPPs waveguides by altering the trade-off between mode confinement and propagation length. The proposed structure has significant potential for application in highly integrated photonic circuits.

**Keywords** Surface plasmon resonant · Optical waveguide · Dielectric-loaded plasmonic waveguide

In this paper, a novel metal-dielectric waveguide structure is proposed to support hybrid long range surface plasmon polaritons (LRSPs) with a highly confined mode field.

The simulation results showed that our proposed structure has better mode confinement and propagation length compared to that of conventional dielectric-loaded surface plasmon polaritons (DLSPs) waveguides. This structure offers greater flexibility for the design of surface plasmon polaritons (SPPs) waveguides by altering the trade-off between mode confinement and propagation length. The proposed structure has significant potential for application in highly integrated photonic circuits.

The future development of optical communication systems will drive a requirement for high-density integration of photonics devices [1]. SPPs, which are electromagnetic waves (EMs) coherently coupled to electron oscillations and propagating at the interface between a dielectric and a metal conductor, can confine EMs at the subwavelength scale beyond the diffraction limit [2, 3] and therefore become ideal candidates for light guiding and confining in highly integrated optical circuits [4]. Recently, various plasmonic waveguides which provide nanoscale confinement have been proposed and investigated, such as a metal-insulator-metal waveguide [5], V-groove channel [6], and plasmonic wire waveguide [7]. These waveguides can significantly enhance the field confinement, but the associated decrease in the propagation length due increased absorption is often unacceptable. To achieve a long propagation length, the confinement will have to be relaxed but this will impact on the potential for high density integration. For example, in so-called long-range SPPs (LRSPs), where guiding takes place by a thin metal film embedded in infinite homogeneous background dielectrics, it is possible to achieve a low propagation loss over a propagation length of a few millimeters [8]. However, as the LRSPs mode size is in the order of a few micrometers, which causes significant bend loss in structures with tight

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62 bends or small radii with the optimal bend radius is as large  
 63 as 10 mm [9], so that such devices are not suitable for high  
 64 density photonic integration.

65 In order to optimize the tradeoff between the mode con-  
 66 finement and propagation length for SPPs [10], a DLSPPs  
 67 waveguide with a reduced mode size (effective mode size of  
 68 1 μm) and a moderate propagation length at telecom wave-  
 69 lengths were recently proposed and shown to support a  
 70 hybrid LRSPPs mode [11, 12]. The DLSPPs structure is very  
 71 attractive as a plasmonic waveguide as it enables easy  
 72 access to metal electrodes and thus offers the possibility of  
 73 inducing electro- or thermo-optic effects in the waveguide  
 74 components [12]. Recently, a hybrid LRSPPs waveguide  
 75 consisting of a narrow metal film surrounded by low index  
 76 dielectric regions has been theoretically analyzed, demon-  
 77 strating a doubling of the propagation length (~756 μm)  
 78 compared to that of a conventional structure (~356 μm) at  
 79 expense of weaker mode confinement (i.e., the mode size  
 80 increases 20 %) [13].

81 In this paper, a novel hybrid plasmonic waveguide offer-  
 82 ing lower loss but with a higher degree of mode confine-  
 83 ment is proposed and investigated. The waveguide utilizes  
 84 the dielectric configuration of DLSPPs but with an altered  
 85 metallic structure to give a longer propagation length for the  
 86 same mode confinement and a tighter mode confinement for  
 87 the same propagation length, which offers greater flexibility  
 88 in the design of SPPs waveguides by altering the trade-off  
 89 between mode confinement and propagation length and  
 90 hence has significant application potential in highly inte-  
 91 grated photonic circuits.

92 Figure 1 shows a cross-sectional view of the proposed  
 93 plasmonic waveguide, which consists of a thin metal film  
 94 (with thickness  $d$  and width  $g$  in the central region, and  
 95 thickness  $h$  at both sides) symmetrically embedded inside  
 96 a dielectric ridge with thickness  $H$  and width  $G$  surrounded  
 97 by air. For such a symmetrical configuration, the index  
 98 matching condition is satisfied so that a hybrid LRSPPs  
 99 mode is supported. As shown in Fig. 1, the dielectric ma-  
 100 terial is chosen to be  $\text{Si}_3\text{N}_4$ , which has been commonly used  
 101 by other authors. This dielectric material has a refractive  
 102 index  $n_r=2.0$ , and gold is used as the metal with refractive  
 103 index  $n_m=0.55+11.5i$  at the optical communication wave-  
 104 length of  $\lambda_0=1550$  nm. In the numerical simulations, the  
 105 finite element method [14] is adopted to analyze the char-  
 106 acteristics of the hybrid LRSPPs mode. The propagation  
 107 length of the hybrid LRSPPs mode is calculated as  $L_p$   
 108  $=\lambda_0/[4\pi \text{Im}(n_{\text{eff}})]$ , where  $\text{Im}(n_{\text{eff}})$  is the imaginary part of  
 109 the complex effective mode index  $n_{\text{eff}}$ . The key parameter  
 110 demonstrating the mode confinement capability is the nor-  
 111 malized mode area  $S$ , which is defined by the formula  $S=$   
 112  $S_{\text{eff}}/S_0$ . Here,  $S_0$  is the diffraction limited mode area ( $S_0$   
 113  $=\lambda_0^2/4$ ), and the effective mode area  $S_{\text{eff}}$  is calculated using  
 114 the following equations [15]:

$$S_{\text{eff}} = \left( \iint W(\vec{r}) dS \right)^2 / \left( \iint W(\vec{r})^2 dS \right) \quad (1)$$

$$W(\vec{r}) = \frac{1}{2} \text{Re} \left\{ \frac{d[w\varepsilon(\vec{r})]}{dw} \right\} |E(\vec{r})|^2 + \frac{1}{2} \mu_0 |H(\vec{r})|^2 \quad (2)$$

118 where  $r$  is the coordinate,  $\omega$  is angular frequency of the  
 119 incident light,  $|E(\vec{r})|^2$  and  $|H(\vec{r})|^2$  are the intensities of  
 120 electric and magnetic fields, respectively,  $\varepsilon(\vec{r})$  is the  
 121 dielectric permittivity and  $\mu_0$  is the vacuum magnetic  
 122 permeability.  
 123

124 Firstly, the relationship between the hybrid LRSPPs mode  
 125 and the gold film thickness  $h$  was investigated for a conven-  
 126 tional DLSPP plasmonic waveguide (i.e.,  $d=h$  in Fig. 1).  
 127 Figure 2 gives the calculated  $L_p$  and  $S$  versus gold thickness.

128 As shown in Fig. 2, both the propagation length  $L_p$  and  
 129 normalized mode area  $S$  of the hybrid LRSPPs mode in-  
 130 creases as the thickness of the metal film decreases. As  
 131 expected, since a larger normalized mode area corresponds  
 132 to a lower degree mode confinement [15], there is a trade-off  
 133 between the mode confinement and propagation length. For  
 134 example, increasing the metal film thickness can result in a  
 135 better field confinement, but will introduce higher transmis-  
 136 sion loss. Conversely a decrease in the metal film thickness  
 137 can enhance the propagation length, but will degrade the  
 138 mode confinement. In order to improve the trade-off condi-  
 139 tions, e.g., to achieve longer propagation length for the same  
 140

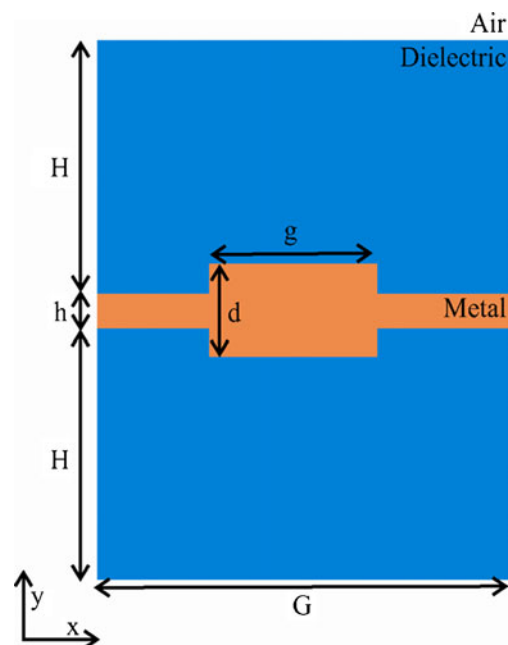
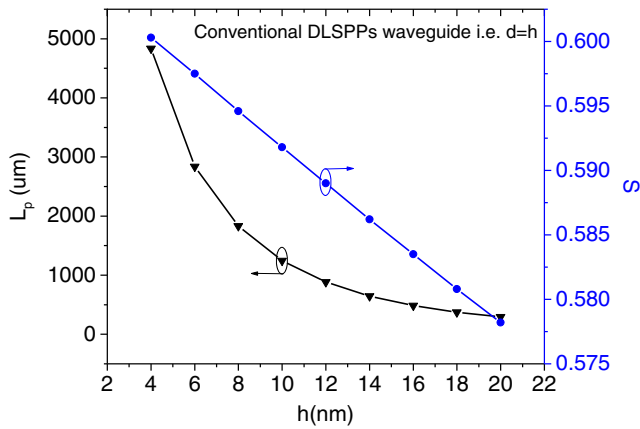


Fig. 1 (Color online) Schematic diagram of the cross-section of proposed DLSPPs waveguide. When  $d$  equals to  $h$ , i.e.,  $d=h$ , the structure becomes a conventional DLSPPs waveguide

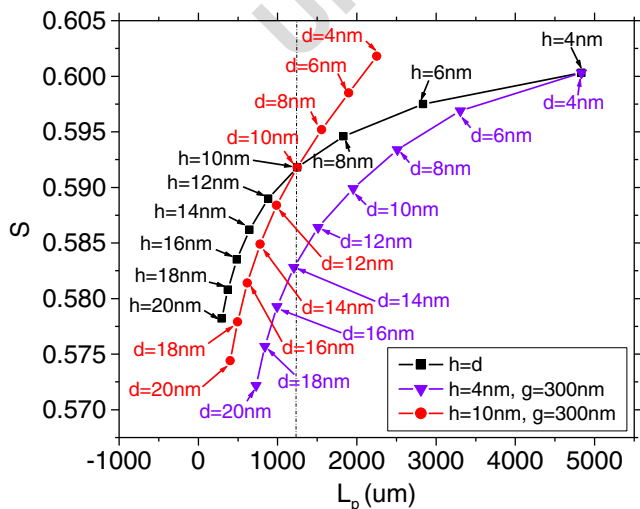




**Fig. 2** (Color online) The propagation length  $L_p$  and normalized mode area  $S$  versus thickness  $h$  of the metal film. In this case,  $H=400$  nm,  $G=600$  nm

140 degree of mode confinement (or alternatively, a tighter field  
 141 confinement for the same propagation length), a patterned  
 142 metal film thickness structure is proposed, where  $d \neq h$   
 143 in Fig. 1, and is analyzed.

144 In our simulation, two sets of fixed parameters are used  
 145 for our proposed structure:  $H=400$  nm,  $G=600$  nm,  $h=$   
 146 4 nm,  $g=300$  nm and  $H=400$  nm,  $G=600$  nm,  $h=10$  nm,  
 147  $g=300$  nm, respectively, corresponding to typical values for  
 148 such dimensions commonly used by other authors to satisfy  
 149 the requirements of highly integrated photonic devices  
 150 needing both tight mode field confinement and long propa-  
 151 gation length [11]. Figure 3 shows simulated results of the  
 152 dependence of the hybrid LRSPPs mode of the proposed  
 153 structure ( $L_p$  and  $S$ ) on the geometric parameter  $d$ . The  
 154 results for the conventional structure ( $d=h$ ) are also provid-  
 155 ed in Fig. 3 for comparison.



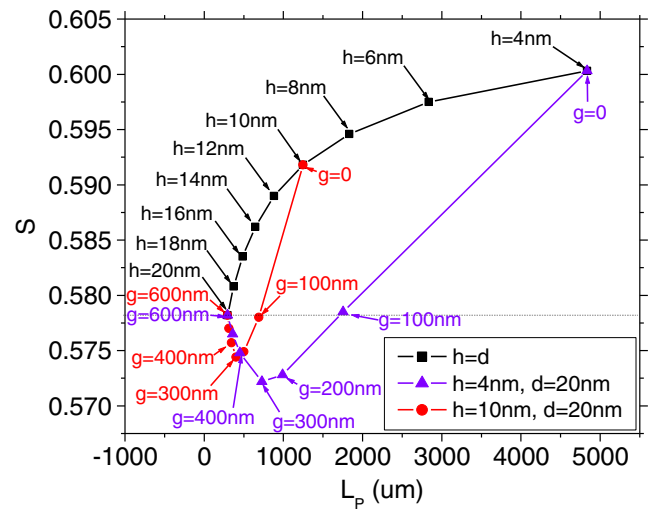
**Fig. 3** (Color online) The normalized mode area  $S$  as a function of the propagation length  $L_p$  for the conventional and proposed structure

From Fig. 3, it can be seen that for the same value of  $L_p$ ,  
 the value of  $S$  is lower (i.e., better mode confinement)  
 for our proposed structure as long as  $d > h$ . However for the  
 simulation with a larger value of  $h$  ( $h=10$  nm, the red curve  
 in Fig. 3), we find that the advantage of the proposed  
 structure is lower as  $h$  increases, and in fact beyond a value  
 of  $L_p=1,246$   $\mu\text{m}$ , the proposed structure has a higher value  
 of  $S$  (i.e., worse mode confinement).

An alternative view is that for the same value of  $S$ , the  
 value of  $L_p$  is higher for our proposed structure while  $d > h$ .  
 For example for  $S=0.58$ , the value of  $L_p$  is 1037  $\mu\text{m}$  (violet  
 curve in Fig. 3) for our proposed structure, which is far  
 longer than that of 349  $\mu\text{m}$  for the conventional structure.  
 However, for the simulation with a larger value of  $h$  (red  
 curve in Fig. 3), it is found that the merit of the proposed  
 structure is reduced as  $h$  increases, i.e., for  $h=10$  nm, at  
 $S=0.58$ , the value of  $L_p$  is only marginally higher than that  
 for the conventional structure. Also, we know that beyond a value  
 of  $S=0.5918$ , the proposed structure actually has a lower  
 value of  $L_p$ .

In addition, the influence of the dimension  $g$  on the  
 hybrid LRSPPs mode of the proposed structure ( $L_p$  and  $S$ )  
 was investigated and simulation results are as shown in  
 Fig. 4. In this simulation, two sets of parameters were  
 selected with  $H=400$  nm,  $G=600$  nm,  $h=4$  nm,  $d=20$  nm  
 and  $H=400$  nm,  $G=600$  nm,  $h=10$  nm,  $d=20$  nm. The  
 corresponding results for the conventional structure are also  
 provided in Fig. 4 for comparison.

As shown in Fig. 4, the performance of the hybrid  
 LRSPPs mode is highly dependent on the value of  $g$ . The  
 tightest mode confinements can be achieved at  $g=300$  nm  
 for both values of  $h$  selected. As in Fig. 3, a lower value of  
 $h$  is better. For example, for the same value of  $S=0.578$ , the



**Fig. 4** (Color online) The normalized mode area  $S$  versus the propagation length  $L_p$  for the conventional and proposed structure

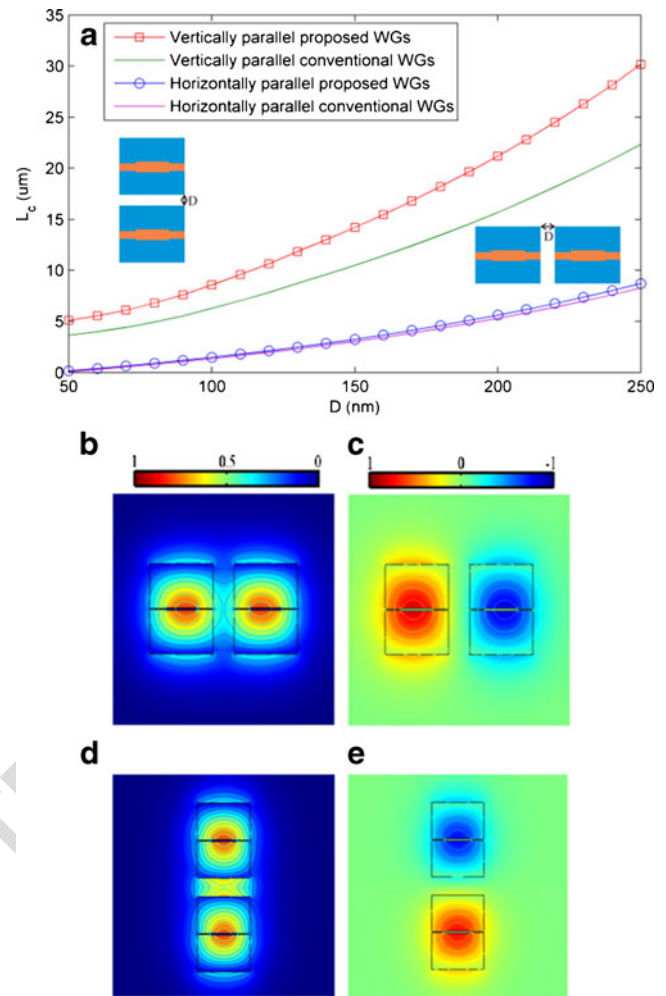
189 structure with  $h=4$  nm,  $g=100$  nm, and  $d=20$  nm has a  
 190 propagation length of  $L_p=1753$   $\mu\text{m}$  compared to a value of  
 191  $L_p=689$   $\mu\text{m}$  for the structure with  $h=10$  nm,  $g=100$  nm,  
 192 and  $d=20$  nm. It should be noted that both proposed struc-  
 193 tures have substantially longer propagation lengths than of  
 194 the value of  $295$   $\mu\text{m}$  for the conventional structure.

195 Based on the results shown in Figs. 3 and 4, we have  
 196 shown that the proposed DLSPs waveguide offers a degree  
 197 of flexibility in the design of SPPs that can achieve both a  
 198 long propagation length and tight mode confinement simply  
 199 by appropriately selecting the parameters  $g$ ,  $h$ , and  $d$ .

200 In future optical communications systems, in order to  
 201 achieve high-integration density, it is essential to develop  
 202 ultracompact photonic devices and subsystems. However,  
 203 photonic subsystems with a high integration density are  
 204 restricted by the crosstalk between the adjacent waveguides,  
 205 as well as the parameter of coupling length.

206 Figure 5a shows the calculated coupling length  $L_c$  as a  
 207 function of separation distance  $D$  for two parallel wave-  
 208 guides for different configurations: two horizontally parallel  
 209 waveguides and two vertically parallel waveguides. The  
 210 parameters used in the simulation are set as  $H=400$  nm,  $G$   
 211  $=600$  nm,  $h=10$  nm,  $d=20$  nm, and  $g=300$  nm. For com-  
 212 parison purposes, the coupling lengths for the conventional  
 213 structures with  $d=h=10$  nm was also provided in Fig. 5a.  
 214 The coupling length is given by  $L_c=\pi/(\beta_s-\beta_a)$ , where  $\beta_s$   
 215 and  $\beta_a$  are the propagation constants of the symmetric and  
 216 anti-symmetric modes of the two adjacent waveguides, re-  
 217 spectively. Figure 5b–e are the simulated amplitude distri-  
 218 butions of  $E_y$  based on our proposed structure. Figure 5b  
 219 and c show the amplitude distributions of  $E_y$  for symmetric  
 220 and anti-symmetric modes with  $D=200$  nm between two  
 221 horizontally parallel waveguides, and the corresponding re-  
 222 sults for two vertically parallel waveguides are shown in  
 223 Fig. 5d and e, respectively.

224 From Fig. 5a, it can be seen that for both a conventional  
 225 structure and our proposed structure, the coupling lengths  
 226 increase almost exponentially for both cases as  $D$  increases.  
 227 However, for the case of vertically parallel waveguides, the  
 228 coupling length of our proposed structure is higher than that  
 229 of conventional structure, which illustrates that the crosstalk  
 230 is lower, in other words, high-density independent function  
 231 photonic elements are more easily integrated for our pro-  
 232 posed structure. For the case of a horizontally parallel wave-  
 233 guide, our proposed structure has similar coupling lengths  
 234 compared to a conventional structure. Furthermore, for both  
 235 our proposed structure and a conventional structure it is  
 236 noted that: (1) the coupling length for the vertically parallel  
 237 waveguides is higher than that for horizontally parallel  
 238 waveguides at the same waveguide’s separation, which indi-  
 239 cates that highly integrated photonic elements are more  
 240 easily achieved for the vertical configuration; (2) the cou-  
 241 pling length for the horizontally parallel waveguides is



242 **Fig. 5** (Color online) **a** The calculated coupling lengths versus the  
 243 separation between the two horizontally and vertically parallel hybrid  
 244 plasmonic waveguides. Amplitudes of  $E_y$  for the symmetric mode (**b**)  
 245 and the anti-symmetric mode (**c**) with  $D=200$  nm between two hori-  
 246 zontally parallel waveguides based on our proposed structure. Am-  
 247 plitudes of  $E_y$  for the symmetric mode (**d**) and the anti-symmetric mode  
 248 (**e**) with  $D=200$  nm between two vertically parallel waveguides based  
 249 on our proposed structure

250 significantly lower than that of SPPs waveguide reported in [16], which is typically 10  $\mu\text{m}$ .

251 In conclusion, we have proposed a novel dielectric-  
 252 loaded plasmonic waveguide structure which supports hy-  
 253 brid LRSPPs with a high degree of mode confinement and  
 254 long propagation length. Our investigations show that the  
 255 proposed structure provides a better trade-off between the  
 256 propagation length and mode confinement, compared to the  
 257 conventional DLSPs configuration. The proposed structure  
 258 can significantly improve the propagation length for the  
 259 same mode confinement capacity, or can realize a much  
 260 tighter field confinement for the same propagation length.  
 261 The structure offers flexible solutions for the development  
 262 of compact hybrid plasmonic devices by the appropriate  
 263 selection of structural parameters.

258 **Acknowledgments** This work was supported by Dublin Institute of  
 259 Technology under the Fiosraigh Research Scholarship, Science Founda-  
 260 tion Ireland under grant no. 11/TIDA/B2051, 07/SK/11200, 07/SK/  
 261 I1200-STTF11, and the Open Fund of the State Key Laboratory of  
 262 Information Photonics and Optical Communications (Beijing Univer-  
 263 sity of Posts and Telecommunications), China.  
 264

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