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## Essentially-Rigid Families of Abelian $p$ -Groups

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ESSENTIALLY-RIGID FAMILIES OF ABELIAN  $p$ -GROUPS

# ESSENTIALLY-RIGID FAMILIES OF ABELIAN $p$ -GROUPS

B. GOLDSMITH

## *Introduction*

In a recent paper Shelah [9] has established the existence of a rigid-like family of  $2^\lambda$  separable  $p$ -groups each of cardinality  $\lambda$ , where  $\lambda$  is a strong limit cardinal of cofinality  $> \aleph_0$ , that is a family such that (i) the endomorphism ring of each group is the split extension of the  $p$ -adic integers by the ideal of small endomorphisms and (ii) every homomorphism between different members of the family is small. (See Pierce [8] or Fuchs [3] for the concept of small homomorphisms.) Then assuming G.C.H. this leaves open the following problem:—

If  $\mu = \lambda^{\aleph_0} = 2^\lambda$ , is there a rigid-like family of  $2^\mu$  separable  $p$ -groups, each of cardinality  $\mu$ ?

This problem seems to be extremely difficult and in this paper we derive a weaker result and in so doing obtain a partial answer to Fuchs [4; Problem 53]. We remark that our technique is mainly group-theoretic and uses a minimal number of notions from set theory.

Finally all groups are additively written abelian groups and we refer to Fuchs [3] and [4] for standard results and notation; for set theoretic concepts we refer to Jech [5].

## 1. *Essentially-rigid families of $p$ -groups*

Let  $\lambda$  be an infinite cardinal and suppose  $\bar{B}$  is the torsion-completion of the group  $B$  which is a standard  $p$ -group of final rank  $\lambda$ . Recall that if  $G$  is a reduced  $p$ -group containing  $B$  as a basic subgroup then we can regard  $G$  as a pure subgroup of  $\bar{B}$  and then any endomorphism  $\phi$  of  $G$  will have a unique extension  $\bar{\phi}$  to  $\bar{B}$ . Thus we may, and do, regard endomorphisms of  $G$  as endomorphisms of  $\bar{B}$ . Let  $E(X)$  denote the endomorphism ring of any group  $X$ .

Define the ideal of inessential endomorphisms of  $G$  by  $I(G) = \{\phi \in E(G) \mid \bar{B}\bar{\phi} \leq G\}$ . Clearly  $I(G)$  is a 2-sided ideal of  $E(G)$  and a left ideal of  $E(\bar{B})$ .

**THEOREM 1.1.** *For any infinite cardinal  $\lambda$ , there exists a group  $G$ , with basic subgroups of final rank  $\lambda$ , such that  $E(G)$  is the split extension of the  $p$ -adic integers,  $\mathbb{Q}_p^*$ , by the ideal  $I(G)$ ,  $E(G) = \mathbb{Q}_p^* \oplus I(G)$ .*

*Proof.* Let  $B$  be a standard basic group of final rank  $\lambda$  and choose  $G$  such that  $B \leq G \leq \bar{B}$  and  $\bar{B}/G \cong Z(p^\infty)$ . Then  $G$  has rank  $\lambda^{\aleph_0}$  but has a basic subgroup of final rank  $\lambda$ . We show  $E(G) = \mathbb{Q}_p^* \oplus I(G)$ .

Now we have the exact sequence

$$0 \rightarrow G \rightarrow \bar{B} \rightarrow Z(p^\infty) \rightarrow 0$$

which yields

$$\begin{aligned} 0 \rightarrow \text{Hom}(\bar{B}, G) &\rightarrow \text{Hom}(G, G) \rightarrow P \text{ext}(Z(p^\infty), G) \\ 0 \rightarrow \text{Hom}(Z(p^\infty), Z(p^\infty)) &\rightarrow P \text{ext}(Z(p^\infty), G), \rightarrow 0. \end{aligned}$$

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So  $P \text{ ext}(Z(p^\infty), G)$  is a cyclic  $Q_p^*$ -module and since the image of  $\text{Hom}(\bar{B}, G)$  in  $\text{Hom}(G, G)$  is just  $I(G)$ , we have that  $E(G) = Q_p^* + I(G)$ . Since  $Q_p^* \cap I(G) = 0$ , the extension is a ring split extension.

LEMMA 1.2 (Leptin [6]). *If  $G$  and  $H$  are pure subgroups of  $\bar{B}$  containing  $B$  then  $G \cong H$  if and only if there is an automorphism  $\theta$  of  $\bar{B}$  with  $G\theta = H$ .*

*Definition.* A group  $G$  is said to be a maximal pure subgroup of  $\bar{B}$  if  $G$  is a pure subgroup of  $\bar{B}$  containing  $B$  and  $\bar{B}/G \cong Z(p^\infty)$ .

LEMMA 1.3. *If  $\lambda$  is an infinite cardinal such that  $\mu = \lambda^{\aleph_0} = 2^\lambda$ , then there are  $2^\mu$  non-isomorphic maximal pure subgroups of  $\bar{B}$ , the torsion-completion of the standard basic group  $B$  of final rank  $\lambda$ .*

*Proof.* Since  $\bar{B}$  has cardinality  $\lambda^{\aleph_0} = \mu$  we have that  $\bar{B}/B \cong \bigoplus_\mu Z(p^\infty)$ . Now a maximal pure subgroup  $G$  of  $\bar{B}$  corresponds to a divisible subgroup of corank 1 in this quotient and so there are clearly  $2^\mu$  such groups. But by Lemma 1.2 any two maximal pure subgroups will be isomorphic only if there is an automorphism  $\theta$  of  $\bar{B}$  mapping one to the other. However any automorphism of  $\bar{B}$  is determined by its action on  $B$ , of cardinality  $\lambda$ , and so there are at most  $\mu$  automorphisms of  $\bar{B}$ . Hence there are  $2^\mu$  non-isomorphic maximal pure subgroups of  $\bar{B}$ .

For the rest of this section suppose that  $\lambda$  is an infinite cardinal such that  $\lambda^{\aleph_0} = 2^\lambda = \mu$  and let  $\bar{B}$  denote the torsion-completion of the standard basic group  $B$  of final rank  $\lambda$ .

*Definition.* If  $G_j$  and  $G_k$  are maximal pure subgroups of  $\bar{B}$ , let

$$I_j(G_k) = \{\phi \in \text{Hom}(G_j, G_k) \mid \bar{B}\bar{\phi} \leq G_k\}.$$

LEMMA 1.4. *For an arbitrary maximal pure subgroup  $G$  of  $\bar{B}$ , there are at most  $\mu$  maximal pure subgroups  $G_k$  of  $\bar{B}$  such that  $\text{Hom}(G_k, G) \neq I_k(G)$ .*

*Proof.* Suppose there exists a family  $\{G_k\}_{k \in K}$  of more than  $\mu$  maximal pure subgroups of  $\bar{B}$  with  $\text{Hom}(G_k, G) \neq I_k(G)$ . Then we can construct a family  $\{\bar{\phi}_k\}_{k \in K}$  of endomorphisms of  $\bar{B}$  such that  $\bar{\phi}_k$  does not belong to  $I_k(G)$ . Since  $E(\bar{B})$  has cardinality  $\mu$  and  $K$  has cardinality greater than  $\mu$ , we must have  $\bar{\phi}_k = \bar{\phi}_j$  for some different  $k, j$  in  $K$ . But then

$$\bar{B}\bar{\phi}_k = (G_j + G_k)\bar{\phi}_k \leq G_j\bar{\phi}_k + G_k\bar{\phi}_k = G$$

which is contrary to our choice of  $\bar{\phi}_k$ .

LEMMA 1.5. *If  $G_0$  is an arbitrary maximal pure subgroup of  $\bar{B}$  then there are at most  $\mu$  maximal pure subgroups  $G_k$  such that  $\text{Hom}(G_0, G_k) \neq I_0(G_k)$ .*

*Proof.* Suppose there exists a family  $\{G_k\}_{k \in K}$ , where  $|K| > \mu$ , of maximal pure subgroups of  $\bar{B}$  such that  $\text{Hom}(G_0, G_k) \neq I_0(G_k)$ . Then we have a family  $\{\bar{\phi}_k\}_{k \in K}$  of endomorphisms of  $\bar{B}$  such that  $\bar{\phi}_k \notin I_0(G_k)$ . But then  $\bar{\phi}_k = \bar{\phi}_j$  for  $k, j \in K'$  with  $|K'| > \mu$ . So  $G_0\bar{\phi}_k \leq \bigcap_{j \in K'} G_j$  for  $k \in K'$ . Let  $G_k = \langle H_k, x_k \rangle_*$  where  $H_k \geq \bigcap_{j \in K'} G_j$

and let  $\pi_k$  denote the projection of  $\bar{B}$  onto  $\langle x_k \rangle_*$ . Set  $\bar{\phi}'_k = \bar{\phi}_k + \pi_k$ . Then  $\phi'_k \in \text{Hom}(G_0, G_k)$  but  $\phi'_k \notin I_0(G_k)$ . But since there are more than  $\mu$  such  $x_k$ 's we have exhibited more than  $\mu$  distinct endomorphisms  $\bar{\phi}'_k$  of  $\bar{B}$ —a contradiction.

*Definition.* A family  $\{G_j\}_{j \in J}$  of separable  $p$ -groups is said to be essentially-rigid if

$$\text{Hom}(G_j, G_k) = \begin{cases} \mathcal{Q}_p^* \oplus I(G_j) & j = k \\ I_j(G_k) & j \neq k. \end{cases}$$

**THEOREM 1.6.** *If  $\lambda$  is an infinite cardinal such that  $\mu = \lambda^{\aleph_0} = 2^\lambda$ , then there exists an essentially-rigid family of  $2^\mu$  groups, each of cardinality  $\mu$ .*

*Proof.* Let  $\varepsilon$  denote the least ordinal of cardinality  $2^\mu$ . We construct a family  $\{G_\alpha\}_{\alpha < \varepsilon}$  inductively. For  $G_0$  choose any maximal pure subgroup of  $\bar{B}$ , the torsion-completion of the standard basic group of final rank  $\lambda$ . Now suppose the family  $\{G_\alpha\}_{\alpha < \beta}$  has been constructed where  $0 < \beta < \varepsilon$ .

For each  $\alpha < \beta$  consider the set of maximal pure subgroups  $G_i$  of  $\bar{B}$  such that  $\text{Hom}(G_\alpha, G_i) \neq I_\alpha(G_i)$  or  $\text{Hom}(G_i, G_\alpha) \neq I_i(G_\alpha)$ . By Lemmas 1.4 and 1.5 we know there are at most  $\mu$  indices  $i$  for which this is true. By the minimality of  $\varepsilon$  we know that the set of  $G_i$  having this property for any  $\alpha$  less than  $\beta$  contains less than  $2^\mu$  members. So by Lemma 1.3 there exists a maximal pure subgroup  $G^1$  of  $\bar{B}$  not in this set. Set  $G_\beta = G^1$ . Then it follows easily that  $\{G_\alpha\}_{\alpha \leq \beta}$  is essentially-rigid. The construction is completed by transfinite induction. The proof is then completed by the observation that each maximal pure subgroup of  $\bar{B}$  has cardinality  $= |\bar{B}| = \mu$ .

## 2. Prescribing the ideal of inessential endomorphisms

The results in §1 imposed very little restriction on the ideal over which the endomorphism ring of a maximal pure subgroup of  $\bar{B}$  splits. In this section we show that this ideal can be restricted somewhat. Ideally we would like to show that splitting can occur over the ideal of small endomorphisms; however, this seems to be very difficult. We offer instead a weaker splitting result.

*Definition.* If  $G_j$  and  $G_k$  are separable  $p$ -groups with a common basic subgroup  $B$ , then we define, for an infinite cardinal  $\lambda$ ,

$$I_j^\lambda(G_k) = \{\phi \in \text{Hom}(G_j, G_k) \mid \bar{B}\bar{\phi} \leq G_k \text{ and } |\bar{B}\bar{\phi}| \leq \lambda\}.$$

When  $G_j = G_k$  we simply write  $I^\lambda(G_k)$ .

**THEOREM 2.1.** *If  $\lambda$  is an infinite cardinal such that  $\mu = \lambda^{\aleph_0} = 2^\lambda$ , then there exists a family  $\{G_j\}$  of  $2^\mu$  separable  $p$ -groups, each of cardinality  $\mu$ , having a common basic subgroup  $B$  of cardinality  $\lambda$  and such that*

$$\text{Hom}(G_j, G_k) = \begin{cases} \mathcal{Q}_p^* \oplus I^\lambda(G_k) & j = k \\ I_j^\lambda(G_k) & j \neq k. \end{cases}$$

*Proof.* Let

$$B = \bigoplus_{n < \omega} B_n \text{ where } B_n = \bigoplus_{\lambda} Z(p^n).$$

Let  $\bar{B}$  denote the torsion-completion of  $B$ . Then  $\bar{B}/B$  is a divisible  $p$ -group of rank  $\mu$ .

Let  $\{W_k\}_{k \in K}$  denote the set of endomorphic images of  $\bar{B}$  which have rank  $\mu$ . Since  $|E(\bar{B})| = \mu$ , there are at most  $\mu$  such images, i.e.  $|K| \leq \mu$ . Let  $W_k^*$  denote a minimal pure subgroup of  $(W_k + B)/B$ . Then  $\{W_k^*[p]\}_{k \in K}$  is a family of at most  $\mu$  subspaces of the vector space  $(\bar{B}/B)[p]$ . Moreover, by choice of  $W_k$ , each of these subspaces has dimension  $\mu$ . Then appealing to Lemma 2.2 below we see that there exist  $2^\mu$  maximal subspaces of  $(\bar{B}/B)[p]$  which contain no  $W_k^*[p]$ . Hence we can find  $2^\mu$  maximal pure subgroups of  $\bar{B}$ , each containing  $B$ , such that no  $W_k$  is contained in any of them. Then using Lemmas 1.4 and 1.5 we can refine this family to a family  $\{G_j\}$  of  $2^\mu$  maximal pure subgroups such that no  $W_k$  is contained in a  $G_j$  and the family is essentially rigid.

To obtain the desired result we now observe that the basic subgroup of an image of  $\bar{B}$  in a  $G_j$  has rank  $\chi$  less than  $\lambda$  or rank  $\lambda$ . Since the rank of an image of  $\bar{B}$  in a  $G_j$  cannot be  $\lambda^{\aleph_0}$ , any image of  $\bar{B}$  in a  $G_j$  has rank at most  $\chi^{\aleph_0}$  or  $\lambda$ . But if  $\chi$  is less than  $\lambda$  then  $\chi^{\aleph_0}$  is less than  $\mu$ , hence  $\lambda$  has cofinality  $\aleph_0$  and then  $\chi$  less than  $\lambda$  implies that  $\chi^{\aleph_0}$  is also less than  $\lambda$ . Thus in either case any image of  $\bar{B}$  in a  $G_j$  has rank at most  $\lambda$ . The proof is completed by

**LEMMA 2.2.** *Let  $V$  be a vector space of dimension  $\alpha$  over a field  $F$ , where  $\alpha$  is an infinite cardinal. Let  $\{W_i\}_{i < \alpha}$  be a family of subspaces each of dimension  $\alpha$ . Then there exist  $2^\alpha$  subspaces  $U_j$  each of co-dimension 1 such that no  $W_i$  is contained in a  $U_j$ .*

*Proof.* This is a standard set-theoretic extension of a well-known result (see [1; Lemma 5.2]).

**COROLLARY 2.3.** *If  $\lambda$  is an infinite cardinal such that  $\mu = \lambda^{\aleph_0} = 2^\lambda$ , then there exist  $2^\mu$  separable  $p$ -groups  $G_i$ , each of rank  $\mu$ , with basic subgroups of final rank  $\lambda$ , such that every homomorphism between different members of the family has image of cardinality at most  $\lambda$ .*

Clearly the homomorphisms between different members of the above family are "small" (but not in the technical sense of Pierce). This gives a partial answer to Fuchs [4; Problem 53]. A similar result has been obtained by Shelah [9] but by entirely different methods.

**COROLLARY 2.4.** *There exists a family of  $2^c$ , where  $c = 2^{\aleph_0}$ , separable  $p$ -groups  $\{G_j\}$  such that  $E(G_j) = Q_p^* \oplus E_s(G_j)$  for each  $j$  and, moreover, every homomorphism between distinct members of the family is small.*

*Proof.* Clearly  $\lambda = \aleph_0$  satisfies the conditions of Theorem 2.1. But, by a result of Megibben [7], if  $\theta: \bar{B} \rightarrow G_j$  is not small then  $G_j$  contains an unbounded torsion-complete group. Since such a group must have rank  $2^{\aleph_0}$  we deduce from the construction of the  $G_j$ 's in Theorem 2.1, that no such group can be contained in any of the  $G_j$ . Thus every homomorphism from  $G_j$  to  $G_k$  ( $j \neq k$ ) is small and the result follows from Theorem 2.1.

We remark that the members of such a family are essentially indecomposable and that this answers a problem raised by Shelah at the end of his paper [9]. We note however that such a family had been previously constructed by Corner [2].

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