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Enhanced Refractometer Based on Periodically Tapered Small Core Singlemode Fiber

Pengfei Wang, Gilberto Brambilla, Ming Ding, Timothy Lee, Lin Bo, Yuliya Semenova, Qiang Wu, and Gerald Farrell

Abstract—An all-fiber refractive index (RI) sensor with a simple configuration of periodical tapers is proposed and investigated experimentally. The proposed fiber RI sensor consists of a small core fiber sandwiched between two standard singlemode fibers, with tapers periodically fabricated along the small core fiber using a focused CO2 laser beam. Such a structure can be used for RI sensing by measuring the dip wavelength shift of the multimode interference within the two small core fiber cladding. An average sensitivity of 226.6 nm/RIU (RI Unit) has been experimentally achieved in the RI range from 1.33 to 1.38. The refractometer is sensitive to temperature and an experimental investigation of this sensitivity is presented. It is found that the peak shift response has a linear variation with temperature; therefore, temperature dependence can be mitigated by a suitable RI correction process. The proposed RI sensor benefits from simplicity and low cost and achieves a competitive sensitivity compared with other existing fiber-optic sensors.

Index Terms—Fiber gratings, fiber optics, optical fiber device, optical fiber sensors.

I. INTRODUCTION

Optical fiber refractive index (RI) sensors offer advantages such as immunity to electromagnetic interference, high sensitivity, fast response, small size and ease of fabrication. To date several types of optical fiber refractometers have been proposed for applications in growth areas for RI sensing [1]–[12]. The most common approaches are refractometers based on a fiber Bragg grating [1]–[3], long period grating [4]–[6], surface plasmon [7]–[9], tapered microfiber [10]–[13], bent fiber [14] and a singlemode fiber (SMF)-multimode fiber (MMF)-singlemode fiber [15]. As an alternative to these existing fiber refractometers, an SMF28 -small core -SMF28 (SSCS) fiber structure based fiber refractometer [16] has been proposed recently featuring a high sensitivity of 102 nm/RIU at an RI = 1.324. With respect to the other techniques, an SSCS provides a reliable high sensitivity sensor at low cost.

For RI sensing, the SSCS structure proposed in Ref. [16] needs strong multimode interference between the fiber cladding modes, thus the diameter of the cladding of the small core fiber in an SSCS structure should be small in order to achieve high sensitivity. Experimentally, an effective approach is to employ a small core fiber with a reduced cladding diameter achieved by etching with hydrofluoric acid, but this increases the fabrication difficulty and the induced surface roughness may lead to a significant discrepancy between experimental results and theoretical design [14]. Alternatively, fiber tapering can replace the chemical etching process; since light guided in a tapered fiber has a significant fraction of power propagating as an evanescent wave, the effective index of the guided mode is affected by the surrounding medium RI. It is well known that the fraction of power in the form of evanescent field, and thus its sensitivity to environmental changes, increases for smaller taper diameters.

Since Davis et al. [17] reported the first example of long period fibre grating (LPFG) written by CO2 laser irradiation in a conventional glass fiber in 1998, this method has been used to manufacture a variety of devices and sensors. Compared with the LPFG fabricated by a UV-laser exposure technique, the CO2 laser irradiation technique is much more flexible and low cost because no photosensitivity or sensitization such as hydrogen loading are needed. Moreover the CO2 laser irradiation process can be controlled to generate complicated grating profiles via the well-known point-to-point technique without the use of any expensive masks. Therefore this technique can be used to write LPFGs in almost all types of fibers including pure-silica photonic crystal fibers. In this paper, we report the experimental demonstration of a novel high sensitivity refractometric sensor based on multimodal interference in a singlemode-periodically tapered small core fiber structure (SPTS) capable of improving the sensitivity using a series of microtapers [18]. The small core fiber used in the experiments was a commercial fiber (Nufern S405 HP) with a core diameter of circa 2.5 µm and a cut-off wavelength of 365 nm. The schematic configuration of the SSCS used as the starting point to fabricate the SPTS used in the experiments.
II. Theoretical Analysis

In our recent published work, a comprehensive theoretical analysis for an SSCS fiber structure has been presented using an eigenmode analytical method. The SSCS fiber with surrounding RI liquid can be treated as a three layer structure without a fundamental core mode guided in the core of the small core fiber. The good agreement between the calculated and measured results has shown that the theoretical eigenmode analytical method employed is an effective way to predict the performance of an SSCS fiber refractometer. Since in practice there is no fundamental core mode guided in the small core fiber, the theory for the three layer fiber structure (core-cladding-surrounding RI) can be simplified as a two layer fiber structure, namely a cladding-surrounding RI structure. This offers a possibility to simulate the light propagation in the SSCS fiber structure using a wide angle-beam propagation method (WA-BPM) with cylindrical coordinates using a Padé (3, 3) approximate operator and perfectly matched layer (PML) boundary conditions which has been presented in Ref. [18]. To prove the foundation of this approach, an alcohol-based graphite adhesive with high absorption coefficient was applied to the 2 cm long surface of the small core fiber sandwiched between two SMFs. Light transmitted in the SSCS fiber structure experienced a ∼25 dB attenuation, hence verifying that almost all of the light is actually transmitted in the cladding of the small core fiber.

The calculated amplitudes of the optical fields of both SSCS and SPTS fiber structures are presented in Fig. 3(a) and (b) respectively. Fig. 3(a) shows for reference the expected optical field at a wavelength of 1550 nm for an SSCS section, while Fig. 3(b) shows that weakly confinement occurs within the SPTS section due to the reduced size of fiber core diameter within the microtapers: at long wavelengths, beyond the cutoff wavelength, such as 1550 nm, the core mode expands out into the surrounding silica cladding as the core diameter reduces. This results in a more efficient coupling with the fiber cladding modes compared with the SSCS fiber structure, which explains the non-adiabatic and highly lossy behavior of the SPTS fiber structure. Compared with the SSCS structure shown in Fig. 3(a), the optical power propagating through the fiber core of the SPTS structure (Fig. 3(b)) is very low because of the enhanced multimode interference and weak confinement of the fiber core mode.

The peak wavelength shifts of both SSCS and SPTS fiber structures as a function of surrounding RI are calculated using a WA-BPM. The simulated results in figure 4 confirm that...
as the surrounding RI increases the central wavelengths of both SSCS and SPTS fiber structures increase monotonically in an exponential fashion. The peak wavelength shift of the SPTS fiber structure is much higher than that of the SSCS fiber structure, confirming that the refractometer with a SPTS structure has a higher sensitivity than that with a SSCS fiber structure.

### III. Fabrication of the SPTS Fiber Structure

The SSCS fiber sample used as the starting point for the SPTS was fabricated from a 21 mm length of Nufern S405 HP step index SMF which was stripped, cleaved and then spliced between two standard SMF28 fibers. As shown in the fabrication setup in Fig. 5, a CO\(_2\) laser (SYNRAD, Model: 48-2KWL, with a maximum power 30 Watts at a wavelength of 10.6 \(\mu\)m) was employed to fabricate the tapered fiber. A ZnSe cylindrical lens with a focal length of 254 \(\pm\) 0.5% mm focused the CO\(_2\) laser beam to \(\sim\)200 \(\mu\)m. Beam movement was achieved using gold-coated mirrors on a motorized translation stage. A Labview program controlled the shutter opening and therefore the laser exposure time. One 3D translation stage was used to adjust the heating position within the small core fiber section, while a weight (\(~\)3.7 g) was used to apply a constant tension to the SMF end of the SSCS structure. The small core fiber was exposed to the CO\(_2\) laser beam with an output power of 10.5 W for 120 s and tapering occurred because of the simultaneous localized heating of the fiber and tension applied to the end of the SMF. Then the laser beam was translated a distance of 400 \(\mu\)m along the fiber axis to irradiate and taper another segment of the fiber. This tapering process was repeated up to 20 times. Consequently, periodic tapers were created on the small core fiber, as shown in Fig. 6(a). Although the translation distance of the laser beam is 400 \(\mu\)m along the fiber axis, the actual period of the tapers is circa 500 \(\mu\)m due to the elongation of the fiber induced by tapering. The tensioning weight used during fiber processing was removed for subsequent refractive index measurements, with the fiber sample mounted instead on a “U” shaped stage. The detail of one of the resulting tapers is shown in Fig. 6(b), the waist diameter is circa 90 \(\mu\)m. The transmission spectra of the SPTS fiber structure were monitored and recorded during fabrication using a Supercontinuum source (Fianium Ltd, U.K.), which delivered 50 nJ light pulses over a broad range of wavelengths (450–1800 nm), and a high resolution (20 pm) optical spectrum analyzer (YOKOGAWA AQ6370). Actually in the experiments, the smallest waist diameter for the proposed SPTS fiber structure achieved was circa 20 \(\mu\)m. It was also found that the sensitivity of the SPTS fiberrefractometer increases as the waist diameter of the periodical tapers decreases. However the mechanical stability of the SPTS fiberrefractometer with thinner waist diameters during the RI measurements was poor, also that structure is usually associated with high losses. Thus in this works, we adopted a waist diameter of 90 \(\mu\)m as a reasonable compromise size, to provide mechanical stability and acceptable RI sensitivity.

Fig. 7 presents the measured transmission spectra of the SPTS fiber structures before and after the tapering processes for different numbers of tapers. From Fig. 7 it is clear that the attenuation induced by each taper decreases gradually with an increase in the number of tapers; for example the first 5 periodical tapers induced an attenuation of 10 dB whereas the following 5 tapers induced an average attenuation of 5 dB. As shown in Fig. 7, total attenuation of circa 25 dB for 20 periodical tapers was induced over the whole measured wavelength range from 1300 to 1700 nm after the 20th taper was created. The total attenuation induced by tapers increases only marginally if more tapers are fabricated in the fiber. The figure also shows that when the number of tapers increases, the intensity of multimode interference increases correspondingly, demonstrating more interference dips over the wavelength range.
IV. RESPONSE OF SPTS TO REFRACTIVE INDEX

An investigation of the refractive index sensing capability was performed at room temperature (\(~\sim 25^\circ C\)) with a series of RI liquids (1.33 \sim 1.38 with an interval of 0.005). The RI liquids were placed so as to cover the entire length of the SPTS fiber using a dropper. The measured peak wavelength shifts in the wavelength range near 1520–1535 nm are plotted in Fig. 8(b) as a function of RI, which shows a general agreement with the calculated results using a WA-BPM. For comparison, both calculated and measured data for an SSCS structure based refractometer are also presented in the figure.

As expected from the theoretical analysis presented in Sec. 2, the curve follows an essentially exponential distribution with an average sensitivity of 226.6 nm/RIU over an RI range of 1.33 \sim 1.38. A maximum sensitivity of 383 nm/RIU is achieved for an RI \sim 1.38, resulting in a resolvable index change of $4.41 \times 10^{-5}$ for a resolvable wavelength change of 0.01 nm, which is considerably better than the experimental results presented in Ref. [16].

V. TEMPERATURE DEPENDENCES OF THE PROPOSED FIBER REFRACTOMETER

In real-world fiber optic sensing applications, temperature effects are well known to have a significant influence on the properties of a fiber optic sensor, through both thermooptic and thermal expansion effects in the fiber materials. Therefore, it
is necessary to investigate the temperature-dependent behavior of the proposed fiber refractive index sensor. For this purpose, the SPTS fiber sample was placed on a temperature-controlled heating stage. A reference ratio response of the system was obtained at room temperature (25 °C). The variation in the spectral response from the reference response at circa 25 °C was measured for a temperature range from 25 °C to ~60 °C with an interval of 5 °C. The dependence of the peak wavelength shift on temperature is shown in Figure 9(b). The measured average slope of the resonance peak shift is 0.13 nm/°C. This result shows that the sensor has a rather strong temperature dependence. This temperature-dependent resonance peak shift is expected and it has been discussed in previously published work [19, 20]. However since the resonant peak monotonically redshifts with temperature, it is possible to apply a correction factor to mitigate the temperature induced errors. To verify this, the required temperature correction for the ratio response, which is effectively a correction factor for the refractive index, is calculated using the polynomial fit presented in Figure 9(a) and shown in Figure 9(b) for different temperatures with an interval of 5 °C in the range from 25 to 60 °C.

VI. CONCLUSION

In conclusion, an all-fiber refractive index sensor with a structure consisting of a series of periodical tapers is proposed and investigated experimentally. A maximum sensitivity of 338 nm/RIU and an average sensitivity of 226.6 nm/RIU (RI Unit) have been experimentally achieved at RI range from 1.33 to 1.38 with a ~90 µm periodically tapered waist diameter. The temperature-induced variations in refractive index measurements and the corresponding correction method have been investigated and presented in this article. Further optimization of the fiber sensor geometry will result in a more compact refractometric sensor device with improved performance.

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Pengfei Wang received the Ph.D. degree from the Photonics Research Centre (PRC), Dublin Institute of Technology, Dublin, Ireland, in 2008. He was a Research Assistant with the Institute of Microelectronics and Microsystems, Section of Bologna, Italian National Research Council, in 2004, and then a Research Associate with the PRC, in 2009. He joined the Optoelectronics Research Centre (ORC), University of Southampton, Southampton, U.K., as an IRC Marie Curie Research Fellow, in 2010. He joined the Advanced Laser Laboratory, SPI Lasers, Southampton, as a part-time Research Fellow, in 2011. He is currently with the PRC and involved in research for the “Return phase” of the Marie Curie Fellowship that he received, collaborated with the ORC. His current research interests include nonlinear microresonators, fiber lasers, computational photonics (modeling, simulation and optimization), photonic devices, such as microfiber- and nanowire-based fiber devices, fiber optics sensors, photonic integrated circuits, liquid crystal devices, laser machining, and applications development (optical communication and optical sensing). He has authored or co-authored more than 100 articles in academic journals and international conferences.
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Yuliya Semenova received the Graduate degree from Lviv Polytechnic National University, Ukraine, and the Ph.D. degree in physics of liquid crystals from the Ukrainian Academy of Sciences, in 1992 and 1999, respectively.

She was a Researcher with the Faculty of Electrophysics, Lviv Polytechnic National University, from 1997 to 2001. Since 2001, she has been with the School of Electronic and Communications Engineering, Dublin Institute of Technology, Dublin, Ireland, where she is currently a Lecturer and a Senior Researcher with the Photonics Research Center. She has authored or co-authored more than 100 journal and conference papers. Her current research interests include liquid crystals, photonics, and fiber optic sensing.

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He was a Senior Research Associate with the Optoelectronics Research Centre, City University of Hong Kong, from 2004 to 2006, where he was involved in research on polymer optical waveguides. He was a Research Associate with the Applied Optics and Photonics Group, Heriot-Watt University, from 2006 to 2008, where he was involved in research on laser joining in micromanufacturing. He is currently a Stokes Lecturer with the Photonics Research Centre, Dublin Institute of Technology, Dublin, Ireland. His current research interests include the design and fabrication of fiber Bragg grating devices for sensing, singlemode-multimode-singlenode fiber structures for novel fiber optical couplers and sensors, and surface plasmon resonant.

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Her current research interests include light confinement with nanostructured optical fiber taper, micro- and nanoresonators, micro- and nanofiber Bragg grating, and micro- and nanofiber sensors.

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He joined the Optoelectronics Research Centre University of Southampton, in 2009. His current research interests include the nonlinear behavior and polarization-dependent effects of microfibers and their resonators.

Lin Bo received the B.Eng. degree in materials physics from the University of Science and Technology Beijing, China. She is currently pursuing the Ph.D. degree at the Photonics Research Centre, Dublin Institute of Technology, Dublin, Ireland.

Her current research interests include tapered optical microfibers for sensing applications.

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AQ:6 = Please specify the year of completion of the Ph.D. degree.
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Index Terms—Fiber gratings, fiber optics, optical fiber device, optical fiber sensors.

I. INTRODUCTION

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Fig. 1. Schematic of the SMF28-small core fiber-SMF28 fiber structure.

Fig. 2. Schematic of the SMF28-small core fiber with periodical tapers-SMF28 fiber structure.

is shown in Fig. 1. Light propagation in such an SSCS fiber section has been simulated and analysed using an eigenmode analytical method presented in Ref. [16]. During the experimental verification, it was found that the power of the core mode guided in such an SSCS structure is low (\(\sim 25\) dB) and can thus be ignored in the theoretical model, this supports the fact that only the multimode interference between the cladding modes is essential for the device to work and should be considered.

Ref. [18] has shown that if the multimode section is tapered in a conventional singlemode-multimode-singlemode (SMS) structure, then strong mode interference occurs within the tapered MMF section due to the focusing effects of the input section to the taper. Within the tapered MMF section, the excited modes of LP0m in the MMF core will be partly coupled to the high-order cladding modes at the beginning of the fiber taper region and this increases the fraction of power in the evanescent field within the region of MMF cladding. This phenomenon offers a possibility to increase the intensity of multimode interference of the cladding modes in an SSCS using a tapered structure. Furthermore, by using several concatenated tapers, a periodic taper structure is created as shown in Fig. 2, consisting of an input SMF, a periodically tapered small core fiber section and an output SMF. Such an SPTS structure offers the potential to achieve a higher sensitivity than a single taper alone due to the increased cladding mode interference induced by the multiple focusing effects of the input section of each of the tapers.

II. THEORETICAL ANALYSIS

In our recent published work, a comprehensive theoretical analysis for an SSCS fiber structure has been presented using an eigenmode analytical method. The SSCS fiber with surrounding RI liquid can be treated as a three layer structure without a fundamental core mode guided in the core of the small core fiber. The good agreement between the calculated and measured results has shown that the theoretical eigenmode analytical method employed is an effective way to predict the performance of an SSCS fiber refractometer. Since in practice there is no fundamental core mode guided in the small core fiber, therefore the three layer fiber structure (core-cladding-surrounding RI) can be simplified as a two layer fiber structure, namely a cladding-surrounding RI structure. This offers a possibility to simulate the light propagation in the SSCS fiber structure using a wide angle-beam propagation method (WA-BPM) with cylindrical coordinates using a Padé (3, 3) approximate operator and perfectly matched layer (PML) boundary conditions which has been presented in the

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IV. RESPONSE OF SPTS TO REFRACTIVE INDEX

An investigation of the refractive index sensing capability was performed at room temperature (∼25 °C) with a series of RI liquids (1.33 ∼ 1.38 with an interval of 0.005). The RI liquids were placed so as to cover the entire length of the SPTS fiber using a dropper. The measured peak wavelength shifts in the wavelength range near 1520–1535 nm are plotted in Fig. 8(b) as a function of RI, which shows a general agreement with the calculated results using a WA-BPM. For comparison, both calculated and measured data for an SSCS structure based refractometer are also presented in the figure.

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VI. CONCLUSION

In conclusion, an all-fiber refractive index sensor with a structure consisting of a series of periodical tapers is proposed and investigated experimentally. A maximum sensitivity of 338 nm/RIU and an average sensitivity of 226.6 nm/RIU (RI Unit) have been experimentally achieved at RI range from 1.33 to 1.38 with a ~90 µm periodically tapered waist diameter. The temperature-induced variations in refractive index measurements and the corresponding correction method have been investigated and presented in this article. Further optimization of the fiber sensor geometry will result in a more compact refractometric sensor device with improved performance.

REFERENCES


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