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# Enhanced Refractometer Based on Periodically Tapered Small Core Singlemode Fiber

Pengfei Wang, Gilberto Brambilla, Ming Ding, Timothy Lee, Lin Bo, Yuliya Semenova, Qiang Wu, and Gerald Farrell

Abstract-An all-fiber refractive index (RI) sensor with a 1 simple configuration of periodical tapers is proposed and inves-2 tigated experimentally. The proposed fiber RI sensor consists of 3 a small core fiber sandwiched between two standard singlemode 4 fibers, with tapers periodically fabricated along the small core 5 fiber using a focused CO<sub>2</sub> laser beam. Such a structure can be 6 used for RI sensing by measuring the dip wavelength shift of the multimode interference within the small core fiber cladding. 8 An average sensitivity of 226.6 nm/RIU (RI Unit) has been 9 experimentally achieved in the RI range from 1.33 to 1.38. The 10 refractometer is sensitive to temperature and an experimental 11 investigation of this sensitivity is presented. It is found that the 12 peak shift response has a linear variation with temperature; 13 therefore, temperature dependence can be mitigated by a suitable 14 RI correction process. The proposed RI sensor benefits from 15 simplicity and low cost and achieves a competitive sensitivity 16 compared with other existing fiber-optic sensors. 17

*Index Terms*—Fiber gratings, fiber optics, optical fiber device,
 optical fiber sensors.

#### I. INTRODUCTION

PTICAL fiber refractive index (RI) sensors offer advan-21 tages such as immunity to electromagnetic interference, 22 high sensitivity, fast response, small size and ease of fabrica-23 tion. To date several types of optical fiber refractometers have 24 been proposed for applications in growth areas for RI sensing 25 [1]-[12]. The most common approaches are refractometers 26 based on on a fiber Bragg grating [1]–[3], long period grating 27 [4]–[6], surface plasmon [7]–[9], tapered microfiber [10]–[13], 28

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bent fiber [14] and a singlemode fiber (SMF)-multimode fiber (MMF)-singlemode fiber [15]. As an alternative to these existing fiber refractometers, an SMF28 -small core -SMF28 (SSCS) fiber structure based fiber refractometer [16] has been proposed recently featuring a high sensitivity of 102 nm/RIU at an RI = 1.324. With respect to the other techniques, an SSCS provides a reliable high sensitivity sensor at low cost. 35

For RI sensing, the SSCS structure proposed in Ref. [16] 36 needs strong multimode interference between the fiber 37 cladding modes, thus the diameter of the cladding of the small 38 core fiber in an SSCS structure should be small in order to 39 achieve high sensitivity. Experimentally, an effective approach 40 is to employ a small core fiber with a reduced cladding 41 diameter achieved by etching with hydrofluoric acid, but this 42 increases the fabrication difficulty and the induced surface 43 roughness may lead to a significant discrepancy between 44 experimental results and theoretical design [14]. Alternatively, 45 fiber tapering can replace the chemical etching process; since 46 light guided in a tapered fiber has a significant fraction of 47 power propagating as an evanescent wave, the effective index 48 of the guided mode is affected by the surrounding medium RI. 49 It is well known that the fraction of power in the form 50 of evanescent field, and thus its sensitivity to environmental 51 changes, increases for smaller taper diameters. 52

Since Davis et al. [17] reported the first example of long 53 period fibre grating (LPFG) written by CO<sub>2</sub> laser irradiation in 54 a conventional glass fiber in 1998, this method has been used 55 to manufacture a variety of devices and sensors. Compared 56 with the LPFG fabricated by a UV-laser exposure technique, 57 the CO<sub>2</sub> laser irradiation technique is much more flexible and 58 low cost because no photosensitivity or sensitization such as 59 hydrogen loading are needed. Moreover the CO<sub>2</sub> laser irradia-60 tion process can be controlled to generate complicated grating 61 profiles via the well-known point-to-point technique without 62 the use of any expensive masks. Therefore this technique can 63 be used to write LPFGs in almost all types of fibers including 64 pure-silica photonic crystal fibers. In this paper, we report 65 the experimental demonstration of a novel high sensitivity 66 refractometric sensor based on multimodal interference in a 67 singlemode-periodically tapered small core fiber-singlemode 68 fiber structure (SPTS) capable of improving the sensitivity 69 using a series of microtapers [18]. The small core fiber used 70 in the experiments was a commercial fiber (Nufern S405 HP) 71 with a core diameter of circa 2.5  $\mu$ m and a cut-off wavelength 72 of 365 nm. The schematic configuration of the SSCS used as 73 the starting point to fabricate the SPTS used in the experiments 74



Fig. 2. Schematic of the SMF28-small core fiber with periodical tapers-SMF28 fiber structure.

75 is shown in Fig. 1. Light propagation in such an SSCS fiber section has been simulated and analysed using an eigenmode 76 analytical method presented in Ref. [16]. During the exper-77 imental verification, it was found that the power of the core 78 mode guided in such an SSCS structure is low ( $\sim -25 \text{ dB}$ ) and 79 can thus be ignored in the theoretical model, this supports 80 the fact that only the multimode interference between the 81 cladding modes is essential for the device to work and should 82 be considered. 83

Ref. [18] has shown that if the multimode section is tapered 84 in a conventional singlemode-multimode-singlemode (SMS) 85 structure, then strong mode interference occurs within the 86 tapered MMF section due to the focusing effects of the input 87 section to the taper. Within the tapered MMF section, the 88 excited modes of LP0m in the MMF core will be partly 89 coupled to the high-order cladding modes at the beginning of 90 the fiber taper region and this increases the fraction of power 91 in the evanescent field within the region of MMF cladding. 92 This phenomenon offers a possibility to increase the intensity 93 of multimode interference of the cladding modes in an SSCS 94 using a tapered structure. Furthermore, by using several con-95 catenated tapers, a periodic taper structure is created as shown 96 in Fig. 2, consisting of an input SMF, a periodically tapered 97 small core fiber section and an output SMF. Such an SPTS 98 structure offers the potential to achieve a higher sensitivity 99 than a single taper alone due to the increased cladding mode 100 interference induced by the multiple focusing effects of the 101 input section of each of the tapers. 102

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### **II. THEORETICAL ANALYSIS**

In our recent published work, a comprehensive theoretical 104 analysis for an SSCS fiber structure has been presented using 105 an eigenmode analytical method. The SSCS fiber with sur-106 rounding RI liquid can be treated as a three layer structure 107 without a fundamental core mode guided in the core of the 108 small core fiber. The good agreement between the calculated 109 and measured results has shown that the theoretical eigenmode 110 analytical method employed is an effective way to predict 111 the performance of an SSCS fiber refractometer. Since in 112 practice there is no fundamental core mode guided in the 113 small core fiber, therefore the three layer fiber structure 114 (core-cladding-surrounding RI) can be simplified as a two 115 layer fiber structure, namely a cladding-surrounding RI struc-116 ture. This offers a possibility to simulate the light propagation 117 in the SSCS fiber structure using a wide angle-beam propaga-118 tion method (WA-BPM) with cylindrical coordinates using a 119 Padé (3, 3) approximate operator and perfectly matched layer 120 (PML) boundary conditions which has been presented in the 121



Fig. 3. Calculated amplitude of optical fields at a wavelength of 1550 nm using a WA-BPM for (a) SSCS fiber sandwiched between two standard SMFs, and (b) SPTS fiber with 28 microtapers with a waist diameter of 90  $\mu$ m, sandwiched between two standard SMFs.

Ref. [18]. To prove the foundation of this approach, an alcoholbased graphite adhesive with high absorption coefficient was applied to the 2 cm long surface of the small core fiber sandwiched between two SMFs. Light transmitted in the SSCS fiber structure experienced a  $\sim 25$  dB attenuation, hence verifying that almost all of the light is actually transmitted in the cladding of the small core fiber.

The calculated amplitudes of the optical fields of both SSCS 129 and SPTS fiber structures are presented in Fig. 3(a) and (b) 130 respectively. Fig. 3(a) shows for reference the expected optical 131 field at a wavelength of 1550 nm for an SSCS section, while 132 Fig. 3(b) shows that weakly confinement occurs within the 133 SPTS section due to the reduced size of fiber core diameter 134 within the microtapers: at long wavelengths, beyond the cut-135 off wavelength, such as 1550 nm, the core mode expands 136 out into the surrounding silica cladding as the core diameter 137 reduces. This results in a more efficient coupling with the 138 fiber cladding modes compared with the SSCS fiber structure, 139 which explains the non-adiabatic and highly lossy behavior of 140 the SPTS fiber structure. Compared with the SSCS structure 141 shown in Fig. 3(a), the optical power propagating through the 142 fiber core of the SPTS structure (fig. 3(b)) is very low because 143 of the enhanced multimode interference and weak confinement 144 of the fiber core mode. 145

The peak wavelength shifts of both SSCS and SPTS fiber structures as a function of surrounding RI are calculated using a WA-BPM. The simulated results in figure 4 confirm that



Fig. 4. Calculated peak wavelength shifts of the SSCS and SPTS fiber structures as a function of surrounding RI.



Fig. 5. Schematic of the fabrication and experimental setup.

as the surrounding RI increases the central wavelengths of
both SSCS and SPTS fiber structures increase monotonically
in an exponential fashion. The peak wavelength shift of the
SPTS fiber structure is much higher than that of the SSCS
fiber structure, confirming that the refractometer with a SPTS
structure has a higher sensitivity than that with a SSCS fiber
structure.

### 156 III. FABRICATION OF THE SPTS FIBER STRUCTURE

The SSCS fiber sample used as the starting point for the 157 SPTS was fabricated from a 21 mm length of Nufern S405 158 HP step index SMF which was stripped, cleaved and then 159 spliced between two standard SMF28 fibers. As shown in the 160 fabrication setup in Fig. 5, a CO<sub>2</sub> laser (SYNRAD, Model: 161 48-2KWL, with a maximum power 30 Watts at a wavelength 162 of 10.6  $\mu$ m) was employed to fabricate the tapered fiber. 163 A ZnSe cylindrical lens with a focal length of  $254 \pm 0.5\%$ 164 mm focused the CO<sub>2</sub> laser beam to  $\sim$ 200  $\mu$ m. Beam move-165 ment was achieved using gold-coated mirrors on a motor-166 ized translation stage. A Labview program controlled the 167 shutter opening and therefore the laser exposure time. One 168 3D translation stage was used to adjust the heating position 169 within the small core fiber section, while a weight ( $\sim$ 3.7 g) 170 171 was used to apply a constant tension to the SMF end of the SSCS structure. The small core fiber was exposed to 172 the  $CO_2$  laser beam with an output power of 10.5 W for 173 120 s and tapering occurred because of the simultaneous 174 localized heating of the fiber and tension applied to the end 175



Fig. 6. Images of the small core fiber with periodic microtapers. The image was obtained with a microscope (Nikon Eclipse LV100) with a  $5 \times$  (top) and  $20 \times$  (bottom) objective, respectively.

of the SMF. Then the laser beam was translated a distance 176 of 400  $\mu$ m along the fiber axis to irradiate and taper another 177 segment of the fiber. This tapering process was repeated up 178 to 20 times. Consequently, periodic tapers were created on 179 the small core fiber, as shown in Fig. 6(a). Although the 180 translation distance of the laser beam is 400  $\mu$ m along the 181 fiber axis, the actual period of the tapers is circa 500  $\mu$ m 182 due to the elongation of the fiber induced by tapering. The 183 tensioning weight used during fiber processing was removed 184 for subsequent refractive index measurements, with the fiber 185 sample mounted instead on a "U" shaped stage. The detail of 186 one of the resulting tapers is shown in Fig. 6(b), the waist 187 diameter is circa 90  $\mu$ m. The transmission spectra of the SPTS 188 fiber structure were monitored and recorded during fabrication 189 using a Supercontinuum source (Fianium Ltd, U.K.), which 190 delivered 50 nJ light pulses over a broad range of wavelengths 191 (450-1800 nm), and a high resolution (20 pm) optical spec-192 trum analyzer (YOKOGAWA AQ6370). Actually in the exper-193 iments, the smallest waist diameter for the proposed SPTS 194 fibre structure achieved was circa 20  $\mu$ m. It was also found 195 that the sensitivity of the SPTS fibrerefractometer increases as 196 the waist diameter of the periodical tapers decreases. However 197 the mechanical stability of the SPTS fibrerefractometer with 198 thinner waist diameters during the RI measurements was poor, 199 also that structure is usually associated with high losses. Thus 200 in this works, we adopted a waist diameter of 90  $\mu$ m as a 201 reasonable compromise size, to provide mechanical stability 202 and acceptable RI sensitivity. 203

Fig. 7 presents the measured transmission spectra of the 204 SPTS fiber structures before and after the tapering processes 205 for different numbers of tapers. From Fig. 7 it is clear that the 206 attenuation induced by each taper decreases gradually with 207 an increase in the number of tapers; for example the first 5 208 periodical tapers induced an attenuation of 10 dB whereas the 209 following 5 tapers induced an average attenuation of 5 dB. 210 As shown in Fig. 7, total attenuation of circa 25 dB for 211 20 periodical tapers was induced over the whole measured 212 wavelength range from 1300 to 1700 nm after the 20th taper 213 was created. The total attenuation induced by tapers increases 214 only marginally if more tapers are fabricated in the fiber. The 215 figure also shows that when the number of tapers increases, the 216 intensity of multimode interference increases correspondingly, 217 demonstrating more interference dips over the wavelength 218 range. 219



Fig. 7. Transmission spectra of the SMF28-small core fiber-SMF28 fiber without and with periodical tapers during the fabrication process.



Fig. 8. (a) Measured spectral response at different surrounding RIs. (b) Calculated and measured peak wavelength shift of SPTS structure versus surrounding RI. For comparison, both calculated and measured data for SSCS structure-based refractometer are also presented.

### 220 IV. RESPONSE OF SPTS TO REFRACTIVE INDEX

An investigation of the refractive index sensing capability was performed at room temperature ( $\sim 25$  °C) with a series



Fig. 9. (a) Measured peak wavelength shift as a function of RI and its polynomial fit. (b) Peak wavelength shift and corresponding correction in refractive index as a function of temperature variation.

of RI liquids (1.33  $\sim$  1.38 with an interval of 0.005). The 223 RI liquids were placed so as to cover the entire length of the 224 SPTS fiber using a dropper. The measured peak wavelength 225 shifts in the wavelength range near 1520–1535 nm are plotted 226 in Fig. 8(b) as a function of RI, which shows a general 227 agreement with the calculated results using a WA-BPM. For 228 comparison, both calculated and measured data for an SSCS 229 structure based refractometer are also presented in the figure. 230 As expected from the theoretical analysis presented in Sec. 2, 231 the curve follows an essentially exponential distribution with 232 an average sensitivity of 226.6 nm/RIU over an RI range 233 of 1.33  $\sim$  1.38. A maximum sensitivity of 383 nm/RIU 234 is achieved for an RI~1.38, resulting in a resolvable index 235 change of  $4.41 \times 10^{-5}$  for a resolvable wavelength change of 236 0.01 nm, which is considerably better than the experimental 237 results presented in Ref. [16]. 238

### V. TEMPERATURE DEPENDENCES OF THE PROPOSED FIBER REFRACTOMETER

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In real-world fiber optic sensing applications, temperature effects are well known to have a significant influence on the properties of a fiber optic sensor, through both thermooptic and thermal expansion effects in the fiber materials. Therefore, it

is necessary to investigate the temperature-dependent behavior 245 of the proposed fiber refractive index sensor. For this purpose 246 the SPTS fiber sample was placed on a temperature-controlled 247 heating stage. A reference ratio response of the system was 248 obtained at room temperature (25 °C). The variation in the 249 spectral response from the reference response at circa 25 °C 250 was measured for a temperature range from 25 °C to ~60 °C 251 with an interval of 5 °C. The dependence of the peak wave-252 length shift on temperature is shown in Figure 9(b). The mea-253 sured average slope of the resonance peak shift is 0.13 nm/°C. 254 This result shows that the sensor has a rather strong tem-255 perature dependence. This temperature-dependent resonance 256 peak shift is expected and it has been discussed in previously 257 published work [19], [20]. However since the resonant peak 258 monotonically redshifts with temperature, it is possible to 259 apply a correction factor to mitigate the temperature induced 260 errors. To verify this, the required temperature correction for 261 the ratio response, which is effectively a correction factor 262 for the refractive index, is calculated using the polynomial 263 fit presented in Figure 9(a) and shown in Figure 9(b) for 264 different temperatures with an interval of 5 °C in the range 265 from 25 to 60 °C. 266

### VI. CONCLUSION

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In conclusion, an all-fiber refractive index sensor with a 268 structure consisting of a series of periodical tapers is proposed 269 and investigated experimentally. A maximum sensitivity of 270 338 nm/RIU and an average sensitivity of 226.6 nm/RIU 271 (RI Unit) have been experimentally achieved at RI range 272 from 1.33 to 1.38 with a  $\sim 90 \ \mu m$  periodically tapered waist 273 diameter. The temperature-induced variations in refractive 274 index measurements and the corresponding correction method 275 have been investigated and presented in this article. Further 276 optimization of the fiber sensor geometry will result in a 277 more compact refractometric sensor device with improved 278 performance. 279

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# Enhanced Refractometer Based on Periodically Tapered Small Core Singlemode Fiber

Pengfei Wang, Gilberto Brambilla, Ming Ding, Timothy Lee, Lin Bo, Yuliya Semenova, Qiang Wu, and Gerald Farrell

Abstract-An all-fiber refractive index (RI) sensor with a 1 simple configuration of periodical tapers is proposed and inves-2 tigated experimentally. The proposed fiber RI sensor consists of 3 a small core fiber sandwiched between two standard singlemode 4 fibers, with tapers periodically fabricated along the small core 5 fiber using a focused CO<sub>2</sub> laser beam. Such a structure can be 6 used for RI sensing by measuring the dip wavelength shift of the multimode interference within the small core fiber cladding. 8 An average sensitivity of 226.6 nm/RIU (RI Unit) has been 9 experimentally achieved in the RI range from 1.33 to 1.38. The 10 refractometer is sensitive to temperature and an experimental 11 investigation of this sensitivity is presented. It is found that the 12 peak shift response has a linear variation with temperature; 13 therefore, temperature dependence can be mitigated by a suitable 14 RI correction process. The proposed RI sensor benefits from 15 simplicity and low cost and achieves a competitive sensitivity 16 compared with other existing fiber-optic sensors. 17

*Index Terms*—Fiber gratings, fiber optics, optical fiber device,
 optical fiber sensors.

#### I. INTRODUCTION

PTICAL fiber refractive index (RI) sensors offer advan-21 tages such as immunity to electromagnetic interference, 22 high sensitivity, fast response, small size and ease of fabrica-23 tion. To date several types of optical fiber refractometers have 24 been proposed for applications in growth areas for RI sensing 25 [1]-[12]. The most common approaches are refractometers 26 based on on a fiber Bragg grating [1]-[3], long period grating 27 [4]–[6], surface plasmon [7]–[9], tapered microfiber [10]–[13], 28

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bent fiber [14] and a singlemode fiber (SMF)-multimode fiber (MMF)-singlemode fiber [15]. As an alternative to these existing fiber refractometers, an SMF28 -small core -SMF28 (SSCS) fiber structure based fiber refractometer [16] has been proposed recently featuring a high sensitivity of 102 nm/RIU at an RI = 1.324. With respect to the other techniques, an SSCS provides a reliable high sensitivity sensor at low cost. 35

For RI sensing, the SSCS structure proposed in Ref. [16] 36 needs strong multimode interference between the fiber 37 cladding modes, thus the diameter of the cladding of the small 38 core fiber in an SSCS structure should be small in order to 39 achieve high sensitivity. Experimentally, an effective approach 40 is to employ a small core fiber with a reduced cladding 41 diameter achieved by etching with hydrofluoric acid, but this 42 increases the fabrication difficulty and the induced surface 43 roughness may lead to a significant discrepancy between 44 experimental results and theoretical design [14]. Alternatively, 45 fiber tapering can replace the chemical etching process; since 46 light guided in a tapered fiber has a significant fraction of 47 power propagating as an evanescent wave, the effective index 48 of the guided mode is affected by the surrounding medium RI. 49 It is well known that the fraction of power in the form 50 of evanescent field, and thus its sensitivity to environmental 51 changes, increases for smaller taper diameters. 52

Since Davis et al. [17] reported the first example of long 53 period fibre grating (LPFG) written by CO<sub>2</sub> laser irradiation in 54 a conventional glass fiber in 1998, this method has been used 55 to manufacture a variety of devices and sensors. Compared 56 with the LPFG fabricated by a UV-laser exposure technique, 57 the CO<sub>2</sub> laser irradiation technique is much more flexible and 58 low cost because no photosensitivity or sensitization such as 59 hydrogen loading are needed. Moreover the CO2 laser irradia-60 tion process can be controlled to generate complicated grating 61 profiles via the well-known point-to-point technique without 62 the use of any expensive masks. Therefore this technique can 63 be used to write LPFGs in almost all types of fibers including 64 pure-silica photonic crystal fibers. In this paper, we report 65 the experimental demonstration of a novel high sensitivity 66 refractometric sensor based on multimodal interference in a 67 singlemode-periodically tapered small core fiber-singlemode 68 fiber structure (SPTS) capable of improving the sensitivity 69 using a series of microtapers [18]. The small core fiber used 70 in the experiments was a commercial fiber (Nufern S405 HP) 71 with a core diameter of circa 2.5  $\mu$ m and a cut-off wavelength 72 of 365 nm. The schematic configuration of the SSCS used as 73 the starting point to fabricate the SPTS used in the experiments 74



Fig. 2. Schematic of the SMF28-small core fiber with periodical tapers-SMF28 fiber structure.

75 is shown in Fig. 1. Light propagation in such an SSCS fiber section has been simulated and analysed using an eigenmode 76 analytical method presented in Ref. [16]. During the exper-77 imental verification, it was found that the power of the core 78 mode guided in such an SSCS structure is low ( $\sim -25$  dB) and 79 can thus be ignored in the theoretical model, this supports 80 the fact that only the multimode interference between the 81 cladding modes is essential for the device to work and should 82 be considered. 83

Ref. [18] has shown that if the multimode section is tapered 84 in a conventional singlemode-multimode-singlemode (SMS) 85 structure, then strong mode interference occurs within the 86 tapered MMF section due to the focusing effects of the input 87 section to the taper. Within the tapered MMF section, the 88 excited modes of LP0m in the MMF core will be partly 89 coupled to the high-order cladding modes at the beginning of 90 the fiber taper region and this increases the fraction of power 91 in the evanescent field within the region of MMF cladding. 92 This phenomenon offers a possibility to increase the intensity 93 of multimode interference of the cladding modes in an SSCS 94 using a tapered structure. Furthermore, by using several con-95 catenated tapers, a periodic taper structure is created as shown 96 in Fig. 2, consisting of an input SMF, a periodically tapered 97 small core fiber section and an output SMF. Such an SPTS 98 structure offers the potential to achieve a higher sensitivity 99 than a single taper alone due to the increased cladding mode 100 interference induced by the multiple focusing effects of the 101 input section of each of the tapers. 102

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### II. THEORETICAL ANALYSIS

In our recent published work, a comprehensive theoretical 104 analysis for an SSCS fiber structure has been presented using 105 an eigenmode analytical method. The SSCS fiber with sur-106 rounding RI liquid can be treated as a three layer structure 107 without a fundamental core mode guided in the core of the 108 small core fiber. The good agreement between the calculated 109 and measured results has shown that the theoretical eigenmode 110 analytical method employed is an effective way to predict 111 the performance of an SSCS fiber refractometer. Since in 112 practice there is no fundamental core mode guided in the 113 small core fiber, therefore the three layer fiber structure 114 (core-cladding-surrounding RI) can be simplified as a two 115 layer fiber structure, namely a cladding-surrounding RI struc-116 ture. This offers a possibility to simulate the light propagation 117 in the SSCS fiber structure using a wide angle-beam propaga-118 tion method (WA-BPM) with cylindrical coordinates using a 119 Padé (3, 3) approximate operator and perfectly matched layer 120 (PML) boundary conditions which has been presented in the 121



Fig. 3. Calculated amplitude of optical fields at a wavelength of 1550 nm using a WA-BPM for (a) SSCS fiber sandwiched between two standard SMFs, and (b) SPTS fiber with 28 microtapers with a waist diameter of 90  $\mu$ m, sandwiched between two standard SMFs.

Ref. [18]. To prove the foundation of this approach, an alcoholbased graphite adhesive with high absorption coefficient was applied to the 2 cm long surface of the small core fiber sandwiched between two SMFs. Light transmitted in the SSCS fiber structure experienced a  $\sim 25$  dB attenuation, hence verifying that almost all of the light is actually transmitted in the cladding of the small core fiber.

The calculated amplitudes of the optical fields of both SSCS 129 and SPTS fiber structures are presented in Fig. 3(a) and (b) 130 respectively. Fig. 3(a) shows for reference the expected optical 131 field at a wavelength of 1550 nm for an SSCS section, while 132 Fig. 3(b) shows that weakly confinement occurs within the 133 SPTS section due to the reduced size of fiber core diameter 134 within the microtapers: at long wavelengths, beyond the cut-135 off wavelength, such as 1550 nm, the core mode expands 136 out into the surrounding silica cladding as the core diameter 137 reduces. This results in a more efficient coupling with the 138 fiber cladding modes compared with the SSCS fiber structure, 139 which explains the non-adiabatic and highly lossy behavior of 140 the SPTS fiber structure. Compared with the SSCS structure 141 shown in Fig. 3(a), the optical power propagating through the 142 fiber core of the SPTS structure (fig. 3(b)) is very low because 143 of the enhanced multimode interference and weak confinement 144 of the fiber core mode. 145

The peak wavelength shifts of both SSCS and SPTS fiber structures as a function of surrounding RI are calculated using a WA-BPM. The simulated results in figure 4 confirm that



Fig. 4. Calculated peak wavelength shifts of the SSCS and SPTS fiber structures as a function of surrounding RI.



Fig. 5. Schematic of the fabrication and experimental setup.

as the surrounding RI increases the central wavelengths of
both SSCS and SPTS fiber structures increase monotonically
in an exponential fashion. The peak wavelength shift of the
SPTS fiber structure is much higher than that of the SSCS
fiber structure, confirming that the refractometer with a SPTS
structure has a higher sensitivity than that with a SSCS fiber
structure.

### 156 III. FABRICATION OF THE SPTS FIBER STRUCTURE

The SSCS fiber sample used as the starting point for the 157 SPTS was fabricated from a 21 mm length of Nufern S405 158 HP step index SMF which was stripped, cleaved and then 159 spliced between two standard SMF28 fibers. As shown in the 160 fabrication setup in Fig. 5, a CO<sub>2</sub> laser (SYNRAD, Model: 161 48-2KWL, with a maximum power 30 Watts at a wavelength 162 of 10.6  $\mu$ m) was employed to fabricate the tapered fiber. 163 A ZnSe cylindrical lens with a focal length of  $254 \pm 0.5\%$ 164 mm focused the CO<sub>2</sub> laser beam to  $\sim$ 200  $\mu$ m. Beam move-165 ment was achieved using gold-coated mirrors on a motor-166 ized translation stage. A Labview program controlled the 167 shutter opening and therefore the laser exposure time. One 168 3D translation stage was used to adjust the heating position 169 within the small core fiber section, while a weight ( $\sim$ 3.7 g) 170 171 was used to apply a constant tension to the SMF end of the SSCS structure. The small core fiber was exposed to 172 the  $CO_2$  laser beam with an output power of 10.5 W for 173 120 s and tapering occurred because of the simultaneous 174 localized heating of the fiber and tension applied to the end 175



Fig. 6. Images of the small core fiber with periodic microtapers. The image was obtained with a microscope (Nikon Eclipse LV100) with a  $5 \times$  (top) and  $20 \times$  (bottom) objective, respectively.

of the SMF. Then the laser beam was translated a distance 176 of 400  $\mu$ m along the fiber axis to irradiate and taper another 177 segment of the fiber. This tapering process was repeated up 178 to 20 times. Consequently, periodic tapers were created on 179 the small core fiber, as shown in Fig. 6(a). Although the 180 translation distance of the laser beam is 400  $\mu$ m along the 181 fiber axis, the actual period of the tapers is circa 500  $\mu$ m 182 due to the elongation of the fiber induced by tapering. The 183 tensioning weight used during fiber processing was removed 184 for subsequent refractive index measurements, with the fiber 185 sample mounted instead on a "U" shaped stage. The detail of 186 one of the resulting tapers is shown in Fig. 6(b), the waist 187 diameter is circa 90  $\mu$ m. The transmission spectra of the SPTS 188 fiber structure were monitored and recorded during fabrication 189 using a Supercontinuum source (Fianium Ltd, U.K.), which 190 delivered 50 nJ light pulses over a broad range of wavelengths 191 (450-1800 nm), and a high resolution (20 pm) optical spec-192 trum analyzer (YOKOGAWA AQ6370). Actually in the exper-193 iments, the smallest waist diameter for the proposed SPTS 194 fibre structure achieved was circa 20  $\mu$ m. It was also found 195 that the sensitivity of the SPTS fibrerefractometer increases as 196 the waist diameter of the periodical tapers decreases. However 197 the mechanical stability of the SPTS fibrerefractometer with 198 thinner waist diameters during the RI measurements was poor, 199 also that structure is usually associated with high losses. Thus 200 in this works, we adopted a waist diameter of 90  $\mu$ m as a 201 reasonable compromise size, to provide mechanical stability 202 and acceptable RI sensitivity. 203

Fig. 7 presents the measured transmission spectra of the 204 SPTS fiber structures before and after the tapering processes 205 for different numbers of tapers. From Fig. 7 it is clear that the 206 attenuation induced by each taper decreases gradually with 207 an increase in the number of tapers; for example the first 5 208 periodical tapers induced an attenuation of 10 dB whereas the 209 following 5 tapers induced an average attenuation of 5 dB. 210 As shown in Fig. 7, total attenuation of circa 25 dB for 211 20 periodical tapers was induced over the whole measured 212 wavelength range from 1300 to 1700 nm after the 20th taper 213 was created. The total attenuation induced by tapers increases 214 only marginally if more tapers are fabricated in the fiber. The 215 figure also shows that when the number of tapers increases, the 216 intensity of multimode interference increases correspondingly, 217 demonstrating more interference dips over the wavelength 218 range. 219



Fig. 7. Transmission spectra of the SMF28-small core fiber-SMF28 fiber without and with periodical tapers during the fabrication process.



Fig. 8. (a) Measured spectral response at different surrounding RIs. (b) Calculated and measured peak wavelength shift of SPTS structure versus surrounding RI. For comparison, both calculated and measured data for SSCS structure-based refractometer are also presented.

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### IV. RESPONSE OF SPTS TO REFRACTIVE INDEX

An investigation of the refractive index sensing capability was performed at room temperature ( $\sim 25$  °C) with a series



Fig. 9. (a) Measured peak wavelength shift as a function of RI and its polynomial fit. (b) Peak wavelength shift and corresponding correction in refractive index as a function of temperature variation.

of RI liquids (1.33  $\sim$  1.38 with an interval of 0.005). The 223 RI liquids were placed so as to cover the entire length of the 224 SPTS fiber using a dropper. The measured peak wavelength 225 shifts in the wavelength range near 1520–1535 nm are plotted 226 in Fig. 8(b) as a function of RI, which shows a general 227 agreement with the calculated results using a WA-BPM. For 228 comparison, both calculated and measured data for an SSCS 229 structure based refractometer are also presented in the figure. 230 As expected from the theoretical analysis presented in Sec. 2, 231 the curve follows an essentially exponential distribution with 232 an average sensitivity of 226.6 nm/RIU over an RI range 233 of 1.33  $\sim$  1.38. A maximum sensitivity of 383 nm/RIU 234 is achieved for an RI~1.38, resulting in a resolvable index 235 change of  $4.41 \times 10^{-5}$  for a resolvable wavelength change of 236 0.01 nm, which is considerably better than the experimental 237 results presented in Ref. [16]. 238

### V. TEMPERATURE DEPENDENCES OF THE PROPOSED FIBER REFRACTOMETER

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In real-world fiber optic sensing applications, temperature effects are well known to have a significant influence on the properties of a fiber optic sensor, through both thermooptic and thermal expansion effects in the fiber materials. Therefore, it

is necessary to investigate the temperature-dependent behavior 245 of the proposed fiber refractive index sensor. For this purpose 246 the SPTS fiber sample was placed on a temperature-controlled 247 heating stage. A reference ratio response of the system was 248 obtained at room temperature (25 °C). The variation in the 249 spectral response from the reference response at circa 25 °C 250 was measured for a temperature range from 25 °C to ~60 °C 251 with an interval of 5 °C. The dependence of the peak wave-252 length shift on temperature is shown in Figure 9(b). The mea-253 sured average slope of the resonance peak shift is 0.13 nm/°C. 254 This result shows that the sensor has a rather strong tem-255 perature dependence. This temperature-dependent resonance 256 peak shift is expected and it has been discussed in previously 257 published work [19], [20]. However since the resonant peak 258 monotonically redshifts with temperature, it is possible to 259 apply a correction factor to mitigate the temperature induced 260 errors. To verify this, the required temperature correction for 261 the ratio response, which is effectively a correction factor 262 for the refractive index, is calculated using the polynomial 263 fit presented in Figure 9(a) and shown in Figure 9(b) for 264 different temperatures with an interval of 5 °C in the range 265 from 25 to 60 °C. 266

### VI. CONCLUSION

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In conclusion, an all-fiber refractive index sensor with a 268 structure consisting of a series of periodical tapers is proposed 269 and investigated experimentally. A maximum sensitivity of 270 338 nm/RIU and an average sensitivity of 226.6 nm/RIU 271 (RI Unit) have been experimentally achieved at RI range 272 from 1.33 to 1.38 with a  $\sim 90 \ \mu m$  periodically tapered waist 273 diameter. The temperature-induced variations in refractive 274 index measurements and the corresponding correction method 275 have been investigated and presented in this article. Further 276 optimization of the fiber sensor geometry will result in a 277 more compact refractometric sensor device with improved 278 performance. 279

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