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Vladimir Milosavljevic

Technological University Dublin, vladimir.milosavljevic@tudublin.ie

Mick Donegan

University College Dublin

Patrick Cullen

Technological University Dublin, pj.cullen@tudublin.ie

See next page for additional authors

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Authors

Vladimir Milosavljevic, Mick Donegan, Patrick Cullen, and Denis Dowling

Diagnostics of an O₂–He RF Atmospheric Plasma Discharge by Spectral Emission

Vladimir Milosavljević^{1,2,3,4*}, Mick Donegan⁵, Patrick J. Cullen¹, and Denis P. Dowling⁵

¹BioPlasma Research group, Dublin Institute of Technology, Sackville Place, Dublin 1, Ireland

²Biosystems Engineering, University College, Dublin, Dublin 4, Ireland

³NCPST, Dublin City University, Dublin, Ireland

⁴Faculty of Physics, University of Belgrade, P.O.B. 368, Belgrade, Serbia

⁵School of Mechanical and Materials Engineering, University College Dublin, Belfield, Dublin 4, Ireland

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In this paper optical emission spectroscopy (OES) is used as a Diagnostic technique for the measurement of atomic and molecular spectral emissions generated using a helium rf industrial atmospheric plasma jet system. The OES of neutral atomic spectral lines and molecular bands are investigated over a range of plasma process parameters. Wavelength resolve optical emission profiles suggest that the emission of helium's spectral lines shows that the high energy electrons have a larger influence than helium metastables on the overall spectral emission. Furthermore, the experimental data indicates that the use of high helium flow rates, in any confined open air plasma discharge, limits the significance of air impurities, e.g., nitrogen, for the creation and sustainability of plasma discharges in helium–oxygen gas chemistry.

1. Introduction

Atmospheric pressure, non-thermal helium plasma jets are increasingly used in many processing applications, due to their combination of inherent plasma stability and excellent reaction chemistry, which is often enhanced downstream of the plasma source. The majority of the atmospheric pressure plasmas are non-equilibrium at ambient temperature and are generated by electrical discharge. Despite their widespread usage, it remains largely unknown whether cold atmospheric plasma jets maintain similar characteristics, such as gas temperatures and particle flux, when they breakdown while arcing or whether they possess different operating modes.

Therefore, in order to ensure process reproducibility, the monitoring and control of plasma processes is essential in both laboratory and industrial environments. Most plasma systems, other than specialised laboratory plasma systems, do not facilitate intrusive plasma diagnostics (i.e., for collecting local plasma properties) or have plasma chambers with only one or two access points. It is also important to underline, that due to the high industrial demand for plasma technologies and the resulting competition between system manufacturers, many of these manufacturers come to the market with closed box plasma systems (typically a plasma generator and its matching network in the single box), which cannot be opened due to warranty issues. This leads to the situation where the only plasma diagnostic techniques available are optical techniques. Under these circumstances it is very challenging to develop an experimental approach, which would give a fundamental explanation of the impact of plasma physics on atomic physics, e.g., the role of metastable atoms, resonance energy levels, triplet and singlet energy scale, life time of electrons in an excited atom/molecular state, etc. One non-intrusive technique that has been successfully used for plasma diagnostics is optical emission spectroscopy (OES). A disadvantage of the OES technique is that the integration of measured signals must be carried out over a line of sight observation. Despite this limitation however, OES is commonly used to detect light emitted by excited species from plasma discharges.

The type of operating gas influences the stability of atmospheric plasma discharges. Helium gives rise to a stable homogeneous discharge, whereas nitrogen, oxygen and argon easily cause the transition into a filamentary discharge.¹⁾ Atmospheric plasma, propagating in the surrounding ambient air, can incur impurities due to the surrounding ambient atmosphere, which also follows the feed gas channel. The most dominant species in the atmosphere is nitrogen.²⁾ In the vast majority of atmospheric plasma discharges, nitrogen dominates the ionic composition of atmospheric discharge and has an impact on the breakdown voltage. When nitrogen is added/mixed with helium plasma discharges, the helium emission lines are significantly quenched and the resulting plasma changes from a reddish colour to strong green. In the 300–450 nm spectral range, the strongest emission is the N₂⁺ first negative system ($B^2\Sigma_u^+ \rightarrow X^2\Sigma_g^+$) and the N₂ second positive system ($C^3\Pi_u \rightarrow B^3\Pi_g$). On the other hand, nitrogen in most atmospheric plasma is not a carrier gas and therefore can dilute the plasma chemistry from the feed gas channel, acting as a contaminant gas. Moreover as nitrogen comes from the outside of the discharge channel and is always at ambient temperature, it effects the temperature of the plasma discharge.³⁾ There is also an important role for nitrogen in the generation of NO_x (and other greenhouse gases), acid rain and other environmental problems. Therefore minimizing the influence of nitrogen, as an unwanted addition, to plasma discharges in the ambient air is an important challenge. This is especially true because the plasma chemical conversion of N₂ and O₂ into NO_x is a very efficient process.⁴⁾

Our main motivation in the present work is (1) to investigate the influence of high-energy electrons and helium metastables on the overall spectral emission, (2) to study how high helium flow rates, in a confined open air plasma discharge, limits the significance of air impurities in the creation and sustainability of plasma discharges in the helium–oxygen gas chemistry, (3) to minimize the influence of nitrogen, as an unwanted contaminant to plasma discharges in the ambient air, thus limiting the important role of nitrogen in the generation of greenhouse gases etc., (4) to explore the production channel of oxygen radicals by

1 direct electron impact (excitation process) from the ground
2 state of the O I in the high helium flow rate plasma dis-
3 charge.

4 2. Experimental

5 Included in this work is the use of OES for recording the
6 absolute spectral emissions of the atomic and molecular lines
7 associated with helium, oxygen, nitrogen and hydrogen.
8 Analysis of these species spectral intensities will assist in the
9 development of optimised plasma processing parameters for
10 treatments such as polymer surface activation, the removal of
11 contaminants etc.

14 2.1 Helium spectral emission

15 Helium has, as it is well known, two independent sets of
16 energy levels (singlet and triplet), and an ionization limit of
17 24.59 eV.⁵⁾ There are triplet and singlet excited energy levels
18 in a neutral helium atom (He I) and electron transition among
19 these are forbidden in dipole–dipole approximations. In
20 general, the intercombination of spontaneous transition
21 probabilities from triplet to singlet for neutral helium is very
22 low. Therefore both of the spectral emissions (from the triplet
23 and singlet energy levels), should be taken into account
24 separately for a valid representation of a full helium spectral
25 radiation. The intensity of helium was monitored over six
26 prominent atomic helium spectral lines. There are three
27 spectral lines from the helium triplet spectra He388 (He I;
28 $\lambda = 388.865$ nm; $2s^3S_1-3p^3P_{1,2}^o$), He587 (He I; $\lambda =$
29 587.562 nm; $2p^3P_{1,2}^o-3d^3D_{1,2,3}$) and He706 (He I; $\lambda =$
30 706.519 nm; $2p^3P_{1,2}^o-3s^3S_1$). There are also three spectral
31 lines from the helium singlet spectra He501 (He I; $\lambda =$
32 501.568 nm; $2s^1S_0-3p^1P_1^o$), He667 (He I; $\lambda =$
33 667.815 nm; $2p^1P_1^o-3d^1D_2$) and He728 (He I; $\lambda =$
34 728.135 nm; $2p^1P_1^o-3s^1S_0$).⁵⁾ Helium's high metastable en-
35 ergy levels, which act as a “reservoir of energy”, make it
36 ideal for use for plasma processing.^{1,6)} Density of helium
37 metastable atoms can be measured by laser absorption
38 spectroscopy⁷⁾ and the results of these experiments are
39 dependant on helium gas flow rate. The measurement of He
40 metastable densities in Ref. 7 have been performed for two
41 plasma systems, one of which (the mesh-type), is similar to
42 the plasma jet in this work. The results presented for the
43 mesh-type system⁷⁾ indicate that at atmospheric pressure
44 helium metastable densities decrease with increasing gas flow
45 rate. Moreover, Fig. 5 (in Ref. 7) indicates that helium higher
46 flow rates quench the excited species, especially the long-
47 lived metastable atoms, causing a decrease in the discharge
48 current with increasing gas flow rates. On the helium triplet
49 energy scale, there is a metastable energy level at $1s2s(^3S_1)$
50 with an energy of 19.82 eV. Using OES, this energy level can
51 be observed as the He388 spectral line emission and therefore
52 the spectral intensity of this atomic line can be used for the
53 monitoring of a metastable helium atom in the triplet state.
54 The $1s2s(^1S_0)$ is a metastable energy level in the helium
55 singlet state with energy of 20.62 eV. The lower energy level
56 of He501 is $2s(^1S_0)$. Therefore the spectral intensity of the
57 He501 is proportional to the metastable density of helium
58 singlet atoms. Four additional helium spectral lines, dis-
59 cussed in this work, all have lower energy levels, which are
60 the same as the helium resonate levels. They are He587 and
61 He706 lines which each have lower energy level $1s2p(^3P^o)$

with the energy of 20.96 eV, and this is the resonate level of
the helium triplet state. The He667 and He728 have the lower
energy level $1s2p(^1P_1^o)$ with a energy of 21.22 eV,⁵⁾ and this
energy level is a resonant level of the helium singlet state.
The lifetime of electrons in resonate energy levels are much
shorter than the lifetime in an “ordinary” excited energy
level⁵⁾ and is many orders of magnitude shorter than an
electrons life time at metastable energy levels. Because of
this the quenching of resonate energy levels is negligible and
therefore an electron transition that ends on a resonate energy
level may be use for the monitoring of an atom's density in
its ground state.

2.2 Oxygen spectral emission

Oxygen species were studied in the He plasma using
measurements of atomic (O I) and molecular (O₂) spectral
emissions. All recorded atomic oxygen spectral lines are
triplets.⁵⁾ The following atomic oxygen triplet spectral
lines are recorded: O615 (O I; $\lambda = 615.598, 615.677,$
and 615.818 nm; $3p^5P_{1,2,3}-4d^5D_{1,3,4}^o$), O777 (O I, $\lambda =$
777.194, 777.417, and 777.539 nm; $3s^5S_2-3p^5P_{1,2,3}$) and
O845 (O I, $\lambda = 844.625, 844.636,$ and 844.676 nm;
 $3s^3S_1-3p^3P_{0,1,2}$). The O615 spectral emission comes from
the high laying excited energy level of 12.75 eV.⁵⁾ The lower
energy level of the O615 triplet coincides with the upper
states of the O777 triplet, with an energy of 10.74 eV.
Therefore the O615 triplets increase in intensity emission,
would result in a change of the relative intensity of the
individual lines of the 777 triplet, and this could lead to errors
in using the spectral intensity of 777 triplet for plasma
diagnostics, since the one of O777 spectral line is used for
actinometry.⁸⁾ The third recorded atomic oxygen spectral line,
O845, has the energy threshold of the excited states of
10.99 eV.⁵⁾ The O615, O777, and O845, are created by direct
excitation from a ground state, but could be also created over
a O₂ dissociation. The energy threshold for O₂ dissociation,
and selected spectral lines, are: 16.1 eV (O777), 16.3 eV
(O845), and 18.0 eV (O615). These energies are lower than
the energy of helium metastables, therefore the dissociation
of molecular oxygen must be taken into account in the
interpretation of atomic oxygen spectral line intensity.

The neutral oxygen molecule does not readily show an
emission spectrum, as the spectral emissions of molecular
oxygen generally have very weak spectral emissions,
however these can be observed under optimised atmospheric
pressure conditions. The A-band (O₂760) is the only recorded
molecular oxygen emission in this work. The A-band has
a band-head emission at $\lambda = 759.37$ nm and belongs to a
transition $b^1\Sigma_g^+-X^3\Sigma_g^-$.⁹⁾ Oxygen molecules have seven
long-living metastable states located in the energy diagram
below the first dissociation limit, at approximately 5.1 eV.
The upper energy state ($b^1\Sigma_g^+$) of the O₂760 emission is one
of molecular oxygen metastables with energies of 1.63 eV.
Transition from metastable to the ground state only occurs
in a magnetic dipole transition (singlet–triplet intercombina-
tion). Because of that the A-band has a low transition
probability and its upper energy level has a relatively long
life time (approx. 7 s). The big advantage of the $b^1\Sigma_g^+$ is its
energy (1.63 eV) which is within the average energy range
of electrons in most electrical discharges (low-pressure or
atmospheric).¹⁰⁾

1 Water vapor is present in many atmospheric plasma
 2 discharges in ambient air. Thus, oxygen as a part of a
 3 molecule could also be recorded over H₂O and/or the OH
 4 spectral emissions. In this work, only the OH emission is
 5 recorded. The OH radical with Q₁ band-head at $\lambda =$
 6 308.986 nm (OH309) and transition A² Σ^+ ($v = 0$)–X² Π
 7 ($v' = 0$) has been recorded in all experimental conditions.
 8 The OH309 emission can be used for a thermal analysis of
 9 the plasma. The rotational temperature was measured from
 10 intensities of components of the Q₁ branch of the (0, 0) band
 11 for the electronic transition OH radical as it is close to the
 12 gas temperature.^{11,12} The upper energy level of OH309 is
 13 metastable with an energy of 4.17 eV. The ionization energy
 14 of the OH molecule (13.18 eV) is just slightly lower than the
 15 ionization energy of a neutral hydrogen (13.62 eV) and both
 16 species (OH and H) are produced through H₂O dissociation.

17 2.3 Hydrogen and nitrogen spectral emissions

18 Atomic hydrogen (H I) is studied using the emission of
 19 the Balmer- α line ($\lambda = 656.279$ nm; $n = 2-3$). The upper
 20 energy level of the Balmer- α line has an energy of 12.09 eV.
 21 The lower energy level (10.20 eV) of the Balmer- α line is
 22 a resonant energy level in atomic Hydrogen. This resonant
 23 level has the highest transition probability, i.e., the shortest
 24 lifetime, in a hydrogen atom. Thus, quenching of the $n = 2$
 25 atomic hydrogen energy level is most unlikely. A spectral
 26 intensity of the Balmer- α line could therefore be used for the
 27 density estimation of atomic hydrogen.

28 Nitrogen spectra are almost always found to be present in
 29 open air electrical discharges. In this research, one of the
 30 goals is to minimise the nitrogen spectral emission by
 31 optimizing the experiment. The only recorded nitrogen
 32 emission, in this work, is the second positive system with
 33 the band-head at $\lambda = 337.14$ nm and transition C³ Π_u
 34 ($v = 0$)–B³ Π_g ($v' = 0$). This molecular nitrogen emission
 35 (N₂337) is of frequent occurrence as an impurity in the
 36 spectra of atmospheric plasma discharge. The upper and
 37 lower energy levels of N₂337 spectral emission have energies
 38 of 11.03 and 7.35 eV, respectively. The ionization energy of
 39 N₂ molecule is 15.58 eV.

40 2.4 The setup

41 This OES study was carried out on the plasma jet formed
 42 using the SurFx Atomflo™ 400L atmospheric plasma
 43 system. This type of plasma source is widely used for the
 44 plasma treatment of polymers in order to enhance surface
 45 energy.¹² The source operates at a rf frequency of 27.12 MHz
 46 and forms a plasma 5 cm wide, using its AH-500L beam
 47 applicator (Fig. 1). The RF power is shown on the controller
 48 of plasma source. The controller includes an RF generator
 49 with a fast auto-tuning matching network.¹³

50 Since it is very difficult to directly measure the output
 51 power of the SurFx plasma source, due to its closed box
 52 design, it is useful to calculate the thermal energy transfer
 53 of the plasma. Thermal imaging of a ceramic substrate, mounted
 54 below the jet, was obtained using an InfraTec Vari CAM
 55 high-resolution infrared thermographic camera and a K-type
 56 thermocouple. The measurement procedure builds on pre-
 57 vious work, reported in literature.^{12,14} An alumina ceramic
 58 (W) 21 cm, (L) 27 cm, and (H) 0.4 cm was chosen as the
 59 substrate, as it has a high specific heat capacity (850

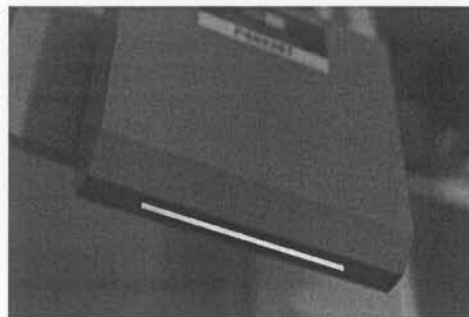


Fig. 1. (Color online) Photograph of SurFx Atomflo™ plasma source with 5 cm long applicator orifice.

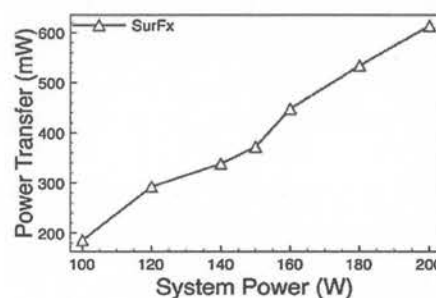


Fig. 2. Calculated thermal energy transfer values from the SurFx source to a ceramic substrate, placed 16 mm below the plasma nozzle, at the indicated processing powers.

50 J·g⁻¹·K⁻¹).¹⁴ Using thermal imaging data, the thermal power
 51 transfer (P) can be estimated by measuring the surface
 52 temperature rise and the associated affected surface area. The
 53 data is then used to determine the time-dependent heating
 54 process using¹⁵

$$P = m \cdot C_P \cdot \frac{\Delta T}{\Delta t} \quad (1)$$

55 In Eq. (1), m represents the mass of the heated region, C_P
 56 is specific heat capacity of the substrate (J·g⁻¹·K⁻¹), while
 57 $\Delta T/\Delta t$ is the change in substrate temperature (T , in K) in
 58 relation to the time (t , in s). Thermal data was obtained while
 59 the plasma applicator head was mounted 16 mm above the
 60 ceramic surface. After ignition of the plasma, the change in
 61 temperature of the ceramic's surface was recorded until a
 steady state maximum temperature was reached. This was
 repeated for input process powers in the range of 100–200 W
 (as indicated in Fig. 2). Using the IRBIS 3 plus software
 package, supplied with the thermal imaging camera, the
 average temperature was calculated for a 1 × 1 cm² section of
 the ceramic substrate, positioned directly under the plasma
 jet, 15 and 30 s after the plasma was ignited. It should
 be noted that some thermal energy will be lost to the
 surrounding ambient in the 16 mm between the plasma
 nozzle and the ceramic substrate and so the calculations
 presented in this section do not represent the absolute
 energies of the plasmas investigated. The differences in the
 ceramic's temperature values (at 15 and 30 s) were used as
 the ΔT values in Eq. (1). The total mass of the 21 × 27 cm²
 ceramic substrate was measured to be 915 g; the mass of the
 1 cm² ceramic area treated was therefore calculated to be

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1 1.6 g. Since the same time interval, of 15 s, is used as the Δt
 2 function for all of the calculations, the thermal power transfer
 3 is directly proportional to the change in temperature of the
 4 substrate. The thermal power transfer to the ceramic plate is
 5 therefore calculated to be

$$P = 91.45 \cdot \Delta T. \quad (2)$$

8 Figure 2 shows the thermal energy transfer from the
 9 plasmas formed using the SurFx plasma jet system. A
 10 relatively linear relationship between system input power and
 11 thermal energy transfer is observed. Thermal energy transfer
 12 was in the range of 185–615 mW, at the conditions
 13 investigated.

14 The SurFx unit can generate plasma using He–O₂ gas
 15 mixtures (in the range of 100–200 W). The input variables for
 16 this system are strictly limited by the equipment manufactur-
 17 er, i.e., helium gas needs to flow at a constant flow rate of
 18 301/min, while oxygen gas input varies with plasma power.
 19 The oxygen flow rate was maintained at less than 3% of the
 20 helium flow rate at all times. Oxygen, which forms strong
 21 oxidising agents once injected into a helium plasma, is used
 22 as the source of reactive species in this study. More details
 23 about the experimental setup is presented in.^{12,16,17} A feature
 24 of this source is the relatively contained plasma, which is
 25 confined almost entirely inside the applicator housing. This
 26 combined with the relatively high He flow rate maintains a
 27 relatively un-contaminated plasma, despite its direct exposure
 28 to the atmosphere.

29 Optical emission spectroscopy was carried out using a
 30 low resolution USB4000 spectrometer. The OES technique is
 31 based on the integration of measured signals over a line of
 32 sight observation. Despite this limitation however, OES is
 33 a non-intrusive diagnostic technique, which is commonly
 34 used to detect light emitted by excited species from plasma
 35 discharges. Experiments were carried out to investigate
 36 species intensity with varying power and time.¹² This
 37 resulted in a matrix of 64 experiments. OES data is recorded
 38 at the central point along the beam applicator, at 0, 15, 30, 45,
 39 60, and 75 s after the plasma was struck (i.e., the duration of a
 40 processing time), for all plasma powers. These OES experi-
 41 ments were repeated at two other points along the beam
 42 applicator’s orifice, as outlined in Ref. 12. A typical He–O₂
 43 absolute intensity spectrum, recorded at 200 W after 30 s, is
 44 shown in Fig. 3. The helium–oxygen gas velocity during this
 45 experiment was calculated to be 6.9 m/s and has a laminar
 46 flow with a Reynolds number around $Re = 158$.¹⁸ This
 47 figure also shows a helium spectrum recorded at a more
 48 typical gas flow of 101/min (dashed line). The gas velocity
 49 during this experiment was found to be 0.8 m/s and flow was
 50 found to be laminar with $Re = 106$.¹⁸ It was established that
 51 13 different atomic and molecular spectral emission existed
 52 in the plasma. In order to truly establish species importance
 53 at the various processing parameters, it was necessary to
 54 integrate the area under the emission peaks⁸ for each OES
 55 spectra and to calculate a quantum efficiency of the spectral
 56 system (the spectrometer, fiber optic cable, lens, etc.).

57 The area under the emission peaks shown on Fig. 3, were
 58 measured and expressed in units of mW/cm² for a 1 s of
 59 integration time. The low resolution of the spectrometer has
 60 no influence on the profiles separation and the recorded
 61 continuum emission is very low. There are small continuum

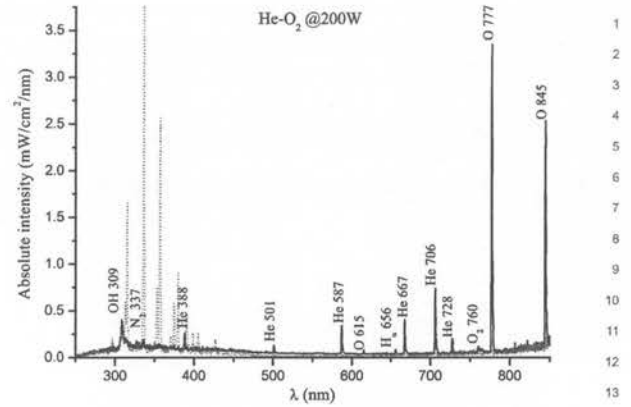


Fig. 3. (Color online) Spectrum of He–O₂ plasma discharge (solid line) recorded 30 s after the plasma was struck. The importance of OH309, N₂337, He388, etc. is discussed in Sects. 2.1–2.3. Also included is a typical helium plasma spectrum observed in an open air discharge (dashed line).¹⁸

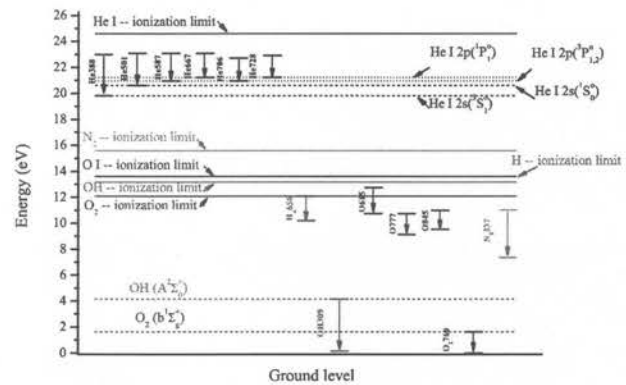


Fig. 4. (Color online) Energy diagram of the spectral emission from Fig. 3. All spectral line labels are the same as on Fig. 3.

emissions between 300 and 400 nm, as well as at wave-
 lengths higher than 800 nm. The low continuum emission
 indicates that gas temperature is not high, i.e., it is not
 drastically different from the ambient temperature.

3. Results and Discussion

As well as optical spectra (Fig. 3), (nonradiative) energy
 transfer among different plasma species is also important for
 plasma diagnostics. The energy diagram in Fig. 4 takes into
 account the energy levels of all spectral profiles from Fig. 3.

Figure 4 shows clear separation among helium energy
 levels and the energy levels of other emitters in the plasma.
 Helium has the highest excited energy states and metastable
 levels and this needs to be taken into consideration when
 other species are being created in the plasma.

The He emission at 706 nm, with a threshold energy of
 22.7 eV and a radiative decay lifetime of the upper state of
 35 ns,⁵ is an indicator of energetic electrons.¹⁹ The He706 is
 produced by radiative dissociation of the He₂* dimer, which
 is formed by a recombination process from the metastable He
 atom. Even small amounts of nitrogen drastically change the
 metastable helium density by fast Penning ionization with
 N₂.¹⁹ Thus the intensity of the He706 decreases if the content
 of air in the discharge is too high. Figure 5 shows the He706

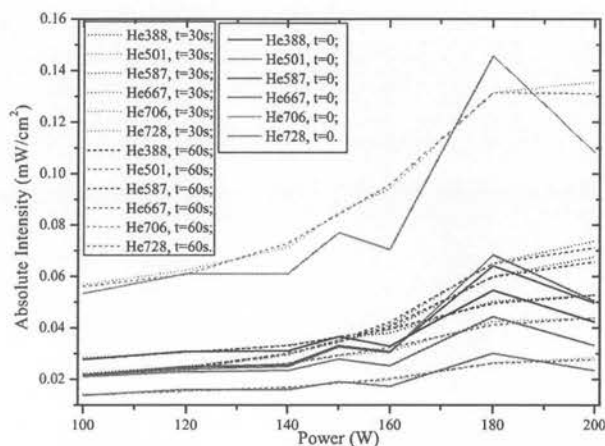


Fig. 5. (Color online) Absolute intensity of helium atomic spectral lines at three different time intervals from the striking of the discharge: solid line, $t = 0$ s; dotted line, $t = 30$ s; dashed line, $t = 60$ s. All labels are the same as Fig. 3.

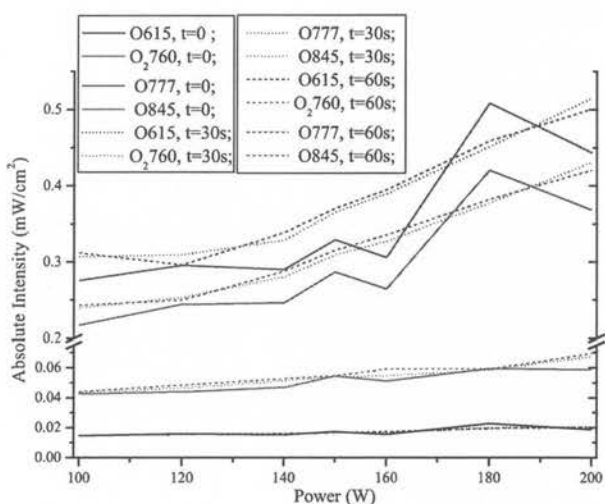


Fig. 6. (Color online) Absolute intensity of oxygen's atomic and molecular spectral emissions at three different time intervals from the striking of the discharge: solid line, $t = 0$ s; dotted line, $t = 30$ s; dashed line, $t = 60$ s. All labels are the same as Fig. 3.

emission, as well as other helium spectral emissions, all of these were observed to increase with processing time and applied rf power. Figures 6 and 7 show the oxygen and nitrogen spectral emissions, respectively. The O777 and O845 show (Fig. 6) similar trends to the He706 (Fig. 5) with respect to processing time and applied rf power. The other two oxygen spectral emissions (O615 and O2760) do not display significant intensity fluctuations with changes in processing time or applied rf power. The mechanisms of creation the O615 and the O2760 are discussed in Sects. 2.2 and 2.3, and it will be more discussed in this section in conjunctions with the He706 spectral emissions. The absolute spectral emission of molecular nitrogen (Fig. 7) shows oscillation around an average value for each of the three different time intervals. These oscillations are suppressed with the processing time.

Figure 5 shows that the high helium flow rate overrules nitrogen's importance in a plasma discharge. The nitrogen

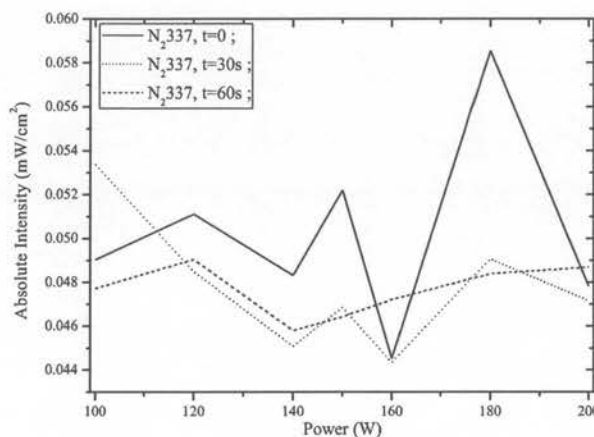


Fig. 7. Absolute spectral intensity of nitrogen molecular band at 337 nm and at three different time intervals from the striking of the discharge: solid line, $t = 0$ s; dotted line, $t = 30$ s; dashed line, $t = 60$ s. All labels are the same as Fig. 3.

spectral emission is present only through N₂337 (see Fig. 3), which is a unique case since the majority of open air plasma discharges are very rich in nitrogen emission.²⁰⁾ The N₂337 nm emission lines correspond to the following transition N₂(C³Π_u⁺) → N₂(B³Π_g⁺).²¹⁾ The N₂(C³Π_u⁺) state can be populated in several ways and has a lifetime of 38 ns. Firstly, it can be populated through the direct electron impact excitation from the N₂ ground state: N₂(X¹Σ_g⁺)_{v=0} + e_{fast} → N₂(C³Π_u⁺)_{v'=0,1} + e_{slow}, where the threshold energy of fast electrons equals to 11.5 eV. In this case, the population rate for the upper level of the N₂337 transition is proportional to the N₂ ground state number density and the density of fast electrons. A second possible population mechanism of the N₂(C³Π_u⁺) state is through the electron recombination of N₂⁺(X²Σ_g⁺) followed by decay. The second mechanism is less probable as there is no significant spectral emission recorded for a wavelength of 391–393 nm (Fig. 3). In this spectral region, nitrogen should have very strong emissions, which are created by the electron transition from N₂⁺(B²Σ_u⁺, v = 0) to N₂⁺(X²Σ_u⁺, v' = 0) state. The N₂⁺(B²Σ_u⁺) is populated through the Penning reaction and/or the charge transfer from He₂⁺ ions. The temporal profile of the 391–393 nm line therefore reflects the evolution of the helium metastables and molecular ions.²²⁾ Similarly, the helium line at 706 nm indicates the presence of either energetic electrons or He₂⁺ ions and high energy electrons.²²⁾ The absence of a strong/any N₂⁺ emission supports the suggestion that there was an insufficient number of helium metastable atoms. The lifetime of electrons at metastable levels should be in the order of μs or longer⁵⁾ but, due to its high quenching rates, it is drastically lower.²³⁾ These results are similar to those previously reported in Ref. 7, where it was shown that helium metastable atoms were shown to be less important in influencing plasma chemistry as gas flow rates increased. As it is presented in Fig. 5, two helium spectra emissions (He388 and He501), which represent helium metastable atoms, have the lowest intensity within triplet/singlet helium states. The other four helium lines exhibit much higher intensities and these lines represent the helium atom in its ground state (as discussed earlier). The temporal profiles of all helium spectral lines are similar

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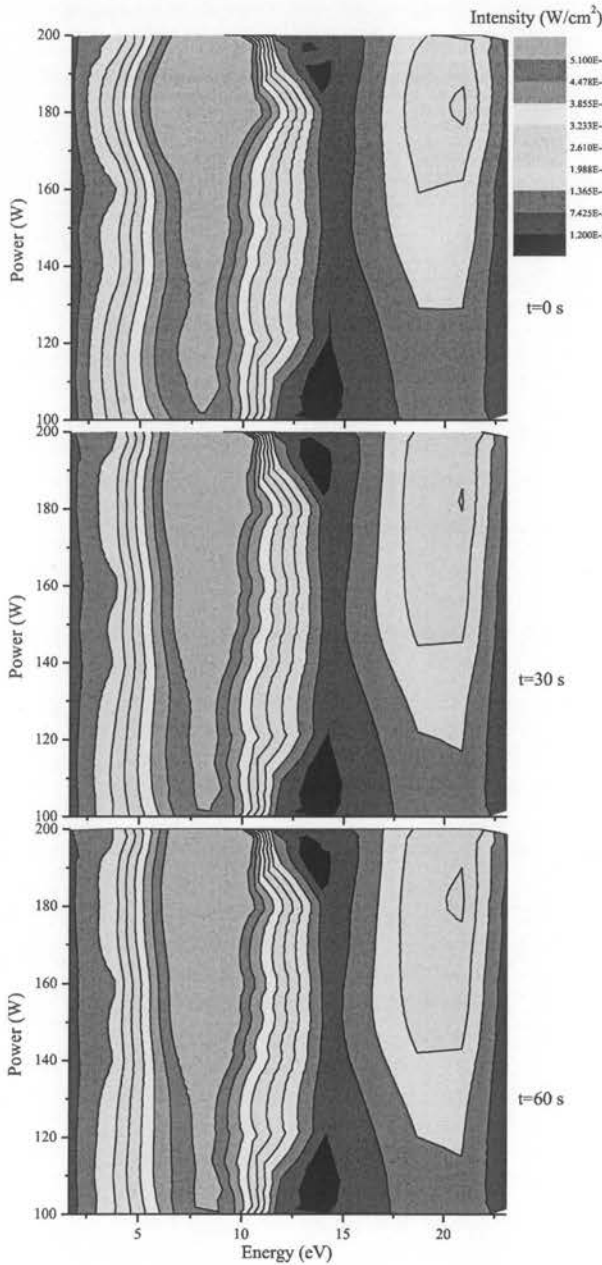


Fig. 8. (Color online) The total spectral intensity for all 13 spectral profiles at three different times (0, 30, and 60 s) from the ignition of the discharge.

(Fig. 5), and this is a case for all other emissions detailed in Fig. 3. Figure 8 shows the absolute spectral intensities as a function of the rf power, processing time and the energy of the upper energy levels.

The spectra's intensities shown in Fig. 8 stay almost constant up to 60 s time period investigated, which indicates a high degree of plasma stability, and this is clearly very important for any technological application. The difference in the intensities of the excited plasma species in Fig. 8 were probably related to their different life spans and the energy thresholds. The peak intensity, in Fig. 8 of 8 eV, gives an indication of the dynamics of energetic electrons. This suggests that the profiles of excited plasma species may be influenced by both their lifetimes and the dynamics of energetic electrons.

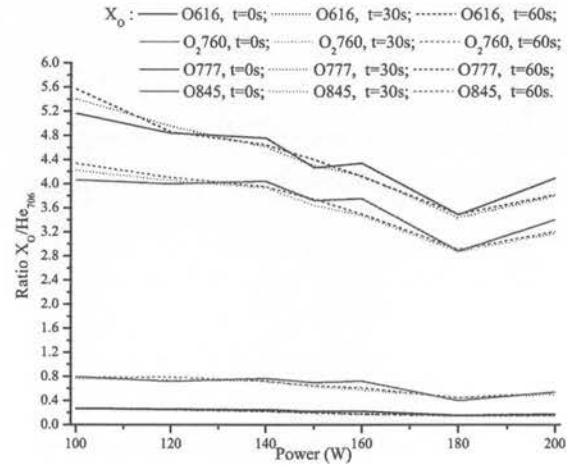


Fig. 9. (Color online) Temporal oxygen spectral emission: solid line, $t = 0$ s; dotted line, $t = 30$ s; dashed line, $t = 60$ s.

The optical emission spectrum (Fig. 3) consists of, atomic and molecular oxygen, as well as helium, hydrogen and nitrogen species emissions. Oxygen could come from the ambient air, the He-O₂ gas mixture or from H₂O dissociation. Electron impact excitation of molecular oxygen, at low collision energies, is of particular importance because of its role in atmospheric physics. Transitions between the X³Σ ground state and the two lowest a¹Δ, and b¹Σ electronically excited states are forbidden by optical dipole selection rules. However the transitions occur as a result of higher-order interactions. The metastable b¹Σ, state is important because of its long lifetime of 7 s.²⁴⁾ It also permits the production of sufficient numbers of atomic oxygen to enable the study of excited molecular species by means of collision scattering experiments. The very long lifetime of the molecular oxygen metastable molecule makes its quenching very efficient. The quenching of singlet molecular oxygen involves the deactivation of the excited state of the molecule.¹⁰⁾ Deactivation can be accomplished by either physical or chemical quenching. Physical quenching only results in the deactivation of singlet oxygen to its ground state, with no oxygen consumption or product formation. In contrast chemical quenching results in singlet oxygen reactions with quencher R to produce RO₂. The two major mechanisms of ¹O₂ quenching are known to be energy transfer and charge transfer quenching.

Energy transfer quenching is the reverse reaction through which singlet oxygen is formed.²⁵⁾ It involves formation of triplet quencher and ground state oxygen (¹O₂ + Q → ³O₂ + Q).

Charge transfer quenching involves the interaction between the electron-deficient ¹O₂ molecule and electron donors to form a charge transfer complex.²⁵⁾ Intersystem crossing restrictions are relaxed in the complex,¹⁰⁾ which can then dissociate into donor and ground state oxygen. The spectral radiation based on this mechanism includes: O₂760, O615, O777, and O845 emissions. Figure 9 presents the atomic and molecular oxygen emission in the respect to the He706 emission. It can be observed that the temporal emission profile of the O777 and O845 in this figure is different to that of nitrogen and helium. The weak temporal dependence of the O777 and O845 emission pattern suggests

1 that $O(^5P)$ and $O(^3P)$ are mainly populated by the direct
 2 excitation of atoms by electron impact, with a lifetime of
 3 upper energy levels of 29 and 32 ns respectively. Under-
 4 pinning that He706 emissions, detected in helium discharges
 5 with a small concentration of impurities, can be used to
 6 indicate the presence of energetic electrons. The O777 and
 7 O845 cannot be created by a dissociative recombination with
 8 the ground state O_2^+ because the rate is strongly peaked
 9 toward low energy electrons²⁶⁾ and there is insufficient
 10 energy for these to produce the excited atoms of interest
 11 since the difference between the ionization potential of O_2
 12 (12.07 eV) and the bond dissociative energy (5.09 eV)²⁷⁾ is
 13 substantially less than the atomic excitation energy (11 eV)
 14 for the $O(^5P)$ and $O(^3P)$ states. Another option for the creation
 15 of O777 and O845 is the dissociative excitation of the
 16 molecular oxygen. The emission of O_2760 is almost constant
 17 with time and with the rf power, and it does not follow the
 18 trends of the O777 and O845 emissions (see Fig. 6). The
 19 slopes of the O777 and O845 are an order of magnitude
 20 greater than the O_2760 slope. The similar trends of these
 21 emissions are also recorded in Fig. 9. It is concluded from
 22 this study that the general interpretation that a dissociative
 23 molecule oxygen excitation is the dominant mechanism for
 24 an O777 emission, and the atomic oxygen excitation is much
 25 more important for an O845 emission,²⁸⁾ was found not to be
 26 the case for a high helium flow rate plasma source. Another
 27 possible channel for creation of O777 and O845 could also
 28 be radiative cascading from the higher, excited levels in an
 29 oxygen atom.⁸⁾ The O615 spectral line has an upper energy
 30 level lifetime of 43 ns, while its lower energy level is the
 31 same as the upper energy level of O777. The intensity of
 32 O615 is insensitive to the change of rf power or the
 33 processing time (Fig. 6). This similar dependence could be
 34 seen in Fig. 9 for this emission. This indicates that the direct
 35 excitation of an oxygen atom to a high lying energy level
 36 ($4d\ ^5D^o$) by high energetic electrons, is not important for
 37 creating this (O615) emission. Thus the only mechanism
 38 important for the creation of oxygen radicals in the states
 39 $3p\ ^5P$ and $p\ ^5P$, in a high flow helium atmospheric plasma
 40 discharge, is a direct excitation of atoms by high energetic
 41 electrons (the He706 emission pattern). In Fig. 9 the
 42 minimum ratio, recorded at 180 W, could be attributed to a
 43 change (increase or decrease) in T_e (i.e., EEDF) or to a
 44 change in helium metastable kinetics. Namely, the $X_{O_2}/$
 45 He706 increase suggests that the electron energy distribution
 46 shifted towards a higher energy value. Electron energy
 47 distribution changes affect the dissociation coefficient of the
 48 oxygen molecule.²⁹⁾ Thus, this electron energy distribution
 49 change, induced by the addition of oxygen flow to the fixed
 50 helium flow, introduced the change in atomic oxygen in the
 51 plasma.

52 The OH band ($A^2\Sigma^+, v=0-X^2\Pi, v'=0$) was inves-
 53 tigated and shows a red degradation with main band head at
 54 308.986 nm.⁹⁾ The OH radicals could be produced through
 55 H_2O dissociation or/and is produced in the isothermal flow
 56 plasma jet by the reaction $H + NO_2 \rightarrow NO + OH$, where the
 57 H atoms are formed by the plasma discharge of highly humid
 58 ambient air and helium mixtures. The second channel is
 59 mostly unlikely since the high helium flow rate limits the
 60 importance of nitrogen. Since the dissociation of molecules
 61 (H_2O) is most likely described by the gas kinetic temperature,

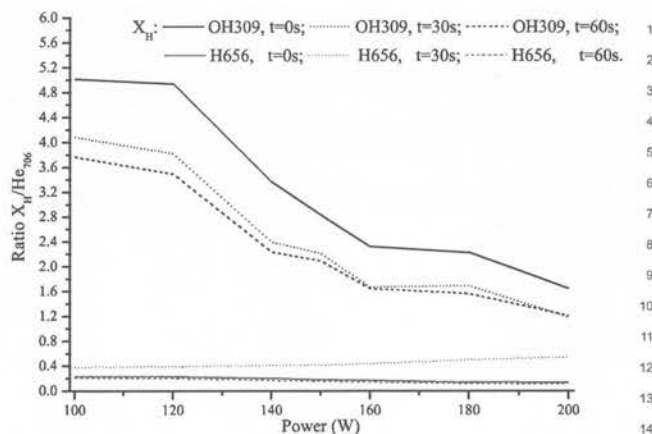


Fig. 10. (Color online) Temporal OH309 and H656 spectral emissions: a solid line, $t = 0$ s; a dotted line, $t = 30$ s; a dashed line, $t = 60$ s.

the radical OH can be used as an indication of temperature in
 an ambient gas plasma discharge.³⁰⁾ The OH309 emission
 described in this work is used for gas temperature measure-
 ment and was benchmarked against infrared thermal imagin-
 ing¹²⁾ and the gas temperature was in the range 40–70 °C.
 Overall the OH band ($A^2\Sigma^+, v=0-X^2\Pi, v'=0$) was
 observed to be very sensitive/accurate for a plasma gas
 temperature in the range of 300–6000 K. Quantification of
 OH radicals in atmospheric pressure plasma jets can be useful
 for the understanding of OH formation mechanisms and
 plasma generation. OH radicals exist at a relatively large
 distance from a main plasma plume of atmospheric pressure
 helium plasma jets.¹¹⁾ Figure 10 shows the ratio of both the
 OH and H spectral intensities to He706.

With increases of rf power, as well with processing time,
 the intensities of OH309 (not shown) has a $\pm 50\%$ fluctuation
 around mean value of 0.25 mW/cm², during the same time
 the H656 (not shown) spectral intensity monotonously
 increases four times. By dividing these intensities by the
 He706 emission intensity (which represents high energy
 electrons) the graph in Fig. 10 was generated. This shows a
 monotonic decrease in the ratio of the intensities of OH309
 (no intensity fluctuation) and a very small increase in the
 H656 signal. The energy required for a H_2O dissociation
 is 5.03 eV and the product of this dissociation is an OH
 molecule and a hydrogen atom. Figure 10 suggests that the
 electron energy distribution function is shifted towards a
 higher energy.

4. Conclusion

Open air plasma discharges are widely used for surface
 activation and cleaning. The reactive species created in these
 discharges could have a significant impact on technology
 processes and on the environment. Electrical discharges in
 ambient air produce some very (potentially) hazardous
 species such as: NO_x , OH^* , H^* , O^* , O_3 , and/or H_2O_2 . From
 this study it was concluded that helium atmospheric plasma
 jets, with a high gas flow rates, are more stable (no arcing)
 and they do not depend on impurities (N_2 , O_2 , ...) to sustain
 the plasma discharge. Nitrogen contamination increases the
 gas temperature due to its lower heat conductivity than that of
 a helium atom. In an optimized helium gas flow, a plasma

1 discharge has no turbulent flow, i.e., the plasma jet is stable
2 and quiet. The large applicator head allows a laminar flow of
3 gas which is well structured, with a hot and bright core.
4 Moreover, the small amount of O₂ added to the plasma
5 forming gas (helium) causes the plasma length to be reduced
6 to couple of millimeters.

7 In the vast majority of helium discharges in ambient air,
8 emission spectra clearly show the efficiency of helium to
9 excite the impurities absorbed on electrodes, or existing as
10 traces within an atmosphere. In this work, with a high helium
11 gas flow rate, the significance of spectral emissions other
12 than the helium atom is observed to be unimportant.
13 Wavelength resolved optical emission profiles suggest that,
14 the helium emission indicates that high energy electrons
15 (spectral emission at 706 nm) are more important than helium
16 metastables (spectral emissions at 388 and 501 nm), on the
17 overall spectral emission. The lifetime of helium metastables
18 energy levels are indicated to be drastically reduced as a
19 result of quenching.

20 The high helium gas flow rate narrows the production
21 channel of the oxygen radicals to the direct electron impact
22 (excitation process) from the ground state of the O I. The
23 general interpretation that the dissociative molecule oxygen
24 excitation is the dominant mechanism for O₇₇₇ emission,
25 and that atomic oxygen excitation is much more important for
26 O₈₄₅ emission was found not to be the case for the high
27 He–O₂ flow rate plasma discharge.

28 Overall the high helium flow rate is used in an attempt to
29 minimize the ratio of gas convection to chemical reaction
30 time scale (recombination). This favors the rapid transport of
31 newly created radicals and excited species to the surface
32 under treatment. The addition of a low levels (<3%) of an
33 electronegative gas (oxygen) to helium gas causes a reduction
34 of the electron density and consequently, a reduction of the
35 electrical conductivity. This also causes an increase in the
36 sustaining voltage and consequently, in the electric field
37 strength. Therefore the mean electron kinetic energy is
38 increased, and this leads to an increase of the excitation
39 temperature.

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*vm@dit.ie

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